Roll No…………………….. **Total No. of Printed Pages: 1**

Total No. of Questions: [09]

Integrated Dual Degree B.Com-M.Com (Semester – 4th)
FINANCIAL MARKETS AND SERVICES
Subject Code: BMCMS1402
Paper ID: [21410119]

Time: 03 Hours **Maximum Marks: 60**

Instruction for candidates:

1. Section A is compulsory. It consists of 10 parts of two marks each.
2. Section B consist of 5 questions of 5 marks each. The student has to attempt any 4 questions out of it.
3. Section C consist of 3 questions of 10 marks each. The student has to attempt any 2 questions.

Section – A **(2 marks each)**

Q1. Attempt the following:

a. Briefly describe functions SEBI
b. Describe a stock market index
c. Describe secondary market
d. Define merchant banking
e. What are non-fund based activities of financial service providers
f. Describe objectives of AMFI
g. Define factoring as a financial service
h. What is NAV of a mutual fund
i. What is international factoring
j. What is book building

Section – B **(5 marks each)**

Q2. Describe the SEBI guidelines for new issues.
Q3. Describe the linkage between primary and secondary market. Support your arguments with suitable examples.
Q4. Describe the services provided by merchant bankers.
Q5. Describe the advantages and disadvantages of mutual funds
Q6. Differentiate between factoring and forfeiting.

Section – C **(10 marks each)**

Q7. Provide a classification of mutual fund schemes as provided by SEBI. Support with suitable examples.
Q8. Describe the process of factoring. Describe in detail the services offered by a Factor. Support with suitable examples.
Q9. Write a note on recent development in stock exchanges.