## **MEDIATION PLAN FORMAT**

# 1. INTRODUCTION

Provide a background of the dispute and a brief biography of both parties.

#### 2. MEDIATION STRATEGY

Overall approach to be taken to achieve a good outcome.

#### 3. PARTY'S UNDERLYING INTEREST

Describe your party's interests that it planned to advance in the Mediation Session by reference to the GI.

## 4. PARTY'S BEST ALTERNATIVE TO A NEGOTIATED AGREEMENTS (BATNA)

- a) No alternative dispute resolution clause and straight to litigation; or
- b) To reach a mutually acceptable dispute resolution clause that contains the following:
  - i. Arbitration clause, without negotiation and/or mediation step
  - ii. Administered arbitration;
  - iii. Three-members of Arbitral Tribunal
  - iv. No confidentiality clause

### 5. OTHER PARTY'S UNDERLYING INTERESTS

Describe the other party's interests that it may advance in the Mediation Session by reference to the GI.

#### 6. OTHER PARTY'S LIKELY BATNA

To reach a mutually acceptable dispute resolution clause that contains the following:

- a) A multi-tiered dispute resolution clause (negotiation, mediation and arbitration);
- b) Ad-Hoc arbitration;
- c) Sole arbitrator; and
- d) Confidentiality Clause.

# 7. ZONE OF POSSIBLE AGREEMENT (ZOPA)