Sanjivani Rural Education Society's Sanjivani College of Engineering, Kopargaon, 423603 An Autonomous Institute Affiliated to Savitribai Phule Pune University, Pune

Subject: Foundation Engineering B. Tech. (Civil Engg) **Unit-II: Bearing Capacity & Shallow Foundation**

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FOUNDATION TYPES

1. Shallow Foundations

Focus of this course

- a. $D/B \le 1$ (Terzaghi, 1943); later researchers said D/B can be up to 3-4.
- b. Depth generally less than 3m
- 2. Deep Foundations

- **Square Footings**
- 2. Combined Footings
	- a. Rectangular Footings
	- b. Trapezoidal Footings
- 3. Strip Footings
- 4. Mat/Raft Footings
- 5. Floating Foundations

Spread Foundations

- The structural load is literally *spread* over a broad area under the building.
- ❑ Load is spread through a wider bottom part than the load-bearing foundation walls it supports.
- ❑ Most commonly used foundation type.

- ❑ Square in plan
- ❑ Used to support individual columns

Strip Footings \Box L/B \geq 5 ❑ To support wall loads

Combined Footings

- ❑ Rectangular/Trapezoidal
- ❑ To support two columns or machine base

Rectangular Footing

Trapezoidal Footing

Raft/Mat Footings

- ❑ To support a *very heavy structure* by spreading the contact pressure over a large area.
- ❑ For *weak soil* conditions
- ❑ To *reduce settlements*

Floating Foundations

- Weight of the structure is equal to the weight of the soil displaced by foundations
- ❑ *Net increase of load* over the soil is (nearly) *zero*
- Where deep deposits of weak soil stratum exists

TYPES OF FOUNDATION FAILURE

- 1. Due to *excessive settlement*
	- Maximum tolerable settlement
	- 25.4mm (1") for square/strip footings
	- -50.8 mm $(2")$ for mat footings

2. Due to *shear failure* in soil *Focus of this chapter*

$\boldsymbol{\varpi}$ **FNITION**

The term 'Bearing cpacity of soil' is used to \bullet indicate the maximum load per unit area which the soil will resist safely without displacement

By dividing the ultimate bearing power of soil \bullet by a factor of safely, the bearing capacity of a soil is obtained.........

Bearing pressure/ contact pressure is the contact force per unit area along the bottom of the foundation.

P₁ = Structural/Net load on soil **P₂** = Weight of overburden soil $P = P_1 + P_2 = \text{Total/Gross load supported by soil}$

Ultimate Bearing Capacity (q_u or q_{ult})

The ultimate bearing capacity is the *gross pressure* at the base of the foundation at which soil *fails in shear*.

Net Ultimate Bearing Capacity (q_{nu})

It is the *net increase in pressure* at the base of foundation that cause *shear failure* of the soil. OR

It is the *structural load* that can be carried by soil without undergoing *shear failure*.

Net Safe Bearing Capacity (q_{ns})

It is the *net pressure* which can *'safely'* be applied to the soil considering only *shear failure*.

$$
q_{ns} = q_{nu}/FOS
$$

usually taken as 2.00 -3.00

Gross Safe Bearing Capacity (q_s)

It is the maximum gross pressure which the soil can carry safely without *shear failure*.

$$
q_s = q_{nu}/FOS + \gamma.D_f
$$

Net Allowable Bearing Capacity (q_a or ABC)

It is the maximum pressure which the soil can carry safely without undergoing *shear failure* and *excessive settlement*.

❑ *qa* **is used for the design of foundation.**

TYPES OF SHEAR FAILURE

General Shear Failure

- ❑ *Fully developed* failure plane
- ❑ Sudden or *catastrophic failure*
- ❑ *Bulging* on ground surface adjacent to the foundation
- ❑ *Most common* type of shear failure
- ❑ Occur in relatively *strong soils* (Dense sand)

Local Shear Failure

- ❑ Failure plane *not completely defined*
- ❑ Sudden *jerks at failure*
- ❑ *Small amount of bulging* might be observed
- ❑ Occur in sand or clay with *medium compaction*

Punching Shear Failure

- ❑ Foundation *sinks* into soil like a punch
- ❑ Failure *surface do not extend up to the ground surface*
- ❑ Occurs in *very loose* sands weak clays

SHEAR BASED DESIGN – GENERAL COMMENTS –

Shear Failure

General

❑ Usually only necessary to analyze general shear failure.

❑ Local and punching shear failure can usually be anticipated by settlement analysis.

TERZAGHI'S METHOD

- ♣ Since soil cohesion can be difficult to quantify, conservativevaluesof c (cohesion) shouldbe used.
- ♣ Frictional strength is more reliable and does not need to be as conservative ascohesion.
- \triangle Terzaghi'smethod is simple and familiar to many geotechnical engineers; however, it does not take into account many factors, nor does it consider cases such as rectangular foundations.

Assumptions For Terzaghi's Method

- ♣ *Depth of foundation is less than or equal to its width*
- ♣ *No sliding occurs between* ♣ ♣ *foundation and soil(rough foundation) Soil beneath foundation is homogeneous semi infinite mass Mohr-Coulomb model forsoil Generalshear failure mode is the governing mode(but not theonly mode)*
- *Footing is rough* ♣

Assumptions For Terzaghi's Method

♣ *No soil consolidation occurs*

♣

- ♣ *Foundation is very rigid relative to the soil.* ♣
	- *Soil above bottom of foundation has no shear strength; is only a surcharge load against the overturning load.*
- ♣ *Applied load is compressive and applied vertically to the centroid of the foundation*
	- *No applied moments present*

Failure Modes for Shallow Foundations

Bearing Capacity

Terzaghi B/C Assumptions

Three zones do exist:

- *1 Active zone, just below the foundation.*
- *2 Transition zone, between the active and passive zones.*
- *3Passive zone, near the ground surface, just beside the foundation.*

 $\frac{2oneT - p}{timeT - m}$ Elastic zone - This is a rigid telange body of suil abc which sinks into the growing along with the footing along with the

Zone II -> Zone of Radial Shear -> This is azon Of plastic failure in which shearing takes place along radial difections =

Lone III of Zone of plane shear - This is a Zone of plastic failure in which Shearing takes place dong plane surf causing upward movement of the soil

Terzaghi Bearing Equation for

Strip Footing

Bearing Capacity

Terzaghi Bearing Equation

N c , N^q , N^γ *are Terzaghi B/C Coefficients, f(*φ*)*

 \parallel Based on Terzaghi's bearing capacity theory, column load P is resisted by shear T_{pre} $\overline{}$ $\overline{\phantom$ \parallel pressure, q (=γD) above the footing. The first term in the equation is related to \parallel cohesion of the soil. The second term is related to the depth of the footing and \parallel \parallel over parameter pressure. The time term is relate *C,* φ*are the soil shear strength parameters* stresses at edges of three zones under the footing and the overburden overburden pressure. The third term is related to the width of the footing and the length of shear stress area. The bearing capacity factors, Nc, Nq, Nγ, are function of internal friction angle, φ.

Bearing Capacity

Terzaghi's Bearing capacity equations:

Strip footings: Qu = c Nc + qNq + 0.5 γ B N γ

Square footings: Qu = 1.3 c Nc +q Nq + 0.4 γ B N γ

Circular footings: Qu = 1.3 c Nc + q Nq + 0.3 γ B N γ

Where

 q=γ D

Net Bearing Capacity CNBC): (Jun) By deducting surcharge PDf $q_{un} = q_{u} - q_{v}$ $4\mu n = CN_c + 2(Ng-1) + 0.5hBNb$. $=$ CN ct avq +05 row_p - q S CNCT 4 (Ng-1) + 0.513 TT Safe Bearing Capacity (SBC): p Lun⁻ $+$ \sim D If surcharge is not effective -604 quo

1 Deteemine the utimate and net beasing Capacity for
a footing 2mx2m, on a soil with clensity of
1800 kg/m3, $\phi = 15^{\circ}$, C = 15 kH/m3, if it is
aid at a depth of 1.5 m. FOE p=15°, Nc =12.9, Nq=4.4, Np=25 Sci=0.67 C.
de : 0.67 tand failure.
Solution : Let Us use Terzaghi Equation - FE Square for 6 Considée Genéral shear $Qu = 1.2CNc + FDFNq + o.4PBNp$ $= 1.3 \times 15 \times (12.9) + 1800 \times 9.8 \times 10^{3} \times 105 \times 4.49$ $+0.4(1800x9.8x103)x2x2.5$ $=$ 232.20 +116.42 + 35.28 403.25 $\sqrt{2u} = 383.2$ KN/m2) $9.2 - 17.64\times15$
 $-9.04 - 17.64\times15$
 $-383.2 - 17.64\times15$

Secondy, let us consider Local Shear feil 425 $\begin{pmatrix} c' = 0.67c \end{pmatrix}$ $2u = 1.3c'N'_c + pD_f \cdot N'_g + 0.4pB N'_p$ = 1.3 (0.67) 15(9.7) + 1.80×9.8 (1.5)(2.7) $+ 0.4(1.8\times9.8)(2)(0.9).$ 200.54 KM/m2 $-2nu = 2u - 5u$ scharge (FD_f) $200.54 - 1.8 \times (9.8)(1.5)$ $-174.08 - KN/m2$

2) Determine The B.C. of a circular footing dia = 2 m), resting on a claycy layer lat. a depth of 1.5 m. The shear strength of soil $S_{u} = 25 kN/m^{2} - p = 20 kN/m^{2}$ For a circular Footing, reszagli og? $qu=1.3CN_c+PD_fN_f+O.3hBN_f$ $\frac{6}{10}$ $\phi = 0$, $Nc = 57$, $Nq = 1$, $Np = 0$ $9\mu = 1.2 \times 25 \times (5.7) + 20 (1.5) 2 + 0$ $|7| + 30'00$ $14.711m²$

b) Net vitimate Bc que que bDf $= 201 - 20x - 5$ $1m = 171$ ky/m2 $= 185.253$ km/m² Defensive the g.B.C. in post $Prob - 03$ $10iH₂ A F05 = 3$ $SO|D$ $q_s = 2nu + 32k$ General Shear. $95 = 357.44 + 1.889.8 \times (1.5)$ 147 km/m^2 Local shear Þ 174.08 $1.8(9.8)81.5$ $95 = 84.487$ KN/m2

1 Defermine the B.c. of a Circular faiting (dia:2m) resting on a cloyey layer at a depth dof 1.5 m. the assume $\phi = 0$, $N_c = 5.7$, $N_q = 1$ $N_p = 0$. $SO1$ $D = 2m$. 3800 0 1 3 3 4 $D_f = 15m$. For Circular footing revisagles equipment $\ddot{}$ 9μ = 1.3 CNc + 8 D/Ng +038 DNp. = 1.3(25)(5.7)+20(1.5)x1+0 $u = \frac{9}{100}$ KM/m² $a - 8D_4$ $= 14 - 20x15$ $J_{\mathbf{n}}u =$ KN/m^2 . cit atra If we want to calculate SBC, with $F = 30r4$ $q_{nu} + p_{p}$ KN/m^2 .

For a General $C - \phi$ soil cohesion C is so kfa the total unit $wt + 1s$ 20kH/m³ and Begoing capacity factors are $N_c = 8$, $Nq = 3$, $N_b = 2$ Using Terragly's formula, calculate Net Ultim
Becring capacity for a steip footing of width B = 2m Oat a depth Z= 1m considering straitfulure
only estimate safe load on footing 10m long by 21g Given Cohesion C = 50 kPa = 50 kN/m²
unit weight p = 20 kN/m³
B.c. Factor No=8, Np=3, Np=2

 $\text{width}(B) = 2m$, Depth Cof lim , Length(L)= lom $Fos = 3$ By Terraglu's equation, UBC $q_u = c N_c + Y D_f N q + 0.5 Y B N_p$ 60, $=50008$ + (20x1x3) + (0.5x20x2.0x2.0 $9\mu = 500 \text{ km/m}^2$ Net uttimate B.C. ĤĐ $q_{\mu\mu} = q_{\mu} - k^2 p_{\mu}$ $=500 - 20x + 0$ $9mu = 480 km/m^2$ Gu Safe Bearing Capacity Leany $9a =$ $+$ PD 480 = $20x1$ $\overline{3}$ $= 160 + 20$ $=$ 180 $km/n2$ $Safe$ load $(M_s) =$ $9 < X A$ $80x$ ($6x2$) ∴£. $\omega_{\rm c}$ = 3600 KN \sim

Illustrative Example 23.1. Determine the ultimate bearing capacity of a strip footing, 1.20 m wide, and having the depth of foundation of 1.0 m. Use Terzaghi's theory and assume general shear failure. Take ϕ' = 35°, $\gamma = 18$ kN/m³, and $c' = 15$ kN/m².

Solution. From Eq. 23.25, $q_u = c' N_c + \gamma D_f N_g + 0.5 \gamma B N_\gamma$

For
$$
\phi' = 35^\circ
$$
, Table 23.1 gives $N_c = 57.8$, $N_q = 41.4$ and $N_\gamma = 42.4$.
\nNow
\n $q_u = 15.0 \times 57.8 + 18.0 \times 1.0 \times 41.4 + 0.5 \times 18.0 \times 1.2 \times 42.4$
\n $= 2070 \text{ kN/m}^2$

Illustrative Example 23.2. Determine the allowable gross load and the net allowable load for a square footing of 2m side and with a depth of foundation of 1.0 m. Use Terzaghi's theory and assume local shear failure. Take a factor of safety of 3.0. The soil at the site has $\gamma = 18$ kN/m³, c' = 15 kN/m² and $\phi' = 25^\circ$. **Solution.** From Table 23.1, for $\phi' = 25^{\circ}$ $N_c' = 14.8$, $N_a' = 5.6$ and $N_v' = 3.2$ From Eq. 23.37, taking $c_m' = 2/3$ c' = 10 kN/m² $q_u = 1.2 \times 10.0 \times 14.8 + 18 \times 1.0 \times 5.6 + 0.4 \times 18 \times 2 \times 3.2$ = 325 kN/m² $q_{\text{nu}} = 325 - 18 \times 1.0 = 307 \text{ kN/m}^2$ From Eq. 23.1, $q_{ns} = \frac{q_{nu}}{F} = \frac{307}{3.0} = 102.3 \text{ kN/m}^2$ From Eq. 23.2, = $102.3 \times (2 \times 2) = 409.2$ kN Net allowable load $q_s = q_{ns} + \gamma D_f = 102.3 + 18 \times 1.0 = 120.3 \text{kN/m}^2$ From Eq. 23.3, Gross allowable load $= 120.3 \times (2 \times 2) = 481.2 \text{ kN}$

PRESUMPTIVE BEARING CAPACITY

- ♣ Building codes of various organizationsin different countriesgives the allowable bearing capacity that can be used for proportioning footings.
- **♦ These presumptive bearing capacity values** based on experience with other structures already built.
- ◆ As presumptive values are based only on visual classification of surface soils, they are not reliable.
- ♣ These valuesdon't consider important factors affecting the bearing capacity such as the shape, width, depth of footing,
- ♣ Generally these valuesareconservative and can be used for preliminary design or even for final design of small unimportant structure.
- ♣ IS1904- 1978 recommends that the safe bearing capacity should be calculated on the
	- basis of the soil test data. But, in absence of such data, the values of safe bearing

capacity can be taken equal to the presumptive bearing capacity values.

♣ It is further recommended that for noncohesive soils, the values should be reduced by 50% if the water table is above or near base of footing.

Table 4.1 Presumptive bearing capacity values as per IS1904-1978.

MEYERHOF'S ANALYSIS

- <u>w</u>
▲ Assumptions
● Failure zones to extend above base level of thefooting.
- ◆ The logarithmic spiral extends right up to theground surface.
- ◆ Meyerhof (1951, 1963) proposed an equation for ultimate bearing capacity of strip footing which is similar in form to that of Terzaghi but includes shape factors,
- **♣** depth factors and inclination factors. Meyerhof's equation is

 $q_f = cN_c s_c d_c i_c + q_o N_q s_q d_q i_q + 0.5 \gamma B N_\gamma s_\gamma d_\gamma i_\gamma$

VESIC'S BEARING CAPACITY THEORY

- ♣ Vesic(1973) confirmed that the basic nature of failure surfaces in soil as suggested by Terzaghi as incorrect.
- ♣ Developed formulas based on theoretical and experimental findings.
- ♣ Vesić retained Terzaghi's basic format and added additional factors, which produces more accurate bearing capacity values.
- ♣ Applies to a much broader range of loading and geometry conditions.

\clubsuit bearing capacity formula is re-written as

 $q_{ult} = c' N_c s_c d_c i_c b_c g_c + \sigma'_{zD} N_q s_q d_q i_q b_q g_q + 0.5 \gamma' B N_{\gamma} s_{\gamma} d_{\gamma} i_{\gamma} b_{\gamma} g_{\gamma}$

- s_c , s_q , s_γ = shape factors
- \star d_c, d_q, d_y = depth factors
- $\frac{1}{2}$ i_a , i_v = load inclination factors
- \bullet b_c , b_q , b_γ = base inclination factors
- g_c , g_q , g_γ = ground inclination factors

SKEMPTON'S ANALYSIS

Skempton (1951) based on hisinvestigations of footings on saturatedclays observedthat the bearing capacity factor \bigotimes_c is a function of ratio D/B in the case of strip footing and square or circular footings, for $\Phi = 0$ condition.

Bearing capacity factorsin Terzaghi's equation tends to increase with depth for a cohesive soil.

For $(D, /B)$ < 2.5, (where $D,$ is the depth of footing and B is the base width).

(*N_c*) for rectangular footing =
$$
5\left(1+\frac{0.2D_f}{B}\right)\left(1+\frac{0.2B}{L}\right) \leq 9
$$

(
$$
N_c
$$
) for circular and rectangular footing =
$$
\delta \left(1 + \frac{0.2D_f}{B} \right) \left(1 + \frac{0.2B}{L} \right) \le 9
$$

For (D_r /B) >= 2.5, (N_c) for rectangular footing = 7.5 $\left(1+\frac{0.2B}{L}\right) \le 9$

Ultimate bearing capacity

For $p'_a = 0, N_a = 1, N_r = 0$,

 $q_u = c_u N_c + \gamma D_f$, where c_u is the undrained cohesion of the soil.

FIELD TESTS: DIRECT DETERMINATION OF BEARING CAPACITY OF SOIL

- ¬ Plate Load Test
- ¬ Vane Shear test
- \neg Dynamic cone penetration
- ¬ Field-Density (approximation)
- ¬ Field observation
- \neg Previous Knowledge
- ¬ Field Sample Collection

PLATE LOAD TEST

Simplest and widely used field testplate load test

- ♣ A square pit of sides equal to five times the width of test plate is dug up to the required depth.
- ◆ Test plates are iron plates of size 60cm square for clayey soil 30cm square for sandy soil.
- ◆ At the centre of the pit, a square hole of size equal to the test plate is dug.The bottom of the test plate should be along the proposed foundation level. (b1/d1=b2/d2)

Seat the plate accurately over

the centre of pit and it should be in contact with the soil over the whole area

A loading post and hydraulic

jack is provided above the test plate.Hydraulic jack support a gravity loading platform. The loadiong is done with regared bagding is done well and interest the baggings of the block of the bagging of the baggi increased pagg anterterb 250kg or 115th at bearing capacity whichever is less

- ♣ Each loading increment is kept in postion until no further measurable settlement occurs. Settlement of the plate is measured by two sensitive dial guage of sensitivity 0.02mm.
- ♣ Plot a graph between settlement and load.
- **♦** From the graph measure maximum load upto which settlement is proportional

♣ ultimate Bearing capacity of soil = Maximum load/area of test plate

FACTOR OF SAFETY MAY BE 2 Ω \clubsuit Safe bearing capacity

METHODS FOR IMPROVING BEARING CAPACITY OF SOIL Increase the depth of foundation

- ♣ By draining the soil
- ♣ Water content in soil will decreases its bearing capacity
- ♣ By draining sandy soil and gravel by gravity pipe drainage system- improve bearing capacity
	- \clubsuit By compacting the soil
- Reduces the open spaces between the individual particles
- ♣ By grouting
	- Cement mortar can be injected under pressure into the subsoil to seal off voids in between subsoil and foundation.
- \clubsuit By confining the soil
- ◆ Sheet piles are driven around the structure to form an enclosure
- ♣ Which will prevent the movement of soil.
- ♣ Chemical treatment
- ♣ Chemical solution are injected under pressure into the soil
- ♣ Forms a gel and keep soil particles together to form a \triangle c en pact timess.
	- Cement mortar can be injected under pressure into the subsoil to seal off voids in between subsoil and foundation.

FIELD TESTS: CALCULATION BASED ON ENGINEERING PROPERTIES

- **♦ Shear** tests: measuring shear strength of soil
- ♣ Triaxial test: measurement of shear strength in all three dimensions
- ^{\bullet} Consolidation test: expulsion of water

under static sustained load. ♣ Settlement Analysis: analysis of load bearing based on settlement of soil.