



### **Appendix K: NU IREC Deferral of Oversight Form**

If requesting a deferral of the NU IREC oversight to an external institution, please complete and submit this form along with the external institutions' current approval and all currently approved documents, including CITI certifications for all NU team members.

<b>Submission Type</b>	NU IREC will NOT be the IRB of Record
<b>External Study ID# (if issued)</b>	
<b>Study Title</b>	
<b>Principal Investigator</b>	
<b>Email Address</b>	
<b>School</b>	
<b>Department, Program, Unit, Center, or Institute</b>	
<b>Primary Intent</b>	<input type="checkbox"/> Publication/Presentation or <input type="checkbox"/> Thesis/Dissertation

**1. Name of external institution where ethics approval was granted:**

**2. Name of Principal Investigator at external institution:**



**3. Role of Nazarbayev University personnel:**

<b>Study Team Member(s)</b>	<b>Role in Project</b>	<b>NU Email Address</b>	<b>Copy on Correspondence</b>	<b>Ethics Training Completed</b>
	Principal Investigator		<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

**4. Details of Nazarbayev University involvement:**

*Provide summary and check all applicable boxes.*

Collection of data or samples: ☐ Yes ☐ No ☐ Pre-existing

Receipt of data or samples for analysis:

☐ Identifiable ☐ De-identified/Coded ☐ Not Receiving

Recruitment: ☐ Yes ☐ No

Obtaining consent: ☐ Yes ☐ No

**5. Data/sample transfer and storage procedures at Nazarbayev University:**

**6. Explanation of any discrepancies between external protocol and local procedure:**



**7. Nazarbayev University Study Team Assurance Statement**

- ☐ I attest that the information contained in this application is accurate and complete.
- ☐ I agree to notify the NU IREC immediately of the development of any potential conflict of interest not already disclosed and when applicable, the external institution of record.
- ☐ I understand that if NU has deferred ethics oversight to an external institution, I am responsible for ensuring that the content of the NU IREC file matches the external institution's file within 30 days of any approvals, changes, or other actions.

<b>Name of NU Study Team Member:</b>	
<b>Signature of NU Study Team Member:</b>	
<b>Date:</b>	