

IEEE 730-2002

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1. Purpose

This section shall delineate the specific purpose and scope of the particular SQAP. It shall list the name(s) of the software items covered by the SQAP and the intended use of the software. It shall state the portion of the software life cycle covered by the SQAP for each software item specified.

2. Reference Documents

This section shall provide a complete list of documents referenced elsewhere in the text of the SQAP. Included in this list shall be the documents that were used in developing the SQAP, including policies or laws that give rise to the need for this plan as well as other plans or task descriptions that elaborate details of this plan. The version and date of each document shall be included in the list.

3. Management

This section shall describe the project's organization structure, its tasks, and its roles and responsibilities (see IEEE Std 1058™-1998 [B13]).

3.1 Organization

This section shall depict the organizational structure that influences and controls the quality of the software. This shall include a description of each major element of the organization together with the roles and delegated responsibilities.

The amount of organizational freedom and objectivity to evaluate and monitor the quality of the software, and to verify problem resolutions, shall be clearly described and documented. In addition, the organization responsible for preparing and maintaining the SQAP shall be identified.

3.2 Tasks

This section shall describe:

- a) That portion of the software life cycle covered by the SQAP.
- b) The tasks to be performed.
- c) The entry and exit criteria for each task.
- d) The relationships between these tasks and the planned major checkpoints. The sequence and relationships of tasks, and their relationship to the project management plan master schedule, shall be indicated.

3.3 Roles and responsibilities

This section shall identify the specific organizational element that is responsible for performing each task.

3.4 Quality assurance estimated resources

This section shall provide the estimate of resources and the costs to be expended on quality assurance and quality control tasks.

4. Documentation

4.1 Purpose

This section shall perform the following functions:

- a) Identify the documentation governing the development, verification and validation, use, and maintenance of the software.
- b) List which documents are to be reviewed or audited for adequacy. For each document listed, identify the reviews or audits to be conducted and the criteria by which adequacy is to be confirmed, with reference to section 6 of the SQAP.

4.2 Minimum documentation requirements

To ensure that the implementation of the software satisfies the technical requirements, the following documentation is required as a minimum.

The content of these documents may be included in other documents as long as traceability to the required information is maintained; e.g., a reference in that section of the document or a traceability table.

4.2.1 Software requirements description (SRD)

The SRD should specify requirements for a particular software product, program, or set of programs that perform certain functions in a specific environment. The SRD may be written by the supplier (internal or external), the customer, or by both. The SRD should address the basic issues of functionality, external interfaces, performance, attributes, and design constraints imposed on implementation.

Each requirement should be uniquely identified and defined such that its achievement is capable of being objectively verified and validated (see IEEE Std 830™-1998 [B5]).

4.2.2 Software design description (SDD)

The SDD should depict how the software will be structured to satisfy the requirements in the SRD. The SDD should describe the components and subcomponents of the software design, including databases and internal interfaces.

The SDD may be prepared first as the architecture design (also sometimes referred to as the toplevel SDD) and should be subsequently expanded to produce the detailed SDD (see IEEE Std 1016™-1998[B11]).

4.2.3 Verification and validation plans

Verification and validation processes are used to determine if developed software products conform to their requirements, and whether the software products fulfill the intended use and user expectations. This includes analysis, evaluation, review, inspection, assessment, and testing of the software products and the processes that produced the products. Also, the software testing, validation, and verification processes apply when integrating purchased or customer-supplied software products into the developed product.

The verification plan should document the verification tasks and the validation plan should document the validation tasks. If desired, the verification plan and validation plan may be packaged together in a single document. Each plan defines the verification and validation tasks and required inputs and outputs needed to maintain the appropriate software integrity level. It also provides a means of verifying the implementation of the requirements of the SRD in the design as expressed in the SDD and in the testing as expressed in the project's test documentation (see IEEE Std 829™-1998 [B4], IEEE Std 1008™-1987 [B8], and IEEE Std 1012™-1998 [B9], and IEEE Std 1012a™-1998 [B10]).

4.2.4 Verification results report and validation results report

The verification results report should describe the results of the software verification activities conducted according to the verification plan. The validation results report should describe the results of the software test or validation carried out according to the validation plan.

4.2.5 User documentation

User documentation guides the users in installing, operating, managing, and maintaining (does not apply when modifying software source code) software products.

The user documentation should describe the data control inputs, input sequences, options, program limitations, and all other essential information for the software product. All error messages should be identified and described.

All corrective actions to correct the errors causing the error messages shall be described. The documentation is applicable to any portion of the embedded software with which the user interacts directly. (see IEEE Std 1063™ -1987 [B14]).

4.2.6 Software configuration management plan (SCMP)

The SCMP should document what software configuration management (SCM) activities should be accomplished, how they should be accomplished, who is responsible for specific tasks, the schedule of events, and what resources will be utilized.

The SCMP should also define the methods and facilities used to maintain, store, secure, and document controlled versions and related artifacts of the identified software during all phases of the software life cycle. This may be implemented in conjunction with a computer program library. Part of the plan includes documenting how the release and delivery of the product is managed.

The plan can address SCM tasks over any portion of the product's development life cycle. At a minimum, the plan should address the SCM tasks that apply to the portion of the life cycle covered by the SQAP (see IEEE Std 828™ -1998 [B3]).

4.3 Other documentation

Identify other documents applicable to the software development project and software product that may be required. Other documentation may include the following:

NOTE—Names of various artifacts listed below use the terminology contained in IEEE/EIA 12207.1-1997 [B18].

- a) Development process plan
- b) Software development standards description
- c) Software engineering methods/procedures/tools description
- d) Software project management plan (see IEEE Std 1058™ -1998 [B13])
- e) Maintenance plan (see IEEE Std 1219™ -1998 [B15])
- f) Software safety plans (see IEEE Std 1228™ -1994 [B16])
- g) Software integration plan

5. Standards, practices, conventions, and metrics

5.1 Purpose

This section shall:

- a) Identify the standards, practices, conventions, statistical techniques to be used, quality requirements, and metrics to be applied. Product and process measures shall be included in the metrics used and may be identified in a separate measurement plan (see IEEE Std 1219-1998 [B15] and IEEE Std 1228-1994 [B16]).
- b) State how conformance with these items is to be monitored and assured.

5.2 Content

The subjects covered shall include the basic technical, design, and programming activities involved, such as documentation, variable and module naming, programming, inspection, and testing. As a minimum, the following information shall be provided (see IEEE Std 982.1™ -1988 [B6] and IEEE Std 982.2™ -1988 [B7]):

- a) Documentation standards
- b) Design standards
- c) Coding standards
- d) Commentary standards
- e) Testing standards and practices
- f) Selected software quality assurance product and process metrics

6. Software reviews

6.1 Purpose

This section shall (see IEEE Std 1028™-1997 [B12]):

- a) Define the software reviews to be conducted. They may include managerial reviews, acquirer-supplier reviews, technical reviews, inspections, walk-throughs, and audits.
- b) List the schedule for software reviews as they relate to the software project's schedule.
- c) State how the software reviews shall be accomplished.
- d) State what further actions shall be required and how they shall be implemented and verified.

6.2 Minimum requirements

As a minimum, the following software reviews shall be conducted.

6.2.1 Software specifications review (SSR)

The SSR is held to assure the adequacy of the requirements stated in the SRD.

6.2.2 Architecture design review (ADR)

The ADR is held to evaluate the technical adequacy of the preliminary design (also known as top-level design) of the software as depicted in the preliminary software design description.

6.2.3 Detailed design review (DDR)

The DDR is held to determine the acceptability of the detailed software designs as depicted in the detailed software design description in satisfying the requirements of the SRD.

6.2.4 Verification and validation plan review

The verification and validation plan review is held to evaluate the adequacy and completeness of the verification and validation methods defined in the verification and validation plans.

6.2.5 Functional audit

This audit is held prior to the software delivery to verify that all requirements specified in the SRD have been met.

6.2.6 Physical audit

This audit is held to verify internal consistency of the software and its documentation, and their readiness for release.

6.2.7 In-process audits

In-process audits of samples of the design are held to verify the consistency of the design, including:

- a. Code versus design documentation
- b. Interface specifications (hardware and software)
- c. Design implementations versus functional requirements
- d. Functional requirements versus test descriptions

6.2.8 Managerial reviews

Managerial reviews are held periodically to assess the execution of all of the actions and the items identified in the SQAP. Management reviews are carried out by, or on behalf of, the management personnel having direct responsibility for the system. This review may require additional changes in the SQAP itself.

6.2.9 Software configuration management plan review (SCMPR)

The SCMPR is held to evaluate the adequacy and completeness of the configuration management methods defined in the SCMP.

6.2.10 Post-implementation review

This review is held at the conclusion of the project to assess the development activities on that project and to provide recommendations for appropriate actions.

6.3 Other reviews and audits

Other reviews and audits may include the user documentation review (UDR). This review is held to evaluate the adequacy (e.g., completeness, clarity, correctness, and usability) of the user documentation.

7. Test

This section shall identify all the tests not included in the software verification and validation plan for the software covered by the SQAP and shall state the methods to be used. If a separate test plan exists it shall be referenced.

8. Problem reporting and corrective action

This section shall:

- a. Describe the practices and procedures to be followed for reporting, tracking, and resolving problems or issues identified in both software items and the software development and maintenance process.
- b. State the specific organizational responsibilities concerned with their implementation.

9. Tools, techniques, and methodologies

This section shall identify the software tools, techniques, and methods used to support SQA processes. For each, this section shall state the intended use, applicability, or circumstances under which it is to be used or not to be used, and limitations.

10. Media control

This section shall state the methods and facilities to be used to:

- a. Identify the media for each intermediate and deliverable computer work product and the documentation required to store the media, including the copy and restore process.
- b. Protect computer program physical media from unauthorized access or inadvertent damage or degradation during all phases of the software life cycle. This may be provided as a part of the SCMP. If so, an appropriate reference shall be made thereto.

11. Supplier control

This section shall state the provisions for assuring that software provided by suppliers meets established requirements. In addition, this section shall state the methods that will be used to assure that the software supplier receives adequate and complete requirements.

For previously developed software, this section shall state the methods to be used to ensure the suitability of the product for use with the software items covered by the SQAP.

For software that is to be developed, the supplier shall be required to prepare and implement an SQAP in accordance with this standard. This section shall also state the methods to be employed to assure that the suppliers comply with the requirements of this standard.

If software is to be developed under contract, then the procedures for contract review and update shall be described.

12. Records collection, maintenance, and retention

This section shall identify the SQA documentation to be retained, shall state the methods and facilities to be used to assemble, file, safeguard, and maintain this documentation, and shall designate the retention period.

13. Training

This section shall identify the training activities necessary to meet the needs of the SQAP.

14. Risk management

This section shall specify the methods and procedures employed to identify, assess, monitor, and control areas of risk arising during the portion of the software life cycle covered by the SQAP.

15. Glossary

This section shall contain a glossary of terms unique to the SQAP.

16. SQAP change procedure and history

This section shall contain the procedures for modifying the SQAP and maintaining a history of the changes. It shall also contain a history of such modifications.