



## THE EIGHTH OPERATIONAL PHASE OF THE GEF SMALL GRANTS PROGRAMME

### PROJECT PROPOSAL TEMPLATE<sup>1</sup>

#### GENERAL REQUIREMENTS

This is a standard Small Grants Programme project template for civil society and/or community-based organizations to apply for grants. The template can be adapted and customized with further details and information as needed in accordance with the country's needs and context. All information is required while some sections of the template are expected to be filled with support from the National Coordinator and National Steering Committee.

The Project Proposal should be as brief as possible. The Proposal should be submitted in typed form. The blue font texts in this template are guidance and explanation and can be deleted once the proposal is prepared. Additional attachments may be submitted, including documents certifying the status of the organization, endorsements of the proposed project, funding commitments or other indicators of participation and support from other institutions, and evidence of community support and participation.

Please submit a copy of the proposal to the *National Coordinator, GEF Small Grants Programme in your country.*

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#### PART 1: PROJECT COVER PAGE

##### A. GENERAL INFORMATION

Country	
Project No.	<i>For SGP Official Use as relevant. Do not write anything here</i>
Project Title <sup>2</sup> :	
Submission date	

##### B. CIVIL SOCIETY/COMMUNITY BASED ORGANIZATION – APPLICANT

Name of organization	
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<sup>1</sup> This proposal template was updated in July 2021 through close consultations among SGP staff globally.

<sup>2</sup> The title must capture the essence of project and aligns to GEF focal areas

Year established	
Physical & Mailing Address:	
Telephone	
Email	
Principal Officer (name and position)	
Project contacts (names and positions)	

### C. PROJECT LOCATION

Landscapes/Seascape (name)	
State/District and Town/Village	
Coordinates (latitude/longitude)	

### D. GEF SMALL GRANTS PROGRAMME CLASSIFICATION (please consult NC/NSC as needed)

Each project will **select one primary SGP Strategic Initiative and one primary Focal Area** as indicated in the tables below. Projects may have secondary Strategic Initiative and Focal Areas which should be noted in the project justification and approach. Appropriate indicators should be selected in line with the primary and secondary focal areas of the project (refer to the annex 1 below)

Primary Strategic Initiative (choose one primary Initiative)		Primary Focal Area (choose one primary focal area)	
	Community-based conservation of threatened ecosystems and species		Biodiversity
	Sustainable agriculture and fisheries, and food security		Climate Change Mitigation
	Low-carbon energy access co-benefits		Land Degradation/Sustainable Land and Forest Management
	Local to global coalitions for chemicals and waste management		Chemical and Waste Management
	Catalyzing sustainable urban solutions		International Waters

### E. PROJECT DURATION

Proposed Start Date	
Project duration (in months)	

### F. PROJECT FINANCE

Financial sources	Local Currency	USD currency
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Total fund request from GEF SGP		
Total fund from cofinancing (cash and in-kind)		
Total Project Cost		

#### **G. ORGANIZATION – ELIGIBILITY**

Please describe proposing organization's experience and eligibility to successfully implement the proposed project:

a) Type of organization

- ☐ Community-based organization (CBO)
- ☐ Civil society organization (CSO), including academic/training institution
- ☐ Others (describe the nature of the organization) \_\_\_\_\_

In addition, choose one or more of the following as appropriate:

- ☐ Indigenous Peoples organization
- ☐ Women's group
- ☐ Youth Group
- ☐ Persons with Disabilities group

b) Length of existence and project management experience if any: \_\_\_\_\_ years

c) Briefly describe purpose and core activities of the proposing organization/group: include a mission/vision statement as appropriate.

d) Briefly describe organizational structure, governance and administrative framework, including staff numbers, roles, etc. Provide an indication of the legal status with any supporting registration/legal documentation as annex. If none, provide an elaboration of its nature of existence. Provide recent audited financial statement if any.

e) Describe previous experience relevant to the proposed project, including activities related to global environmental issues; or experience with projects that focus on sustainable development at community level.

f) Please indicate the organization's prior engagement with GEF SGP (e.g. grantee, partner, etc). Yes/No: If yes, describe the nature of the involvement including involvement in specific projects and grants received.

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**PART 2: PROJECT PROPOSAL**

**SECTION A: PROJECT FRAMEWORK**

**1. Project Summary**

Please provide a brief summary of the project in one paragraph, including rationale and context, project objective and key expected results, include the target site and community(ies) involved.

**2. Project Justification and Baseline**

Please describe the following:

- ✓ The challenges that the project intends to address in relation to global environmental and development issues.
- ✓ How the project relates and will be contributing to the SGP Country Programme Strategy
- ✓ How the project relates to other relevant programmes supported by government, GEF and other donors, and the private sector.

**3. Project Objectives, Results/Outputs, and Activities**

Please describe the project framework and implementation plan by completing the table 1 below:

- a) Primary objective: Proposed project should include environmental objective, along with development/livelihood objective as relevant.
- b) Project results: Please describe measurable changes which will have occurred by the end of the project as a result of the planned intervention (e.g. XX hectares of community management conservation area established. XX community members trained and improved on waste management).
- c) Project Outputs and Activities: Please briefly describe what will be produced as project results and outputs. Please outline few activities for each output,
- d) Project Implementation Plan and Time Frame: Please include timing of the activities, required reports, project reviews and monitoring activities.

**Table 1: Project framework and Implementation Plan**

Project Objective: XXXX			Timing/Duration of Activity in Months (or Quarters)											
Project Framework	Responsible Party	Indicator, including means of verification	1	2	3	4	5	6	7	8	9	10	11	12
<b>Component/Output 1: XXXXX</b>														
Activity 1.1: XXXXX														
Activity 1.2: XXXXX														
Activity 1.3: XXXXX														
<b>Component 2/Output 2: XXXXXX</b>														
Activity 2.1: XXXXX														
Activity 2.2: XXXXX														
<b>Monitoring and Evaluation</b>														
a. Mid-term review and reporting														
b. Final review and reporting														

#### 4. Community and Stakeholder Participation Plan

Please describe how the stakeholders and communities, including indigenous peoples where relevant, were and will be involved in: i) project planning and design: ii) project implementation and iii) project monitoring and evaluation. Please attach documentation as relevant.

#### 5. Inclusion –Women, Indigenous Peoples, Youth, and Persons with Disabilities:

Please describe how the project takes into consideration:

- ✓ The roles and needs of both men and women (with a special focus on the needs of women),
- ✓ Other social inclusion groups (indigenous peoples, youths and persons with disabilities), and
- ✓ How this would be reflected in the budget, results and benefits of the project.

**6. Impact – Sustainability, Scale-up and Replication:**

Please describe the sustainability of the project impact in the longer term, both environmentally and socio-economically. Outline possible steps to be taken before, during, and at project completion to ensure that the project impact will continue for many years after the project ends (i.e. who will manage the continuation of the activities/outcome, how will it be financed, etc.).

**7. Innovation**

Please describe innovative aspects of the project. This may include new way of thinking, new form of organizing resources, new ways to connect within community, improvements of existing product/service/delivery process, original product/service/model of delivery, and fueling local action and organizing as groups.

**8. Knowledge Management**

Please describe the plan to capture, share and disseminate the knowledge, lessons learned, and good practices gained through the implementation of the project. Please specify the knowledge products to be generated by this project.

**SECTION B: PROJECT RISKS, MONITORING & EVALUATION**

**1. Project Risks**

Please describe **major risk factors** that could result in the project not producing the expected results. These should include both internal factors (e.g. technology involved fails to work as projected) and external factors (e.g., political and economic situation, etc.). Please also propose risk mitigation measures to address the potential risks.

**Table 2: Risks and Mitigation Measures**

#	Internal and External Risks	Possible mitigation measures
1		
2		
3		

## 2. Monitoring & Evaluation Plan and Indicators (please consult with the NC/NSC)

### a. Project monitoring schedule

Please identify annual, mid-term, and final monitoring schedule and incorporate in the Project Framework and Implementation Plan (table 1 above).<sup>3</sup>

### b. Project indicators

Please fill in Annex 1 – this is a requirement for all projects.

## SECTION C: PROJECT BUDGET

(Please seek support from NC/NSC as needed)

### 1. Project Budget

Please provide budget details following the expenditure categories below, and how the funds will be spent over the project period. GEF SGP grant requests should not exceed seventy-five thousand United States Dollars (US\$75,000) per project<sup>4</sup>, and per Operational Phase. SGP projects generally should not exceed 2 years. Cofinancing of equal or more amount to the SGP grant amount is encouraged.

**Table 3: Project Budget (in local and/or USD currency)**

Expenditure Category	SGP Grant (Amount and Percentage of the total budget)	Cofinance (both cash and in-kind from community, donor, etc.)
1. Personnel / Labor (please provide detailed breakdown)		
2. Equipment / Materials (please provide detailed breakdown)		
3. Training / Workshops/ Seminars / Travel (please provide detailed breakdown)		
4. Contracts (please provide detailed breakdown)		
<b>Total Project Cost</b>		

### 2. Cofinancing

<sup>3</sup> Among the key issues to be addressed as part of M&E are: a) performance of the project in terms of activities and outputs; b) results of the project, in terms of achieving the outcomes and objective(s); c) mid-course correction and adjustment of the project design and plans; and d) participation of community members in the project monitoring and evaluation processes.

<sup>4</sup> During GEF-8, the SGP 2.0 guidelines developed by the GEF Sec refer to a modified small grant ceiling to a maximum ceiling of \$75,000 increased from \$50,000 to be applied to the operational arrangements working with three agencies. For the UNDP-implemented SGP, the decision on the most appropriate small grant ceiling will be decided by the SGP National Steering Committee.

Please provide details on the cost-sharing contributions (cash and in-kind) and summarized in table 4 below. Cofinancing should be directly related to the achievement of the project results, such as labor, materials, time and other quantifiable resources that count towards. This should include sources and nature of the contribution (e.g. Youth Organization contributing labor, land, cash, etc.). The GEF SGP applies the principle of co- financing the target activities between the relevant partners in the project. It is therefore important that proposing organizations make some contribution towards the operational and programmatic costs of the project.

**Table 4: Cofinancing**

Sources of Contribution	Cash cofinance	In-kind Cofinance	Cofinance is Committed or Projected*	Amount (in local or USD currency)
Community				
Proposed organization				
Donor (please specify)				
Local government				
Other (please specify)				
<b>Total</b>				



## ANNEX 1: INDICATORS

### GEF SGP OP8 Project Indicators (please refer to M&E guidelines for details)

All SGP projects will align with at least one or more of the below global environmental indicators. The SGP priorities are aligned to that of the GEF-8 Programming Directions Paper and its outcomes to meet the GEF-8 targets. To capture global environmental benefits, GEF-8 SGP is aligned with 6 of the 11 GEF-8 core indicators.

### SMALL GRANTS PROGRAMME INDICATORS FOR GEF-8

PROJECT LEVEL INDICATORS		
MANDATORY Global Environmental Indicators: at least one indicator and no more than three (aligned with GEF 8 Core Indicators)		Type
GEF CORE indicator 1 Terrestrial protected areas created or under improved management	1.1 Terrestrial protected areas newly created  1.2 Terrestrial protected areas under improved management effectiveness	Mandatory: A given project can be linked to <u>at a minimum</u> one core indicator; and <u>at most</u> three of these GEF-8 core and sub-indicators
GEF CORE indicator 2 Marine protected areas created or under improved management (hectares)	2.1 Marine Protected Areas Newly created (hectares) 2.2 Marine Protected Areas Under improved management effectiveness (hectares)	
GEF CORE Indicator 3. Area of land and ecosystems under restoration	3.1 Area of degraded agricultural lands (cropland, range land and pasture) under restoration (hectares) 3.2 Area of forest and forest land under restoration (hectares) 3.3 Area of natural grass and woodland under restoration (hectares) 3.4 Area of wetlands (including estuaries, mangroves) under restoration (hectares)	

GEF CORE Indicator 4 Area of landscapes under improved practices (hectares; excluding protected areas)	4.1 Area of landscapes under improved practices (excluding protected areas) (hectares) 4.2 Area of landscapes under third-party certification incorporating biodiversity considerations (hectares) 4.3 Area of landscapes under sustainable land management in production systems (hectares) 4.4 Area of High Conservation Value or other forest loss avoided (hectares) 4.5 Terrestrial OECMs supported (hectares)	
GEF CORE Indicator 5 Area of marine habitat under improved practices to benefit biodiversity (excluding protected areas)	5.3 Marine OECMs supported (numbers)	
GEF CORE Indicator 6 Greenhouse Gas Emissions Mitigated (metric tons) <sup>5</sup>	6.1 Carbon Sequestered or Emissions Avoided in the AFOLU (Agriculture, Forestry and Other Land Use) sector (metric tons)	
	6.2 Emissions Avoided Outside AFOLU (Agriculture, Forestry and Other Land Use) Sector (metric tons)	
	6.3 Energy Saved (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable) (metric tons)	
	6.4 Increase in Installed Renewable Energy Capacity per Technology (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable) (capacity watt)	
	.	
11	Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment (this is also put below under mandatory socio-economic indicators)	
<b>MANDATORY: Socio- Economic Benefit Indicators</b>		<b>Type</b>
1	Number of direct beneficiaries with improved livelihoods and well-being	Mandatory

<sup>5</sup> Further guidance will be provided on these indicators during project implementation

2	Number of direct beneficiaries benefitting from SGP intervention	Mandatory
3	Breakdown number of beneficiaries reached by social inclusion groups (using 0 in case a category not applicable):	
	✓ Number of Women;	Mandatory
	✓ Number of Indigenous Peoples;	As relevant
	✓ Number of Youth;	As relevant
	✓ Number of Persons with Disability	As relevant
4	Is the project tagged (for expected contribution at project commitment stage/ report on results in final report) to closing gender gaps in one or more of the following categories (check all applicable)?	As relevant
	✓ <i>contributing to equal access to and control of natural resources of women and men</i>	
	✓ <i>improving the participation and decision-making of women in natural resource governance</i>	
	✓ <i>targeting socio-economic benefits and services for women</i>	
5	Does the project include sex-disaggregated and gender sensitive indicators ( <i>at commitment stage</i> )	As relevant
6	Does the project support legal identity and legal empowerment issues?	As relevant

PROJECT LEVEL INDICATORS			
AS RELEVANT/OPTIONAL: Additional Indicators (These include both Global Environmental Benefit and Socio-Economic Benefit indicators)			Type
<b>SGP projects are multi- focal. Checkmark focal area strategy used (biodiversity, climate change mitigation/ adaptation, land degradation, sustainable forest management, international waters, chemicals and waste)</b>			<i>Due to multi-focal nature of SGP interventions, projects can select from all indicators across all focal areas while highlighting the focal area that will be their primary strategy.</i>
1	Names and Number of target landscapes/seascapes under improved community conservation and sustainable use	BD	<i>While primary focal areas for each indicator area listed, please note irrespective of focal area selected, <u>a project can choose from any of these indicators to be linked to in case of multifocal and integrated results. Please note these are optional are optional in nature.</u></i>
2a	Number of terrestrial Protected Areas (PAs) influenced	BD	
2b	Number of marine Protected Areas (PAs) influenced	BD	
3	Number of ICCAs identified, supported and strengthened; Hectares of ICCAs	BD	
4	Number of sustainably produced biodiversity and agrobiodiversity products	BD	
5	Number of globally significant species with maintained or improved conservation status	BD	
6	Number of community members with improved actions and practices on agriculture, land and water management	LD	
7	Number of <i>new or sustained</i> farmer leaders adopting and demonstrating improved agriculture and agroecological practices.	LD	

8	Number of <i>new or sustained</i> farmer groups or networks, advocating and disseminating improved agriculture approaches and practices.	LD	<p><i>While primary focal areas for each indicator area listed, please note irrespective of focal area selected, a project can choose from any of these indicators to be linked to in case of multifocal and integrated results. Please note these are optional are optional in nature.</i></p>
9	Number of partnerships to advance sustainable agriculture and fisheries and/or food security (such as diversification, sustainable intensification, sustainable fisheries management, agroecological farming practices, climate-smart agriculture, certification programmes, local sourcing initiatives, waste reduction and circular economy, etc.)	LD, BD	
10	Number of projects working on: renewable energy (biomass, hydro, solar photovoltaic, solar thermal, other); energy efficiency, sustainable transport; and conservation/ enhancement of carbon stocks.	CC	
11	Increase in installed renewable energy capacity from community-scale systems (e.g., biomass, micro-hydro, solar, etc.)	CC	
12	Number of locally adapted solutions promoting low-carbon technologies (such as new/modified biogas technology, locally developed energy-efficient stoves, innovative uses of solar/wind energy, etc.)	CC	
13	Number of households achieving energy access and co-benefits (such as, ecosystem effects, income, health and others)	CC	
14	Area of Forests and non-forest lands with restoration and enhancement of carbon stocks initiated through completed projects (hectares)	CC	
15	Number of projects working on (check mark categories applicable): awareness and outreach solid waste management (reduce, reuse, recycle); sustainable pesticide management; organic farming; development of alternatives to chemicals	C&W	
16	Checkmark and report all that apply: Kg of pesticides avoided, reduced or prevented; Kg of solid waste prevented or reduced (such as plastics, domestic waste, agricultural waste etc); Kg of harmful chemicals avoided from utilization or release; Kg of e-waste collected or recycled; Kg of mercury avoided, reduced or sustainably managed	C&W	
17	Number of <i>new or sustained</i> local to global coalitions and networks on chemicals and waste management established or strengthened	C&W	

18	Number of local policies and regulations developed to protect river-basin and marine ecosystems	IW	
19	Tons of land-based pollution (such as solid waste, sewage, wastewater, and agricultural waste etc.) avoided, reduced or prevented from entering the waterbodies	IW	
20	Number of policy recommendations or advocacy initiatives related to land-based pollution as a result of SGP project	IW	
21	Hectares of river/lake basins applying sustainable management practices through projects' intervention	IW	
22	Number of people mobilized to support marine/river conservation	IW	
23	Names of regional transboundary waterbodies/ Strategic Action Plans (SAPs) if applicable	IW	
24	Number of community-based sustainable solutions in urban landscapes (e.g., transport, biodiversity conservation, chemical and waste management, energy efficiency, watershed protection, etc.)	All focal areas	
25	Number of organizations with capacities built or developed (record for both): number of civil society organizations (CSOs), number of community-based organizations (CBOs)	All focal areas	
26	Number of beneficiaries with improved capacity	All focal areas	
<b>Cross-cutting social inclusion project level indicators</b>			
27	Project led by women and/or institutes mechanisms for increased participation of women in decision-making? (Yes/ No)	GENDER	
28	Number of indigenous leaders with higher capacities (to deliver local solutions and have strong policy advocacy representation)	IP	
29	Number of youth organizations engaged with as part of SGP intervention	YOUTH	
30	Number of PWD (persons with disabilities) organizations engaged as part of SGP intervention	PWD	
31	Amount of grant funding of total grant portfolio accessed by: (a) women and/or women's groups; (b) youth and/or youth-led groups; (c) Indigenous peoples' groups.	inclusiveness	
32	Number of grantees received supporting legal identity and legal empowerment issues including the participation of Indigenous	inclusiveness	

	peoples and other remote/marginalized groups		
33	Number of high-level policy changes associated with increased community participation in multi-stakeholder dialogue platforms	inclusiveness	
34	Number of representatives from social inclusion group (indigenous people, women, youth, persons with disability, farmers, other marginalized groups) meaningfully engaged in multi-stakeholder dialogue platforms	inclusiveness	
35	Number of CSO-government-private sector dialogues formed or strengthened.	inclusiveness	

# ANNEX 1: INITIAL SCREENING

## QUESTIONNAIRE BY APPLICANT GRANTEE

### Grantee's Information

Project Title	
Name of applicant grantee	
Date	

### Initial screening

Exempted Activities		
Does the project fall <i>exclusively</i> into the following categories? <i>Projects that are comprised <u>entirely</u> of one or more of the following may be exempt from full screening. Projects are not required to fall into one or more of these categories for consideration.</i>	Yes	No
• Preparation and dissemination of reports, documents and communication materials		
• Organization of an event, workshop, training		
• Strengthening capacities of partners to participate in international negotiations and conferences		
• Partnership coordination (including UN coordination) and management of networks		
• Global/regional projects with no country-level activities (e.g. activities such as knowledge management, inter-governmental processes)		

### Conclusion of NC

Exempted	Yes	No





# ANNEX 2: SOCIAL AND ENVIRONMENTAL SCREENING TEMPLATE

As part of the grant proposal submission, applicants will be requested to fill out this form on social and environmental risks associated with the proposed activities. If there are no potential risks expected for a particular category, please indicate “not expected” for potential risk and “not applicable or N/A” for the proposed mitigation measure.

Category	Potential risk	Proposed management measure
<b>Stakeholder engagement.</b> Describe potential risks of excluding certain stakeholders, particularly people of socially marginalized groups.		
<b>Gender equality and women’s empowerment.</b> Describe risks of potential adverse impacts on gender equality and women’s empowerment.		
<b>Biodiversity and Natural Resources.</b> Describe risks associated with potential adverse impacts to biodiversity or natural resources.		
<b>Climate change and disaster risks.</b> Describe the vulnerability of the proposed activities to potential impacts of climate change or natural disasters.		
<b>Community health &amp; safety.</b> Describe risks associated with potential adverse impacts to community health and safety.		
<b>Cultural heritage.</b> Describe risks associated with potential adverse impacts to cultural heritage.		
<b>Displacement and resettlement.</b> Confirm that the proposed activities will not result in economic or physical displacement or resettlement.		
<b>Indigenous peoples.</b> Describe risks associated with potential adverse impacts to human rights, lands, resources or traditional livelihoods of Indigenous peoples.		
<b>Labor and Working Conditions.</b> Describe risks associated with potential non-compliance to national labor laws.		
<b>Pollution prevention.</b> Describe risks associated with potential adverse impacts of pollution.		

