



**FIS SECURITIES LIMITED RC124270**  
**(Member of the Nigerian Exchange Group)**  
**ESTATE ACCOUNT OPENING FORM**

2, Macarthy Street, Onikan, Lagos. (info@fissecuritiesltd.com, [fissecuritiesltd@gmail.com](mailto:fissecuritiesltd@gmail.com)) 09053518412, 08034031400

ESTATE  
NAME.....  
.....

ADDRESS.....  
.....

RESIDENCE LGA.....MOTHER'S MAIDEN NAME .....DOB: MM/DD/YYYY STATE:  
.....

MOBILE  
NO(S).....BVN.....  
.....

STATE OF  
ORIGIN.....NEXTKINS.....  
.....

NEXTKINS PHONE.....  
.....EMAIL(S).....

BANK/BRANCH.....BANK ACCT  
NO.....

DD/MM/YY BANK ACCT WAS OPENED.....BANK SORT  
CODE.....

NAME & SIGNATURE OF ADMOR(s)

.....

.....

.....

DATE:  
.....

FOR OFFICIAL USE ONLY	
RECEIVED..... .....	SIGNATURE/DATE..... .....
ACCOUNT OFFICER..... .....	SIGNATURE/DATE..... .....
APPROVED BY..... .....	SIGNATURE/DATE..... .....

This form must be submitted with photocopies of the following documents and original for sighting.

- |  |                                       |
|--|---------------------------------------|
| 1) Letters of Administration or will & probate | 6) Letter of acct opening/Conversion  |
| 2) Death certificate                           | 7) Payment of fee charged for service |
| 3) Gazette from high court                     | 8) Current passport photograph of ad  |
| 4) Banker's confirmation of signatures letter  | 9) Current valid I.D                  |
| 5) Letter appointing us as stockbroker         | 10) Current Utility bill              |

### **Best Execution Policy**

The concept of best execution is the act of obtaining a combination of price and commission (if any) in a transaction that is most favorable to the client under prevailing market conditions.

When executing trades on behalf of clients, the traders have a duty to select brokers, dealers, or banks that will enable the firm to obtain best execution for our clients and to comply with any applicable legal requirements. Consequently, the trader must, when executing trades, exercise due care, skill, judgement and consideration for execution criteria (as detailed below) when handling client order.

### **Data Privacy Policy**

We at FIS Securities Limited take the privacy and security of our clients' data very seriously and have a Data Privacy Policy which we follow strictly to ensure that your data is secured. Kindly obtain a copy of the policy from the Operations Unit or visit our website: [www.fissecurities.com](http://www.fissecurities.com) for more detailed information about the policy on how we treat your data.