Please answer the following questions as thoroughly as possible.

1. Have you read the UMN guidelines and policies for conducting research with Indigenous communities?
   Yes No

2. Have you completed the training modules provided by the Office of Native American Affairs? The modules can be found on ONAA's website under Faculty and Staff Resources. https://naa.umn.edu/faculty-and-staff-resources
   Yes No

If you have answered No to either question 1 or 2, do not proceed with this form. Please complete those requirements.

Is your research on Tribal Lands? If so, please answer the following:

3. Is your research occurring on Tribal Lands? If so, do you have Tribal IRB or Council approval for your proposed research project? If yes, please attach corresponding documentation. If not, what is your plan to acquire approval? If you don't believe you need Tribal IRB or Council approval, please explain your reasoning.

4. Has a Tribal IRB or Council rejected your proposal? If so, do not proceed until you obtain approval. NOTE: Please email Karen Diver (karend@umn.edu) directly when you have acquired the approval so she is able to retrieve your IRB protocol for review.

5. How do you plan to address the reasons for the rejection? Please attach any relevant documentation related to the proposal from the previous Tribal IRB or Council.

6. What is your dissemination plan? Are you required to submit deliverables to a Tribal IRB or Council for approval prior to dissemination? If so, does this occur for every time you present the research and/or change formats.

7. Do you have grant money to conduct this research? If so, how do you plan to invest in the community?

Is your research off Tribal Lands or in an urban Indian setting ? If so, please answer the following:

8. If you are working off Tribal lands or in an urban Indian setting, do you have an Indigenous/Native organization as a partner, or an advisory board to guide the work?

9. Have you engaged the community in the development of your research plan? If not yet, how do you foresee community involvement in the research process?

The following questions are additional points to consider when developing a research plan that aligns with best practices in Indigenous communities. Protocol approval is not contingent upon your answers, but addressing them ensures a higher quality research plan.

- How does your project build community capacity? Capacity can include resource, personnel, or infrastructure development, expansion, or sustainability. Additionally, it could include hiring services run by the Tribe or owned by community members.

- Do you plan to hire and/or train Tribal members?

- Do you plan to hire Indigenous students to work on your project?

- Is there an Indigenous staff or faculty member on your research team?

- What are the specific risks for the Tribal community or individuals if they agree to participate in your study?

- What are the benefits to the Tribal community if they agree to participate in your study?

- What is your data management agreement with the Tribe? If you are working off Tribal lands and/or in an urban Indian setting, how does your data management plan support data sovereignty?

- Have you received rETHICS training and/or certification? If you have not received rETHICS training, but are interested please contact the Center of American Indian and Minority Health at caimh@d.umn.edu.

U of M Indigenous research review process

1. Flagging: Protocol or research project is flagged either in SPA or by IRB.
    a. AIAN Community
    b. AIAN land
    c. Culturally important subject matter, eg., wild rice, traditional medicines…..
2. Form completed and sent to Karen Diver's office
3. Karen Diver reviews and gives one of three recommendations:
    a. Great, move on
    b. Okay, make revisions
    c. Terrible, needs extensive work
4. Recommendation back to IRB

Template Approval Form

1. Approved, no further recommendations or revisions needed.

2. Conditionally approved with the following revisions:
    a. Recommendation 1
    b. Recommendation 2

    NOTE: Please email Karen Diver (karend@umn.edu) directly when you have acquired the approval so she is able to retrieve your IRB protocol for review.

3. Not approved, needs extensive modification.
    a. Problem 1
    b. Problem 2
    c. Problem 3

    NOTE: Please email Karen Diver (karend@umn.edu) directly when you have acquired the approval so she is able to retrieve your IRB protocol for review.