



Disciplinary Procedure - Version 1.0

JURISDICTION AND DISCIPLINARY MATTERS

The aim of these disciplinary procedures (the “Procedures”) is to provide a framework within which the Club can work with Members to maintain satisfactory standards of conduct. The standards of conduct expected of all Members are set out in the Club’s Code of Conduct. Copies of these documents can be located on the NCLTC website.

These Procedures are made by the Club in relation to any disciplinary matters, which include without limitation the following:

- Alleged breaches of the Club Rules or Policies;
- Alleged breaches of the Club Code of Conduct;
- Any matter in which a member engages in any conduct which is inappropriate, unlawful, unsporting, or behaves in a manner which is unacceptable, or opposed to the general interests of the Club, or which brings the Club into disrepute.
- Risk of causing serious harm to another individual.
- Repeated breaches of the Code of Conduct.

These Procedures apply to all Members of the Club. For the avoidance of doubt, where an issue constitutes a safeguarding matter, these procedures will only be applied following the conclusion of any statutory and/or LTA investigation. These Procedures may be amended by the Club at any time and at its sole discretion, and such amendments shall be effective from the date stated.

The Club shall not be liable to any person, including without limitation, any member, for any loss, however caused, whether direct, indirect, financial, or consequential, arising out of, or in connection with any action taken under these procedures.

Disciplinary proceedings shall be commenced against a Member in accordance with these Procedures where the Secretary of the Committee receives a ‘Notice of Complaint’, or becomes aware of a disciplinary matter referred to above.

If the Chairperson, Secretary, or a member of the Committee is involved in a disciplinary matter, either as Complainant, or Respondent, then they shall not be involved in any part of the disciplinary process relating to the matter.

NOTICE OF COMPLAINTS

A Notice of Complaint may be lodged with the Club Secretary by any person, or body, which shall include without limitation, another member or the Committee of the Club, or a member of the public, in relation to an alleged disciplinary matter. If the complaint concerns the Secretary, the Complainant may submit it to the Chairperson instead.

The Notice of Complaint shall be made in writing no later than 28 days following the alleged incident (or knowledge of the incident by the Complainant) and shall set out details of the Complaint including, where applicable, the nature of the alleged Breach and any supporting evidence.

INITIAL INVESTIGATION

The purpose of an investigation under these procedures is to establish a fair and balanced view of the facts relating to any disciplinary Complaint, before deciding whether there is a case to answer. The scope and extent of the investigation will vary depending on the nature of the Complaint.

On receipt of a Notice of Complaint, the Secretary becoming aware of an alleged disciplinary matter, they shall within 7 days investigate the complaint. Then within 28 days:

- Commence an initial investigation into the matter to gather information and evidence.
- Forward a copy of the Notice of Complaint to the Respondent and invite a written response from the Respondent, together with any supporting evidence.
- Undertake any further investigation deemed appropriate to assist the Secretary in determining the best course of action to resolve the Complaint, or commence disciplinary action.

Members are expected to co-operate fully and promptly with any investigation.

Upon completion of these steps the Secretary may take any of the following steps:

- Decide that no further action is required, in which case the Secretary shall notify the Respondent and the Complainant in writing explaining the reasons why the complaint has been dismissed.
- Deal with the matter by way of advice, information, training and/or mediation between the respective parties; or
- Refer the matter for consideration by a Disciplinary Committee.

The Secretary shall inform the Complainant and the Respondent of the course of action being taken within 7 days of the initial investigation concluding. If the Secretary considers that the matter should be referred to a Disciplinary Committee, the Respondent shall be informed of the nature of Breach being brought, the basis of the Breach and the likely range of consequences if the Disciplinary Committee upholds the Complaint. The Respondent will also be provided with a summary of the relevant information gathered during the investigation (including copies of any relevant documentation). The Respondent shall be granted the opportunity to either accept, or deny the alleged Breach.

If the Respondent accepts the alleged breach, then the matter shall be considered by the Disciplinary Committee, at a hearing to determine the sanction only and the Respondent shall only be granted leave to appeal on the grounds that the sanction imposed was disproportionate to the circumstances of the case.

If the Respondent denies the Charge, then the matter shall be determined at a full hearing dealt with by the Disciplinary Committee.

CONFIDENTIALITY

The Club will deal with disciplinary matters sensitively and with due respect for the privacy of any individuals involved. All Members must treat as confidential any information communicated to them in connection with an investigation, or disciplinary matter.

DISCIPLINARY COMMITTEE

A Disciplinary Committee consisting of 5 members shall be established and appointed by the Committee. The Disciplinary Committee shall elect one of its members to act as Chair.

The Disciplinary Committee shall have jurisdiction to conduct disciplinary hearings and impose sanctions upon those persons who are the subject of an alleged Breach. The Disciplinary Committee shall make its decisions by way of a majority vote. Each member of the Disciplinary Committee must have no personal interest in the outcome of the proceedings (other than to ensure that the decision is fair) and have no previous knowledge, or involvement with the matter under consideration.

If a matter is referred to the Disciplinary Committee and a member of the Disciplinary Committee either declares an interest, or is deemed to have an interest by the Secretary, or the Committee, then such

person(s) shall be replaced on the Disciplinary Committee. This is on the understanding that the Disciplinary Committee will be convened on a case-by-case basis, rather than there being a standing Disciplinary Committee.

The Committee, in assessing whether a member of the Disciplinary Committee has an interest in the outcome of proceedings, shall give due consideration to any objections raised by the Complainant and Respondent.

DISCIPLINARY HEARINGS

The Disciplinary Committee may hear a disciplinary matter by way of oral hearing, or consider the allegations based on written submissions from the parties as appropriate. If an oral hearing shall be held, the Secretary shall make such arrangements for the hearing to be held within a reasonable time and shall provide the Respondent with the details of the hearing. If the Respondent cannot attend a scheduled hearing, the Respondent should immediately inform the Secretary who will arrange an alternative time. If the Respondent fails to attend a hearing without notice, or good reason, the Disciplinary Committee is permitted to reach a decision in the Respondent's absence, based upon the available evidence.

Written notes of the hearing shall be made by a person appointed by the Disciplinary Committee. No electronic recordings will be permitted to be made of the hearing, by the Respondent, or otherwise.

The Respondent may be accompanied by another individual, who may attend the hearing in a supporting capacity. The identity of the companion must be confirmed to the Disciplinary Committee in advance of the hearing. The Disciplinary Committee may object to the attendance of the companion if, in its sole discretion, there are reasonable grounds to do so. The Respondent will be afforded an opportunity to choose an alternative companion. The companion shall not be permitted to make submissions to the Disciplinary Committee on behalf of the Respondent, nor to answer any questions on the Respondent's behalf.

The procedure for an oral hearing shall be flexible and shall be at the discretion of the Chair of the Disciplinary Committee, who may make such decisions as necessary to ensure the orderly and effective conduct of the hearing, subject to the overriding requirement of fairness. The Chair of the Disciplinary Committee will outline the way that the hearing will proceed and will ensure that details of the Complaint are put to the Respondent and that the Respondent is afforded an opportunity to respond.

All disciplinary hearings (disciplinary and appeal) shall take place in private, and the public shall have no right of access.

DECISION AND AVAILABLE SANCTIONS

The standard of proof in all cases before the Disciplinary Committee is the balance of probabilities.

The Disciplinary Committee shall communicate its decision to the parties in writing as soon as reasonably practicable.

The Disciplinary Committee may dismiss the Complaint against the Respondent, or where a Complaint is upheld, impose such sanctions upon the Respondent as it thinks fit including, without limitation:

- Issue a written warning, or reprimand in respect of the misconduct, or rule breach committed. Such warnings will set out the nature of misconduct/breach, the change in behaviour required, the duration of the warning and the likely consequence of further misconduct/breach within that period.
- Suspend or exclude from the Club and Club activities, including competitions, matches, training, meetings, or otherwise.
- Suspend, or exclude from undertaking certain roles within the Club for a specified, or indefinite period.
- Suspend the Respondent's membership of the Club for a specified period.
- Terminate the Respondent's membership of the Club .

- A combination of any of the above, or any other disciplinary sanction, as considered appropriate by the Disciplinary Committee.
- Forfeit of any membership fees paid in advance.

The decision taken by the Disciplinary Committee in relation to the sanction to be imposed must be reasonable and proportionate in all circumstances and consideration may be given to the Respondent's previous disciplinary record (positively, or negatively).

DISCIPLINARY MATTERS INVOLVING CHILDREN OR ADULTS AT RISK

Where a disciplinary matter involves a Child, or an Adult at Risk, the Club, the Disciplinary Committee and/or Appeal Committee must be mindful of the needs of the person in question and take these into account when deciding:

- The format of proceedings, including whether special measures are required, or reasonable adjustments need to be made to the procedure.
- Any action to be taken against such a person.
- The experience, knowledge and training of the members of the Disciplinary Committee.

Written permission should be obtained from any parent/carer of a Child, or Adult at Risk who is asked to attend a hearing.

Where a Child, or Adult at Risk is asked to attend a hearing, they shall be afforded the opportunity to do so accompanied by their parent/carer, or an appropriate adult. The Disciplinary Committee shall take steps to satisfy itself that the Child, or Adult at Risk fully understands the purpose and potential outcomes of the procedure.

For the avoidance of doubt, the refusal of a Child, their parent/carer, or an Adult at Risk to co-operate shall not preclude the Club from taking disciplinary action.

APPEAL

Should the Respondent wish to appeal a decision of the Disciplinary Committee, the Respondent must submit a notice of appeal to the Chairperson in writing and within 14 days of the Disciplinary Committee's decision being issued to them.

The notice of appeal must set out the grounds upon which it is submitted, how the Disciplinary Committee misdirected itself, or otherwise reached an erroneous decision and provide details of any new evidence upon which the Appellant seeks to rely.

APPEAL COMMITTEE

The Committee shall establish an Appeal Committee consisting of 3 members, of which one will act as the Chair of the Appeal Committee. The Appeal Committee shall make its decisions by way of a majority vote. No member of the Appeal Committee shall have any interest, or any previous involvement in the disciplinary matter, which is to be considered by the Appeal Committee, nor be a member of the original Disciplinary Committee. If a decision is referred to the Appeal Committee and a member of the Appeal Committee either declares an interest, or is deemed to have an interest by the Disciplinary Committee, then any such member shall be replaced on the Appeal Committee.

If the Chair of the Appeal Committee deems it appropriate, bearing in mind all the circumstances surrounding the case, they may, at their discretion, request an independent person to act as adviser to the Appeal Committee.

APPEAL HEARINGS

The Appeal Committee shall have jurisdiction to conduct appeal hearings and have the power to:

- Dismiss the appeal, and therefore confirm the Disciplinary Committee's decision.
- Overturn any finding, and/or any sanction imposed by the Disciplinary Committee.
- Substitute an alternative finding.
- Reduce, or increase the original sanction; and/or
- Make such further sanctions as it considers appropriate.

The Appeal Committee may hear an appeal by way of oral hearing, or consider the appeal based on written submissions as appropriate.

The standard of proof in all cases before the Appeal Committee is the balance of probabilities. The appeal shall take place by way of a review. Written notes of the hearing shall be made by a person appointed by the Appeal Committee. No electronic recordings are permitted to be made of the hearing, by the Appellant, or otherwise.

The Appellant may be accompanied by another individual who may attend the hearing in a support capacity. The identity of the companion must be confirmed to the Appeal Committee in advance of the hearing. The Appeal Committee may object to the attendance of the companion if, at its sole discretion, there are reasonable grounds to do so. The Appellant will be afforded an opportunity to choose an alternative companion. The companion shall not be permitted to make submissions to the Appeal Committee on behalf of the appellant, nor to answer any questions on the Appellant's behalf.

The procedure for an appeal hearing shall be flexible and shall be at the discretion of the Chair of the Appeal Committee, which may make such decisions as necessary to ensure the orderly and effective conduct of the hearing, subject to the overriding requirement of fairness. The Chair of the Appeal Committee will outline the way that the appeal hearing will proceed and will ensure that the Appellant is afforded an opportunity to set out clearly the grounds of the appeal.

The Appeal Committee shall communicate its decision to the Appellant in writing (no later than 7 days from the date of the hearing). This will be the end of the procedure and the decision of the Appeal Committee will be final, with no further right of appeal.

DEFINITIONS

Appeal Committee	The Appeal Committee of the Club as appointed in accordance with these Procedures
Appellant	The person, or body who appeals a decision of the Committee

Adult at Risk	A person aged 18 years or over, who has needs for care and support (whether or not the local authority is meeting any of those needs) and is experiencing, or is at risk of, abuse, or neglect and as a result of those care and support needs is unable to protect themselves from either the risk of, or the experience of, abuse or neglect.
Breach	The breach of the Club's Code of Conduct, rules, or generally expected standards of behaviour, which is brought against the Respondent in respect of the disciplinary matter.
Child	Anyone under the age of 18.
Club	NCLTC 54B Weston Favell Weston Favell Northampton NN3 3BX
Club Rules	The rules of the Club, which may include its constitution, terms of membership, code of conduct and any other rules by which the Members are bound in accordance with their membership of the Club
Complaint	A complaint of misconduct or notification of a concern regarding circumstances and/or conduct of a member as referred to in these Procedures
Complainant	The person, or body from whom a Complaint has been received by the Committee.
Committee	The body that is running the Club.
Disciplinary Committee	The Disciplinary Committee of the Club, as appointed in accordance with these Procedures.
Disciplinary Secretary	The person who is nominated as the Disciplinary Secretary by the Committee from time to time.
Member	Any member of the Club
Notice of Complaint	The notice of the Complaint received by the Disciplinary Committee from the Complainant.
Respondent	The person who is the subject of the Complaint, or disciplinary action brought by the Disciplinary Secretary under the Procedures.
Safeguarding Case	A matter which is deemed by the LTA to raise concerns about a child, young person or adult at risk, or an individual who has contact with those groups.

