



Kekulé-modulated α - T_3 model

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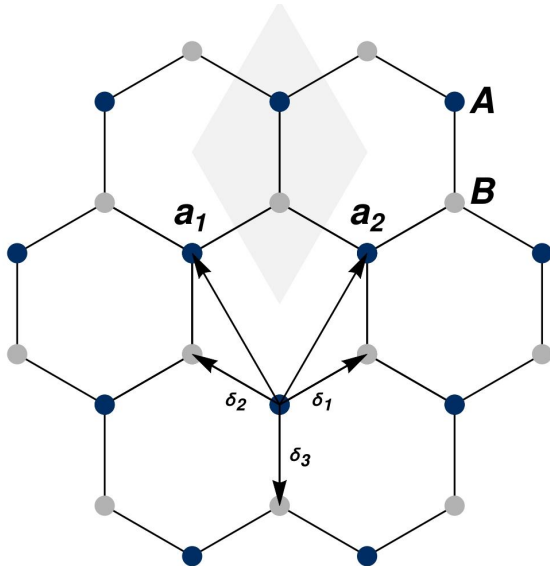
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- **Kekulé-modulated α - T_3 model**

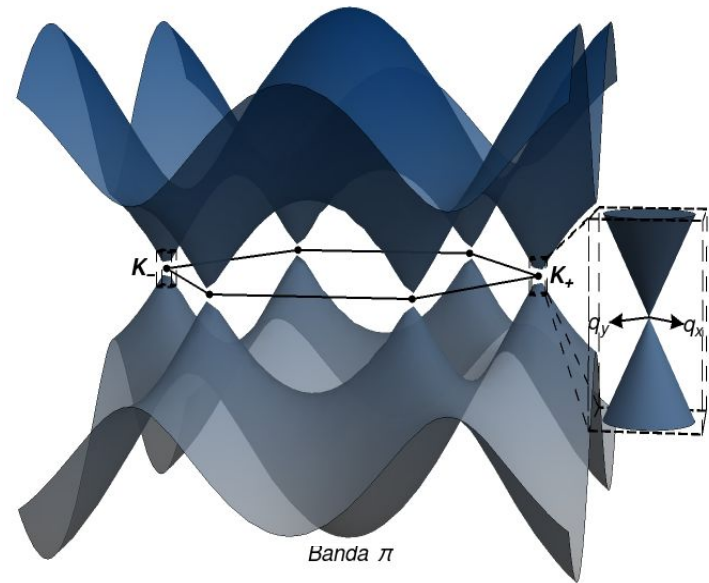
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Introduction

Graphene



Honeycomb lattice

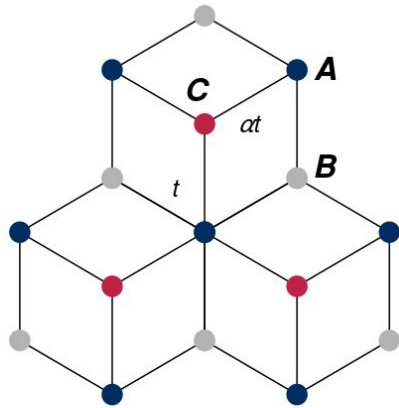


Electronic dispersion

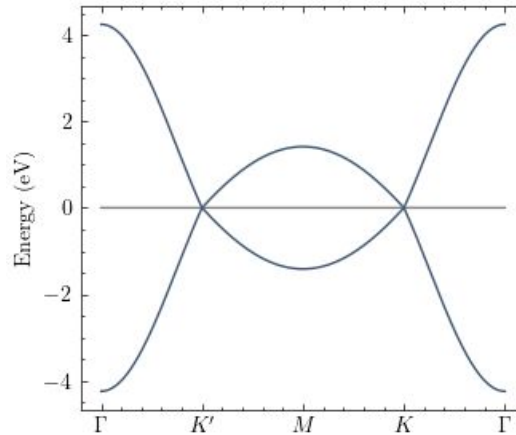
- Dirac Hamiltonian $\mathcal{H}_\xi(\mathbf{q}) = \xi \hbar v_F (\tau_z \otimes \mathbf{q} \cdot \boldsymbol{\sigma})$

- Novoselov, K. S., et al. (2005). *Nature*, 438(7065), 197-200.
- Neto, A. C., et al. (2009). *Rev. Mod. Phys.*, 81(1), 109.

The α - T_3 model



T_3 lattice



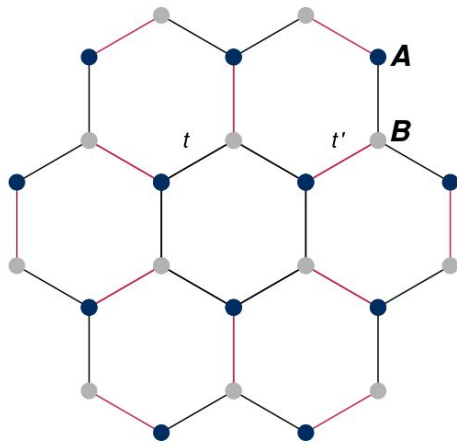
Electronic dispersion

- Hamiltonian $\mathcal{H}(\mathbf{k}) = \begin{pmatrix} 0 & f(\mathbf{k}) & 0 \\ f^*(\mathbf{k}) & 0 & \alpha f(\mathbf{k}) \\ 0 & \alpha f^*(\mathbf{k}) & 0 \end{pmatrix}$
 $0 \leq \alpha \leq 1$

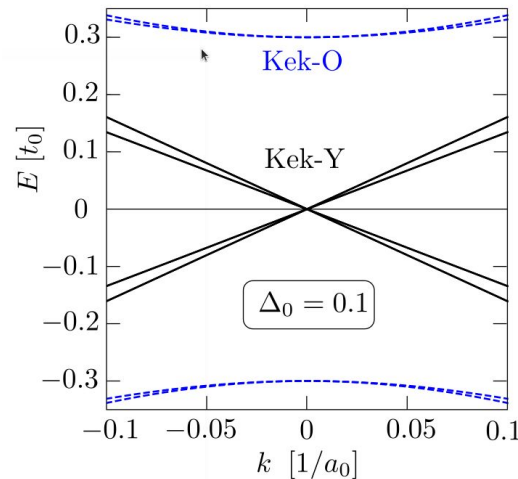
The parameter α provides a continuous transition between honeycomb and T_3 lattice.

Kekulé-distorted graphene

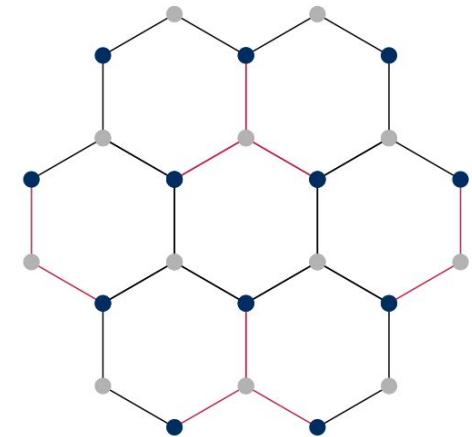
Kek-O ($\nu=0$)



Dispersion relation



Kek-Y ($\nu=\pm 1$)



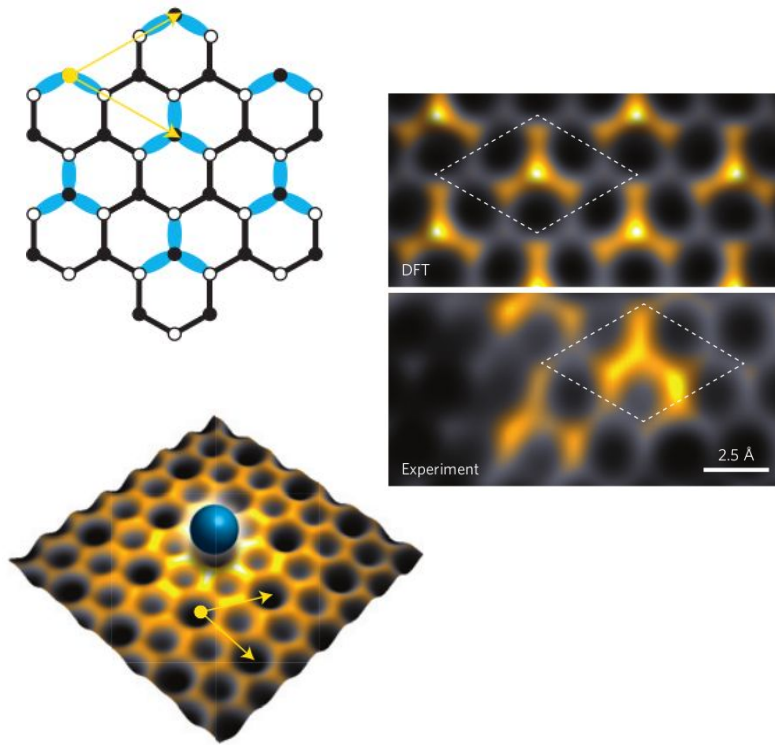
- Bond density-wave: $t_{r,n}/t = 1 + \mathbb{R}e\{\tilde{\Delta}e^{i(p\mathbf{K}_++q\mathbf{K}_-)\cdot\delta_n+i\mathbf{G}\cdot\mathbf{r}-i2(p+q)\pi/3}\}$
- Low-energy Hamiltonian

$$\mathcal{H}(\mathbf{p}) = \begin{pmatrix} v_F \boldsymbol{\sigma} \cdot \mathbf{p} & \tilde{\Delta} Q_\nu \\ \tilde{\Delta}^* Q_\nu^\dagger & v_F \boldsymbol{\sigma} \cdot \mathbf{p} \end{pmatrix} \quad Q_\nu = \begin{cases} 3t\sigma_0, & \nu = 0 \\ v_F(\nu p_x - ip_y)\sigma_0, & \nu = \pm 1 \end{cases}$$

- Chamon, C. (2000). *Physical Review B*, 62(4), 2806.
- Gamayun, O. V., et al. (2018). *New Journal of Physics*, 20(2), 023016.

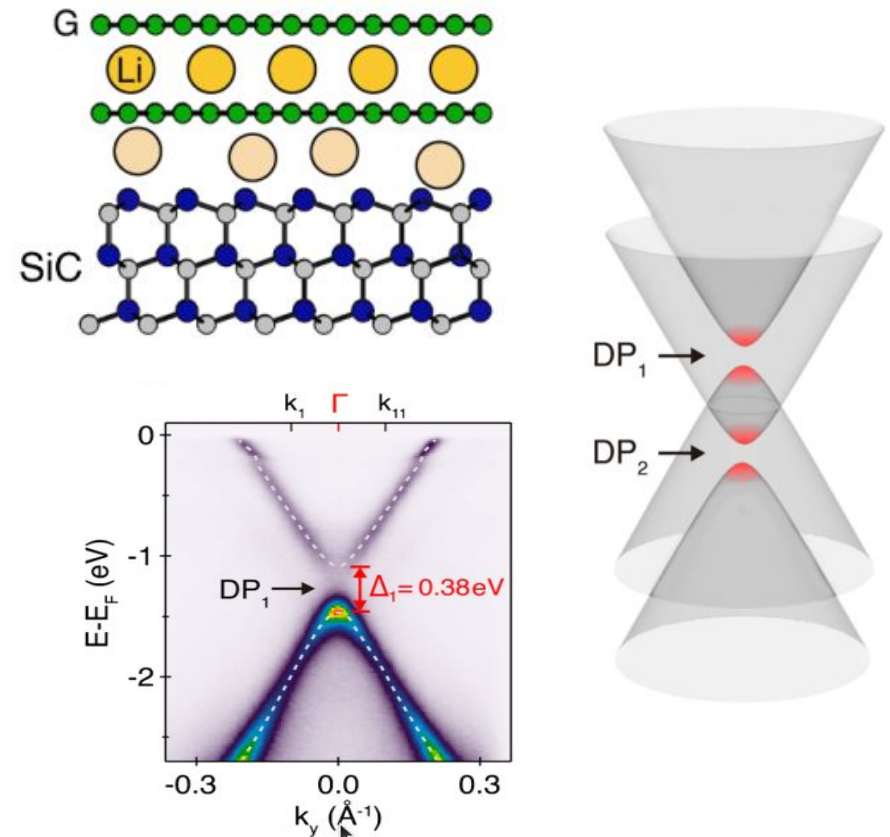
Experimental evidence to KD graphene

Kek-Y



Gutiérrez, C., et al. (2016). *Nat. Phys.*, 12(10), 950-958.

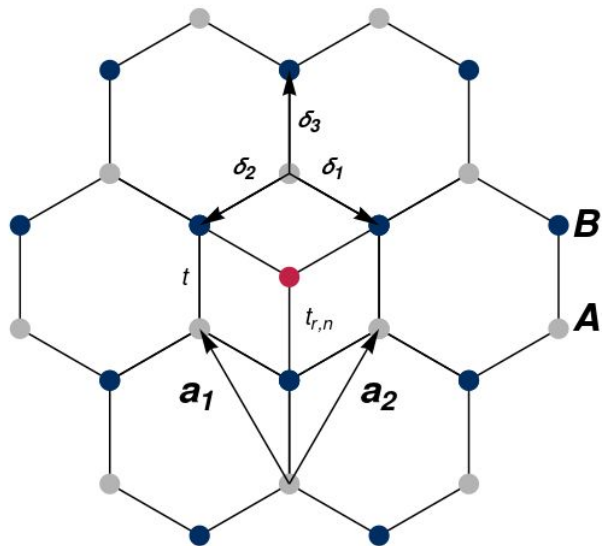
Kek-O



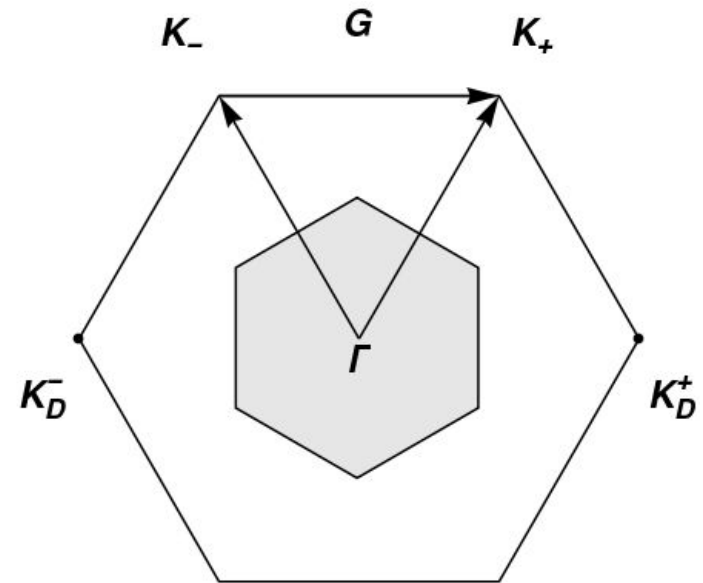
Bao, C., et al. (2021). *Phys. Rev. Lett.*, 126(20), 206804.

Kekulé-modulated α -T₃ model

Crystal structure



Unit cell



1BZ

$$\delta_1 = \frac{1}{2}(\sqrt{3}, -1), \quad \delta_2 = -\frac{1}{2}(\sqrt{3}, 1), \quad \delta_3 = (0, 1)$$

$$a_1 = \delta_3 - \delta_1, \quad a_2 = \delta_3 - \delta_2$$

$$K_{\pm} = \frac{2\pi\sqrt{3}}{9}(\pm 1, \sqrt{3})$$

$$G = K_+ - K_-$$

Tight-binding model

Real-space formulation

- Tight-binding Hamiltonian

$$H = -t \sum_{\mathbf{r}} \sum_{n=1}^3 b_{\mathbf{r}}^{\dagger} a_{\mathbf{r}-\delta_n} - \alpha \sum_{\mathbf{r}} \sum_{n=1}^3 t_{\mathbf{r},n} b_{\mathbf{r}}^{\dagger} c_{\mathbf{r}+\delta_n} + H.c$$

- Hopping of B-C bonds

$$t_{\mathbf{r},n} = t[1 + 2\text{Re}(e^{i(p\mathbf{K}_+ + q\mathbf{K}_-) \cdot \delta_n + i\mathbf{G} \cdot \mathbf{r}})], \quad p, q \in \mathbb{Z}_3$$

$$q - p \text{ mod } 3 = -2$$

Transformation to momentum space

- Hamiltonian in momentum space

$$H = -\Psi_{\mathbf{k}}^\dagger \begin{pmatrix} \mathbf{0} & \mathcal{F}(\mathbf{k}) & \mathbf{0} \\ \mathcal{F}(\mathbf{k})^\dagger & \mathbf{0} & \alpha \mathcal{E}(\mathbf{k}) \\ \mathbf{0} & \alpha \mathcal{E}(\mathbf{k})^\dagger & \mathbf{0} \end{pmatrix} \Psi_{\mathbf{k}}$$

$$\Psi_{\mathbf{k}} = (a_{\mathbf{k}}, a_{\mathbf{k}-\mathbf{G}}, a_{\mathbf{k}+\mathbf{G}}, b_{\mathbf{k}}, b_{\mathbf{k}-\mathbf{G}}, b_{\mathbf{k}+\mathbf{G}}, c_{\mathbf{k}}, c_{\mathbf{k}-\mathbf{G}}, c_{\mathbf{k}+\mathbf{G}}),$$

$$\mathcal{F}(\mathbf{k}) = \begin{pmatrix} f_0 & 0 & 0 \\ 0 & f_{-1} & 0 \\ 0 & 0 & f_1 \end{pmatrix}, \quad \mathcal{E}(\mathbf{k}) = \begin{pmatrix} f_0 & \Delta f_0 & \Delta^* f_0 \\ \Delta^* f_{-1} & f_{-1} & \Delta f_{-1} \\ \Delta f_1 & \Delta^* f_1 & f_1 \end{pmatrix}$$

$$\Delta = e^{i2\pi(p+q)/3}, \quad f_n = f(\mathbf{k} + n\mathbf{G}) \quad f(\mathbf{k}) = t \sum_{n=1}^3 e^{i\mathbf{k} \cdot \delta_n}$$

Low-energy Hamiltonian

- Hamiltonian ($\alpha \ll 1$)

$$H = -\Psi_{\mathbf{k}}^\dagger \begin{pmatrix} \mathbf{0} & \mathcal{F}(\mathbf{k}) & \mathbf{0} \\ \mathcal{F}^\dagger(\mathbf{k}) & \mathbf{0} & \alpha\mathcal{E}(\mathbf{k}) \\ \mathbf{0} & \alpha\mathcal{E}^\dagger(\mathbf{k}) & \mathbf{0} \end{pmatrix} \Psi_{\mathbf{k}}$$

$$\mathcal{F}(\mathbf{k}) = \begin{pmatrix} f_{-1} & 0 \\ 0 & f_1 \end{pmatrix}, \quad \mathcal{E}(\mathbf{k}) = \begin{pmatrix} f_{-1} & \Delta f_{-1} \\ \Delta^* f_1 & f_1 \end{pmatrix},$$

$$\Psi_{\mathbf{k}} = (a_{\mathbf{k}-\mathbf{G}}, a_{\mathbf{k}+\mathbf{G}}, b_{\mathbf{k}-\mathbf{G}}, b_{\mathbf{k}+\mathbf{G}}, c_{\mathbf{k}-\mathbf{G}}, c_{\mathbf{k}+\mathbf{G}})$$

- Low-energy approach ($\alpha \ll 1$)

$$f_{\pm 1} = \hbar v_F (\mp k_x + k_y i), \quad v_F = \frac{3t}{2\hbar}$$

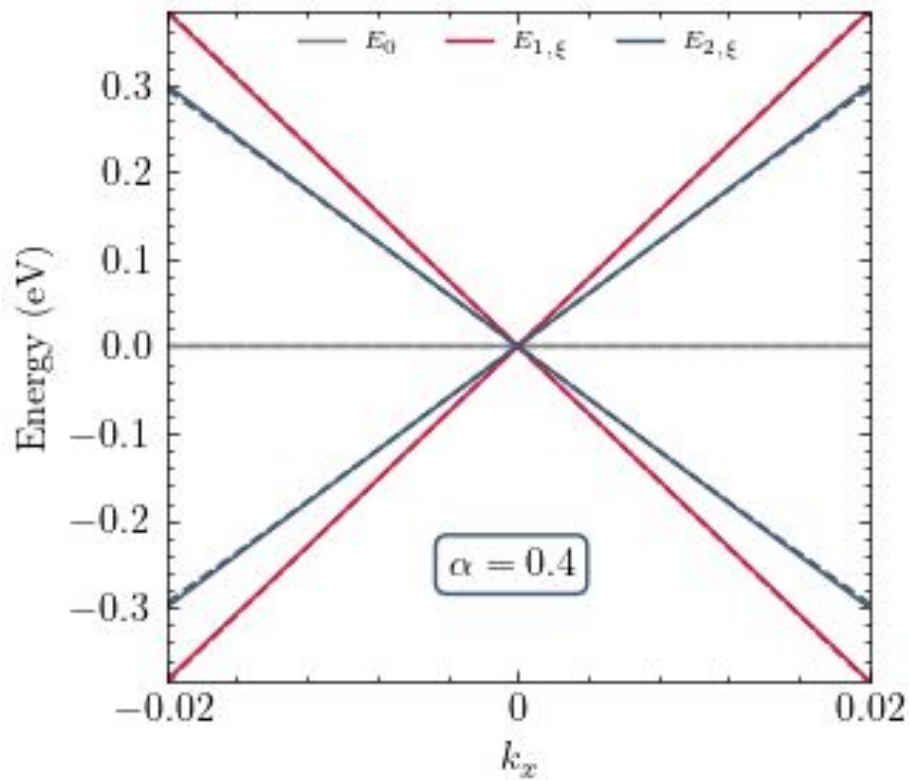
Diagonalization of Hamiltonian

- Dispersion relation

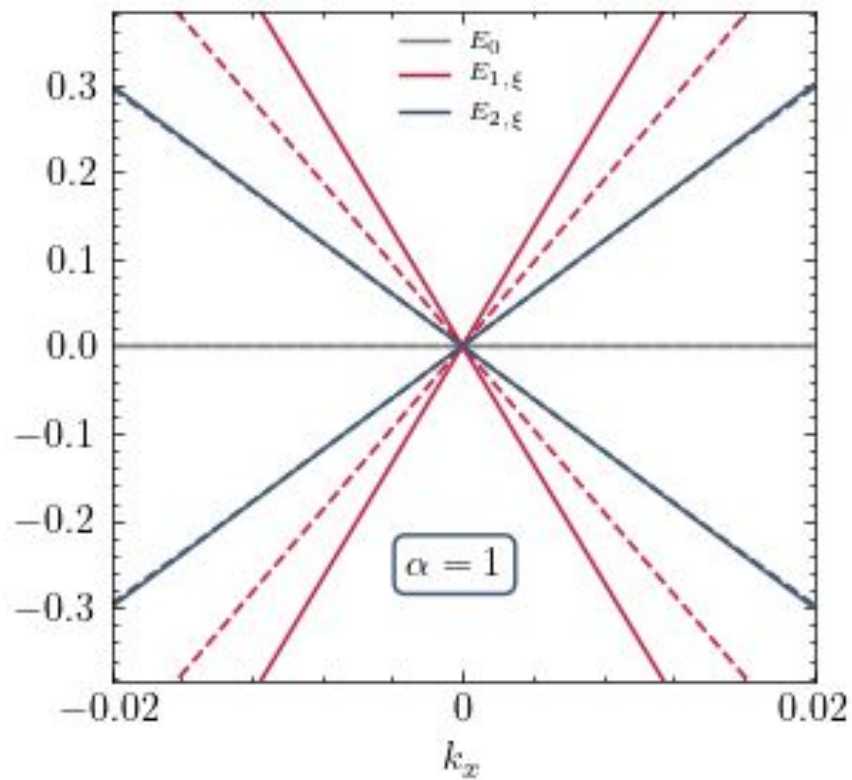
$$E_0 = 0, \quad E_{1,\pm} = \pm v_F p, \quad E_{2,\pm} = \pm v_F p \sqrt{1 + 4\alpha^2}$$
$$p = \hbar |\mathbf{k}|$$

- Eigenfunctions

$$\Psi_0^1 = \frac{1}{\sqrt{2\alpha^2 + 1}} \begin{pmatrix} -\alpha e^{i2\theta} \\ -\alpha e^{-i2\theta} \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \quad \Psi_0^2 = \frac{1}{\sqrt{2\alpha^2 + 1}} \begin{pmatrix} -\alpha e^{i2\theta} \\ -\alpha e^{-i2\theta} \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \quad \Psi_{1,\pm} = \frac{1}{2} \begin{pmatrix} \pm e^{2i\theta} \\ \mp e^{-2i\theta} \\ e^{i\theta} \\ 1 \\ 0 \\ 0 \end{pmatrix}, \quad \Psi_{2,\pm} = \frac{\alpha}{\sqrt{4\alpha^2 + 1}} \begin{pmatrix} \frac{e^{2i\theta}}{2\alpha} \\ \frac{e^{-2i\theta}}{2\alpha} \\ \pm \frac{\sqrt{4\alpha^2 + 1} e^{i\theta}}{2\alpha} \\ \mp \frac{\sqrt{4\alpha^2 + 1} e^{-i\theta}}{2\alpha} \\ 1 \\ 1 \end{pmatrix}$$
$$\theta = \tan^{-1}(p_x/p_y)$$



**Dispersion relation for
small α**



**Dispersion relation for
large α**

Thank you!

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