



INVESTOR DECLARATION & APPLICATION

Personal Details

First Name..... Last Name.....
Address.....
Town..... Post Code.....
Email.....
Mobile.....

Declaration and Application

I confirm that the information provided above with this application is accurate and complete.

I accept that Angel Academe is a membership organisation and reserves the right to refuse membership and introduce membership fees at its absolute discretion.

I understand that the role of Angel Academe is to link businesses seeking investment with potential investors. I/we accept that Angel Academe and associated organisations and their representatives are not authorised under the Financial Services and Markets Act 2000 ("FSMA") to give advice on the merits of any investment or to carry out any other form of regulated investment activity.

I consent to being informed of possible investment opportunities by email, phone or other convenient means.

Cont...

I acknowledge that Angel Academe and its associated organisations have drawn to my/our attention the following statement:

Investment in new businesses and existing private businesses carries high risks, as well as the possibility of high rewards. It is highly speculative and potential investors should be aware that no established market exists for the trading of shares or capital interests in private companies, LLPs or partnerships. Before investing in a project about which information is given, potential investors are strongly advised to take advice from a person authorised under FSMA who specialises in advising on investments of this kind. Potential investors are expected to carry out their own due diligence into the opportunities presented to them.

I accept that Angel Academe or its associated organisations reserve the right to suspend or discontinue its services for whatever reason at any time.

I understand that proposals for investment are submitted in confidence to investors registered with Angel Academe and that any and all information on these proposals provided to me is done so on the understanding that such information will be held **confidential** to myself and my advisors and will not be communicated to any other person nor will it be used for any purpose other than in connection with considering whether or not I should enter into discussion and seek further information with a view to providing funds to the business which the proposals describe.

I accept that no liability can be accepted by Angel Academe and associated organisations for any claims, costs, damages, expenses or other losses of whatever nature (whether direct or indirect, special or consequential and including but not limited to anticipated profit or savings, or otherwise) arising from attendance at an event organised by Angel Academe or my introduction to any business introduced directly or indirectly by Angel Academe (save such liability which may not be excluded or limited in law).

I understand that any business introduced through Angel Academe or associated organisations in which I invest, will be liable to pay Angel Academe an investment fee as agreed with the business. I agree to inform Angel Academe within 7 days of any such investments.

I understand that I need to confirm that I am a High Net Worth Individual **or** Self-Certified Sophisticated Investor (as defined in the next pages) in order to receive investment promotions from Angel Academe.

Signature: Date:

Cont...

HIGH NET WORTH INDIVIDUAL INVESTOR STATEMENT

If you meet **condition A or B below**, you may choose to be classified as a high net worth individual for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

In the last financial year, did you have:

- A) An annual **income of £100,000 or more**? Income does NOT include any one-off pension withdrawals.

- ☐ No
☐ Yes

If yes, please specify your income (as defined above) to the nearest £10,000 in the last financial year [£_____]

AND/OR

- B) **Net assets of £250,000 or more**? Net assets do NOT include: your home (primary residence), any loan secured on it or any equity released from it; your pension (or any pension withdrawals) or any rights under insurance contracts. Net assets are total assets minus any debts you owe.

- ☐ No
☐ Yes

If yes, please specify your net assets (as defined above) to the nearest £10,000 in the last financial year [£_____]

OR

- C) None of these apply to me.

- ☐ Yes

I declare that I have answered yes to A and/or B and wish to be treated as a high net worth individual.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA); and
- b) I can expect **no protection** from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

Signature: Date:

ANGEL ACADEME

Registered Address: 30 Brunswick Rd, Shoreham-by-Sea BN43 5WB

Company Registration No: 6401820. VAT No: 923868100. Website: www.angelacademe.com

CERTIFIED SOPHISTICATED INVESTOR STATEMENT

If you meet **condition A, B or C below**, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

Have you:

- A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

- ☐ No
☐ Yes

If yes, what is/was the name of the business/organisation? [_____]

- B) Been the director of a company with an annual turnover of at least £1 million, in the last two years?

- ☐ No
☐ Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)? [_____]

- C) Been a member of a network or syndicate of business angels for more than six months, and are still a member?

- ☐ No
☐ Yes

If yes, what is the name of the network or syndicate? [_____]

- D) Made two or more investments in an unlisted company in the last two years?

- ☐ No
☐ Yes

If yes, how many investments in unlisted companies have you made in the last two years? [_____]

OR

- E) None of these apply to me.

- ☐ Yes

I declare that I have answered yes to A and/or B and/or C and/or D and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA); and
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