



*Empowered lives.  
Resilient nations.*

## 2024 Project Implementation Report (PIR)

### **PROCARIBE+**

Basic Data  
Overall ratings  
Development Objective Progress

Implementation Progress  
Project Governance  
Ratings and Overall Assessments  
Minor Amendments  
Gender  
Risk Management  
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Annex - Ratings Definitions

## A. Basic Data

| Project Information          |                                                                                                                                                                                                                                                                             |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| UNDP PIMS ID                 | 6290                                                                                                                                                                                                                                                                        |
| GEF ID                       | 10800                                                                                                                                                                                                                                                                       |
| Title                        | Protecting and Restoring the Ocean's natural Capital, building Resilience and supporting region-wide Investments for sustainable Blue socio-Economic development (PROCARIBE+)                                                                                               |
| Country(ies)                 | New York - VFs, Antigua and Barbuda, Bahamas, Brazil, Colombia, Costa Rica, Cuba, Dominican Republic, Guatemala, Guyana, Haiti, Honduras, Jamaica, Panama, St. Kitts and Nevis, Suriname, Saint Lucia, Trinidad & Tobago, Venezuela, New York - VFs, Regional - LAC, Belize |
| UNDP Technical Team          | Water and Oceans                                                                                                                                                                                                                                                            |
| Management Arrangements      | <i>(not set or not applicable)</i>                                                                                                                                                                                                                                          |
| Project Implementing Partner | <i>(not set or not applicable)</i>                                                                                                                                                                                                                                          |
| Joint Agencies               | <i>(not set or not applicable)</i>                                                                                                                                                                                                                                          |
| Project Type                 | Full Size                                                                                                                                                                                                                                                                   |
| Implementation Status        | 1st PIR                                                                                                                                                                                                                                                                     |
| GEF Fiscal Year              | FY24                                                                                                                                                                                                                                                                        |
| Trust Fund                   | GEF Trust Fund                                                                                                                                                                                                                                                              |

| Project Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
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| <p>The CLME+ region (Caribbean and North Brazil Shelf LME's) constitutes one of the geopolitically most diverse and complex sets of LMEs in the world. There are twenty-six independent States and eighteen dependent/associated territories, located within or bordering the CLME+. The region supports a multitude of globally important economic activities (e.g. global tourism, shipping, fishing and oil and gas industries), and ecological processes that underpin the livelihoods and socio-economic well-being of the inhabitants of the CLME+ region and far beyond.</p> <p>The UNDP/GEF project "Protecting and Restoring the Ocean's natural Capital, building Resilience and supporting region-wide Investments for sustainable Blue socio-Economic development" (PROCARIBE+) (GEF-ID 10800, 2023-2027) is a 5-year project that aims at protecting, restoring and harnessing the natural coastal and marine capital of the Caribbean and North Brazil Shelf Large Marine Ecosystems (CLME+) to catalyze investments in a climate-resilient, sustainable post-covid Blue Economy, through strengthened regional coordination and collaboration, and wide-ranging partnerships. The project seeks to achieve this by enabling and developing sustainable and resilient ocean-based (blue) economies (through Marine Spatial Planning, marine</p> |

conservation, sustainable fisheries and addressing land-based sources of pollution); while taking into account cross-cutting issues such as climate change, gender and post COVID-19 recovery. The project will build on the results from the UNDP/GEF CLME (2009-2014) and CLME+ (2015-2021) Projects, and catalyze the next iteration of key regional processes, such as the Transboundary Diagnostic Analysis (TDA) / Strategic Action Programme (SAP). The project will operationalize a region-wide ocean coordination mechanism that seeks to enhance collaboration and coordination for the conservation and sustainable use of living marine resources in the Caribbean and North Brazil Shelf Large Marine Ecosystems.

| <b>Project Contacts</b>                            |                                                   |
|----------------------------------------------------|---------------------------------------------------|
| UNDP BPPS Technical Advisor                        | Ms. Ana María Núñez (anamaria.nunez@undp.org)     |
| UNDP BPPS Programme Associate                      | Ms. Joana Troyano (joana.troyano@undp.org)        |
| Project Manager/Coordinator                        | Patrick Debels (patrickd@unops.org)               |
| UNDP Country Office Programme Officer              | <i>(not set or not applicable)</i>                |
| UNDP Country Office Deputy Resident Representative | <i>(not set or not applicable)</i>                |
| UNDP Regional Bureau Desk Officer                  | Ms. Flor de María Bolaños (flor.bolanos@undp.org) |
| GEF Operational Focal Point                        | <i>(not set or not applicable)</i>                |
| Project Implementing Partner                       | Ms. Katrin Lichtenberg (KatrinL@unops.org)        |
| Other Partners                                     | <i>(not set or not applicable)</i>                |

## **B. Overall ratings**

|                     |                           |
|---------------------|---------------------------|
| Overall DO Rating   | Moderately Satisfactory   |
| Overall IP Rating   | Moderately Unsatisfactory |
| Overall Risk Rating | low                       |

## C. Development Objective Progress

It is mandatory for all reported progress to be substantiated by evidence. Please upload evidence files for each objective/outcome via the DO PROGRESS section in the online PIR platform. If there is no evidence to upload, the Project Manager is required to provide an explanation.

| Description                                                                                                                                                                                                                                                                                                                  |                                                                       |                                                                                            |                                                                                                       |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
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| Objective                                                                                                                                                                                                                                                                                                                    |                                                                       |                                                                                            |                                                                                                       |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Protecting, restoring and harnessing the natural coastal and marine capital of the Caribbean and North Brazil Shelf Large Marine Ecosystems to catalyze investments in a climate-resilient, sustainable post-covid Blue Economy, through strengthened regional coordination and collaboration, and wide-ranging partnerships |                                                                       |                                                                                            |                                                                                                       |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Description of Indicator                                                                                                                                                                                                                                                                                                     | Baseline Level                                                        | Midterm target level                                                                       | End of project target level                                                                           | Level at Jun 30, 2023       | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| GEF Core Indicator 11: Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment                                                                                                                                                                                                                | 0                                                                     | Total: 105,413<br>Males: 64,832<br>Females: 40,581<br><br>Approx. 25% of PE target values  | Total: 421,655<br>Males: 259,328<br>Females: 162,327                                                  | (not set or not applicable) | In the first year of the project, a total of 3479 beneficiaries (1313 women and 2166 men) have received co-benefits from the project (either directly or indirectly).<br><br>Once on-the-ground activities start, the number is expected to increase substantially.<br><br>(Annex 44 - calculation of beneficiaries)                                                                                                                                                                                                                                                                                                                                                                                                             |
| GEF Core Indicator 2: Marine protected areas created or under improved management for conservation and sustainable use (hectares)<br><br>GEF Sub-Indicator 2.1.: Marine protected areas newly created<br>GEF Sub-Indicator 2.2.: Marine protected areas under improved management effectiveness                              | Core Indicator 2: 0<br>Sub-Indicator 2.1.: 0<br>Sub-Indicator 2.2.: 0 | Aggregate value: 3,312,547 ha<br>Sub-Indicator 2.1.: 0<br>Sub-Indicator 2.2.: 3,312,547 ha | Aggregate value: 4,368,052 ha<br>Sub-Indicator 2.1.: 1,055,505 ha<br>Sub-Indicator 2.2.: 3,312,547 ha | (not set or not applicable) | GEF Core indicator 2: To date, 0 marine protected areas have been created or are under improved management for conservation and sustainable use (hectares).<br><br>GEF Sub-Indicator 2.1.: 0 Marine protected areas newly created<br><br>GEF Sub-Indicator 2.2.: 0 Marine protected areas under improved management effectiveness<br><br>During the first year of the PROCARIBE+ Project, progress on these indicators was focused on selecting the co-executing agencies and developing the co-executing agreements.<br><br>This involved obtaining the approval of the Project Board over the selection of the agencies and the associated budgets (see decisions 10-F1 to 10F7 of the First Project Board Meeting). (Annex 1) |

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|  |  |  |  |  | <p>It was decided that the work related to achieving GEF Core Indicator 2 will be undertaken by the UNEP Cartagena Convention Secretariat (UNEP) and the Cuban "Centro Nacional de Áreas Protegidas" (CNAP) through co-executing agreements with UNOPS. (See Decisions from Cartagena Convention COP, notes from meetings with UNEP, CNAP, Governments of Colombia and Dominican Republic pertaining to the development of the different work packages: Annexes 11, 14, 15, 16, 32, 33, 34, 35, 38)</p> <p>UNEP will be responsible for the following lines of intervention (Draft ToRs, Annex 26):</p> <p>(Sub- Indicator 2.1)<br/>(Colombia 1) Creation of a new Marine Protected Area (MPA) in the "Varadero" sector of Cartagena Bay (27.31km<sup>2</sup>)</p> <p>(Colombia 2) New Regional Protected Area "Punta San Bernardo y Chichimán - Rincón del Mar" (Sucre department, 527.74 km<sup>2</sup>)</p> <p>(Sub- Indicator 2.2)<br/>(Colombia 3) Support for the management of the new:<br/>Parque Nacional Natural Reserva Natural Cordillera Beata ), (± 33,000 km<sup>2</sup>)</p> <p>(Dominican Republic) Santuario Marino Orlando Jorge Mera (± 55,000 km<sup>2</sup>)</p> <p>To date, the Project Management and Coordination Unit and UNEP have organised a series of meetings with counterparts in Colombia and Dominican Republic to identify local executing partners and develop specific activities for each intervention. The ToRs for the contract with UNEP are close to being finalized.</p> |
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|                                                                                                                                                                                                                     |                                                                                                               |                                                                                                                                       |                                                                                                                                       |                                    | <p>With relation to the activities in Cuba, several meetings with Cuban authorities were held to develop a workplan and budget, and ToRs have been developed to scope the work. (Draft ToRs: Annexes 37 and 38).</p> <p>Cuba will support Sub- Indicator 2.1 with the planned creation of the following two new Marine Protected Areas (1) in the area of "Los Bajos de Sancho Pardo" (expected extension of 21,140.00 ha) and (2) in the "Seamount" area in southern Cuba (expected extension of 891,300.00 ha)</p>                                                                                                                                                                                                                                                                                                                                                                                                                |
| <p>GEF Core Indicator 5: Area of marine habitat under improved practices to benefit biodiversity (hectares)</p> <p>GEF Sub-Indicator 5.2.: Number of Large Marine Ecosystems with reduced pollution and hypoxia</p> | <p>Core Indicator 5: 0 attributable to PROCARIBE+</p> <p>Sub-Indicator 5.2.: 0 attributable to PROCARIBE+</p> | <p>Core indicator 5: 440 million ha (combined area of the Caribbean and North Brazil Shelf LME's)</p> <p>Sub-Indicator 5.2: 1 LME</p> | <p>Core indicator 5: 440 million ha (combined area of the Caribbean and North Brazil Shelf LME's)</p> <p>Sub-Indicator 5.2: 1 LME</p> | <p>(not set or not applicable)</p> | <p>GEF Core Indicator 5 and GEF Sub Indicator 5.2: During the reporting period, 0 hectares of marine habitat are under improved practices to benefit biodiversity and 0 Large Marine Ecosystems (LME) have reduced pollution and hypoxia.</p> <p>No expectation for year one of the project as per the Project Board approved multi-year work plan (see Decision 10G of PBM1-Annex 1).</p> <p>Progress towards Core Indicator 5 will be achieved through the following:</p> <ul style="list-style-type: none"> <li>- Development and endorsement of the Strategic Action Programme (SAP) (Output 1.1.2) (Annexes 8, 9, 10)</li> <li>- Operationalization of the Regional Ocean Coordination Mechanism (OCM) (Output 1.1.1.a) (See documents from meetings advocating for Memorandum of Understanding (MoU) signatures Annexes 2-24)</li> <li>- Development of Marine Spatial Plans (MSP) (Output 3.3.1.a) (See documents</li> </ul> |

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|                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                            |                                    | <p>from meetings on the proposed MSP work in Dominican Republic, Colombia, Trinidad and Tobago, Venezuela, coordination meetings with IW "sister Projects" (BECLME+), draft ToRs for the co-executing agreements to be developed with the UNESCO Sub-Commission for the Caribbean and Adjacent Areas (IOCARIBE), UNDP Venezuela, Institute of Marine Affairs and the Central America Commission for Development (CCAD): Annexes 17, 25, 29, 30-36)</p> <p>For sub-indicator 5.2, civil society action under Output 3.1.1 is expected to deliver contributions to pollution reduction in parts of the Caribbean LME where such civil society action will take place. (See Intra-UNOPS agreement with UNDP Small Grants Programme: Annex 28)</p> <p>Progress towards the targets is described below under each respective output .</p> |
| <p>GEF Core Indicator 7: Number of shared water ecosystems (fresh or marine) under new or improved cooperative management</p> <p>GEF Sub-Indicator 7.1.: Level of (a) Transboundary Diagnostic Analysis and (b) Strategic Action Program formulation and implementation<br/>1 = No TDA/SAP developed 2 = TDA finalized 3 = SAP ministerially endorsed 4 = SAP under implementation.</p> | <p>Core Indicator 7: 0</p> <p>Sub-Indicator 7.1.: 4 (2015-2025 SAP)</p> <p>Sub-Indicator 7.2.: 2</p> <p>Sub-Indicator 7.3.: 1</p> <p>Sub-Indicator 7.4.: 1</p> | <p>Core Indicator 7: 2</p> <p>Sub-Indicator 7.1.: 4 (2015-2025 SAP)</p> <p>Sub-Indicator 7.2.: 4</p> <p>This refers to the regional Ocean Coordination Mechanism -which is non-legally binding but is anticipated to contain a member organization that implements a legally binding framework (Cartagena Convention)</p> <p>Sub-Indicator 7.3.: 2</p> <p>Sub-Indicator 7.4.: 4</p> | <p>Core Indicator: 2 (Caribbean and North Brazil Shelf LME's)</p> <p>Sub-Indicator 7.1.: 2 new TDA ("SOMEE") /4 (new, 2026-2035 SAP)</p> <p>Sub-Indicator 7.2.: 4</p> <p>This refers to the regional Ocean Coordination Mechanism -which is non-legally binding but is anticipated to contain a member organization that implements a legally binding framework (Cartagena Convention)</p> | <p>(not set or not applicable)</p> | <p>GEF Core Indicator 7 and GEF sub-Indicators 7.1 and 7.2: No shared water ecosystems (fresh or marine) under new or improved cooperative management and no Transboundary Diagnostic Analysis / Strategic Action Programme (TDA/SAP) developed.</p> <p>Sub-Indicator 7.3.: To date, the project has not yet contributed to national/local reforms and there has been no active participation of Inter-Ministerial Committees</p> <p>For sub-Indicator 7.4, progress to date has focused on participation in IWLearn meetings and submission of information for IWLearn communication products (Outputs 4.2.1 and 4.2.2). (See documents from LME Meetings and contribution to IWStory: Annexes 9, 10, 19)</p>                                                                                                                       |

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| <p>GEF Sub-Indicator 7.2.:<br/>Level of Regional Legal Agreements and Regional Management Institution(s) to support its implementation<br/>1 = No regional legal agreement, or neither institutional framework nor RMI in place 2 = Regional legal agreement under development 3 = Regional legal agreement signed and RMI in place 4 = Regional legal agreement ratified and RMI functional</p> <p>GEF Sub-Indicator 7.3.:<br/>Level of national/local reforms and active participation of Inter-Ministerial Committees<br/>1 = Neither national/local reforms nor IMCs<br/>2 = National/local reforms in preparation, IMCs functional<br/>3 = National/local reforms and IMCs in place</p> <p>Guidelines on Indicators (ME/GN/01) 19</p> <p>4 = National/local reforms/policies implemented, supported by IMCs.</p> <p>GEF Sub-Indicator 7.4.:<br/>Level of engagement in IW: Learn through participation and delivery of key product<br/>1 = No participation 2 = Website in line with</p> |  |  | <p>Sub-Indicator 7.3.: 4</p> <p>Sub-Indicator 7.4.: 4</p> |  | <p>GEF Core Indicator 7 and GEF sub-Indicators 7.1 and 7.2:<br/>Progress on these indicators have focused on initiating the preparatory steps for developing the TDA/SAP. - see Outputs 1.1.2 and 4.1.3.<br/>(See documents from Meeting with other IW LAC Projects + LME: Annexes 8, 9, 10)</p> <p>Sub-Indicator 7.3.: Progress towards this target will be tied to the advocacy work related to National Intersectoral Committees (NICs) (Output 2.1.1 on NIC advocacy + NIC status report, Output 4.1.3), Blue Economy strategy/plan (Output 3.3.1) and the integration of a section in State Of the Marine Environment and Associates Economies (SOME/TDA) related to policy reforms.</p> |
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| IW:LEARN guidance active 3 = As above, plus strong participation in training/twinning events and production of at least one experience note and one results note<br>4 = As above, plus active participation of project staff and country representatives at International Waters conferences and the provision of spatial data and other data points via project website. |   |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| GEF Core Indicator 8: Globally over-exploited fisheries moved to more sustainable levels (metric tons)                                                                                                                                                                                                                                                                    | 0 | 0 | <p>The over-exploited queen conch fishery is brought to more sustainable levels through application of traceability to annual exports corresponding to 515 metric tons/yr</p> <p>(important note: the export volume of shrimp to be brought under traceability by PE was added to this target in the PIF; however, current data do not allow to separate between wild-caught shrimp and shrimp originating from aquaculture - for this reason and until a clear split in the origin of exports can be obtained, the volume of shrimp exports</p> | (not set or not applicable) | <p>GEF Core Indicator 8: To date, 0 metric tons of globally over-exploited fisheries have moved to more sustainable levels.</p> <p>Note: While this indicator is strongly linked to Output 3.4 , focusing on the traceability of queen conch, spiny lobster and shrimp, only Queen conch is considered to be "globally over-exploited" and will therefore be the only fisheries used to report on GEF Core Indicator 8. A separate indicator will measure progress related to the traceability of shrimp and spiny lobster.</p> <p>During the first year of the PROCARIBE+ Project, progress on this indicator was focused on selecting the co-executing agencies and developing the co-executing agreements that will work on fisheries traceability. (See Decision from Project Board Meeting, documents from meetings with proposed co-executing agencies: Annexes 1, 17, 18, 39)</p> <p>A virtual meeting was held with the Regional Fisheries Mechanisms to discuss the best way forward in relation to the PROCARIBE+</p> |

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|  |  |  | have been removed from the target) |  | <p>fisheries-related outputs which was then approved at the First Project Board Meeting in Decision 10F1 to 10F7. (Annex 39)</p> <p>While it was initially anticipated that the Organization of the Fisheries and Aquaculture Sector of the Central American Isthmus (OSPESCA) would be the main co-executing partner for this output, a strategic decision was taken to have the output executed through 2 separate co-executing agreements considering that certain intervention countries are not members of OSPESCA.</p> <p>OSPESCA is meant to execute the activities in Belize, Guatemala, Honduras, Panama) and the Caribbean Regional Fisheries Mechanism (CRFM) in Bahamas, Guyana, Suriname.</p> <p>A separate agreement will be developed for the work to be conducted in Brazil, but it is not anticipated that those activities would cover the traceability of queen conch and would therefore not contribute to GEF Core indicator 8.</p> |
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| The progress of the objective/outcome can be described as: | On track |
|------------------------------------------------------------|----------|

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|--------------------|-----|
| Evidence uploaded: | YES |
|--------------------|-----|

**Outcome 1**  
**Project Outcome 1.1 Coordinated, collaborative and synergistic implementation of regional, sub-regional and national (Strategic) Action Programmes and Plans in support of the CLME+ Vision, enabled through a regional Ocean Coordination Mechanism (OCM) and complementary, (thematic) partnership(s), and a regional programmatic approach**

| Description of Indicator                                                                     | Baseline Level                                                                              | Midterm target level                                                                                       | End of project target level                                                         | Level at Jun 30, 2023       | Cumulative progress since project start as of Jun 30, 2024                                                                                            |
|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| Indicator 1.1: proof of coordination and collaborative and synergistic action consisting of: | (a) OCM not operational<br>(b) 0 partnership(s) fora<br>(c) first SAP iteration still under | (a) OCM operational<br>(b) 0 partnership forum held<br>(c) first SAP iteration still under implementation; | (a) OCM operational and with sustainability strategy<br>(b) 2 partnership fora held | (not set or not applicable) | Indicator 1.1.1 a) Ocean Coordination Mechanism (OCM) not yet operational and without a sustainability strategy.<br><br>b) 0 partnerships forum held. |

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| <p>(a) OCM Operationalization +<br/>(b) Number of Partnership Forum/a held +<br/>(c) development progress of the new SAP +<br/>(d) total number of progress tracking records for the current and/or new SAP(s) (as applicable), in the online SAP/Action Plan Progress Tracking Tool(s) +<br/>(e) total number of organizations that registered progress tracking records in the joint tool(s) +<br/>(f) total number of projects listed as supporting action progress in the online tracking tool(s)</p> | <p>implementation, development of new SAP not initiated yet<br/>(d) 0*<br/>(e) 0*<br/>(f) 0*<br/><br/>*Values set as zero as the project will measure contributions from the project start date onwards</p> | <p>review of first TDA/SAP iteration in the region = advanced;<br/>development of a revised TDA/SAP approach for the region (for OCM approval) = advanced<br/>(d) at least 30 new SAP progress tracking records<br/>(e) SAP progress tracking records from at least 10 different organizations<br/>(f) SAP progress tracking records indicative of progress support from at least 6 different projects/programmes/initiatives (proof of advances with programmatic approach)</p> | <p>(c) new SAP, endorsed;<br/>(d) at least 60 new SAP progress tracking records since project start<br/>(e) SAP progress tracking records from at least 20 different entities<br/>(f) SAP progress tracking records indicative of progress support from at least 12 different projects/programmes/initiatives (proof of advances with programmatic approach)</p> | <p>c) New SAP not endorsed<br/><br/>d-e-f) No new SAP tracking records registered.<br/><br/>Indicator 1.1.1 a)<br/>Progress to date has focused on reactivating the Interim Coordination Mechanism (ICM) (Intergovernmental Organizations only (IGOs)) and rebuilding momentum around the OCM (IGOs + countries), and gathering signatures from IGOs + countries for the OCM MoU. Total of 17 signatures obtained to date = 70% progress towards the number of signatures needed for OCM operationalization. While good progress was made, the Project Board Meeting 1 (PBM-1)-supported “end of Semester 1 target” was missed (still min. 7 country signatures needed).<br/><br/>During Year one, the Project Management and Coordination Unit (PMCU) has participated in many bilateral, regional and global meetings in order to advocate for OCM signatures, both with countries and regional intergovernmental organizations.<br/><br/>(See documents from meeting advocating for MoU signatures: Annexes 1 to 24)<br/><br/>Indicator 1.1.1 (b)<br/><br/>(b) + (c) no work expected during Semester 1 of 2024 as per the PBM1-approved, revised Multi-Year Work Plan (MYWP), which is compatible with meeting the Mid-Term Targets (MTT).<br/><br/>Considering that the OCM is to decide how the partnerships will be tied to the work of the OCM, this topic is therefore to be discussed during the first meeting of the</p> |
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|  |  |  |  |  | <p>OCM Executive Group in order to develop recommendations to the OCM Steering Group on the way forward. The partnership forums are currently scheduled for 2026 and 2027 in the project's MYWP. As an interim solution and as per the Decision of the Project Board, the ICM will lead the work of the OCM Executive Group ad interim until the OCM is operational. An ICM Meeting is scheduled for Aug 2024.<br/>(See decision from Project Board Meeting: Annex 1)</p> <p>Indicator 1.1.1 c)</p> <p>For the SAP, preparatory meetings have been held with sister IW projects that will also develop TDA/SAP in the region to ensure coordination and coherence in discussions with countries from the wider Caribbean - noting that certain countries will participate in multiple TDA/SAP exercises, under different IW projects.</p> <p>Advocacy for enabling a paradigm shift in TDA/SAP approach was presented at several international fora.</p> <p>In addition, considering that the OCM is meant to lead/guide the development of the new TDA/SAP and the fact that the OCM is not yet operationalized, it was agreed at the first Project Board Meeting that if by 30th of June 2024, the OCM is yet to be operationalized, the PMCU will engage the ICM and the PROCARIBE+ Project Board as interim leads for the PROCARIBE+ "OCM-related activities" until the OCM MoU commences. See Project Board Decision 10D3 and 10D4. In accordance with this decision, the PMCU is organizing a meeting with the Executive Group of the OCM or the ICM, depending on the status of the OCM at the time of the meeting, to present and</p> |
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|  |  |  |  |  | <p>approve ToRs for the proposed OCM Working Group on the SAP.<br/>(Annex 1, 8, 9)</p> <p>Indicator 1.1.1 d-e-f</p> <p>Ongoing progress with SAP implementation, but not captured/logged yet in new tracking records due to the CLME+ Hub becoming static due to a lack of funds/maintenance during the CLME+ / PROCARIBE+ inter-project phase. Once the Hub is rehabilitated, SAP tracking records can be collected through the existing SAP Tracking Tool developed under the CLME+ Project.</p> <p>Progress towards the indicators so far has focused on evaluating options for the rehabilitation of the CLME+ Hub.</p> |
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| <b>The progress of the objective/outcome can be described as:</b> | <b>On track</b> |
| <b>Evidence uploaded:</b>                                         | YES             |

**Outcome 2**  
**Outcome 2.1 National-level capacity, enabling conditions and commitments for EBM/EAF and marine-based, climate and disaster-resilient “green-blue” socio-economic development**

| Description of Indicator                                                                                                                                                                                                                                                          | Baseline Level                                                                                                                              | Midterm target level                                                                                                                                                                                                                                | End of project target level                                                                                                                                                                                                  | Level at Jun 30, 2023              | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                                                                                                                                                                                                      |
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| Indicator 2.1.1: proof of enhanced capacity, enabling conditions and commitments, consisting of:<br>(a) operational NICs connected to the OCM<br>(b) national SOMEES, BE scoping studies and NCA pilots/enhancements<br>(c) Training delivered and/or made permanently accessible | (a) 0<br>(b) 0 attributable to PROCARIBE+<br>(c) training in/for 0 countries attributable to PROCARIBE+<br>(d) 0 attributable to PROCARIBE+ | ((a) in at least 40% of OCM member countries<br>(b) at least 1 SOMEES/BE scoping/NCA pilot/enhancement efforts well underway by end of 2025<br>(c) Training delivered and/or made permanently accessible for at least half of the OCM member states | (a) in at least 75% of OCM member countries<br>(b) at least 1 SOMEES/BE scoping/NCA pilot/enhancement effort; completed<br>(c) Training delivered and/or made permanently accessible for all 44 CLME+ States and Territories | <i>(not set or not applicable)</i> | <p>Indicator 2.1.1. a) No NICs connected to the OCM (OCM not operational yet)</p> <p>b) No national SOMEES, Blue Economy scoping studies and National Capital Accounting (NCA) pilots/enhancements</p> <p>c) 0 trainings delivered and/or made permanently accessible.</p> <p>d) 0 2025 Nationally Determined Contributions (NDC) with strong/upscaled “blue” component(s).</p> |

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| (d) marine and coastal natural capital integrated in 2025 NDC's |  | (d) "best practices" from NDCs regionally disseminated and inspiring regional 2025 updates | (d) min 5 2025 NDC updates with strong/upscaled "blue" component(s) | <p>(a-b-c-d) no implementation work expected/required to enable MTT under these Outputs during Semester 1 2024 as per the PBM1-approved, revised MYWP</p> <p>Indicator 2.1.1. b)<br/>For this output, initial talks have been conducted with the Costa Rican Government and UNDP Country Office on the Blue Economy exercise to be conducted in Costa Rica. (Annex 47)</p> <p>Indicator 2.1.1. c)<br/>Progress on this output has focused on developing the Co-executing agreement with IOCaribe + UNEP Cartagena Convention Secretariat which will be responsible for implementing the capacity-building activities. (See decision from Project Board Meeting, Cartagena Convention COP (UNEP) and ToR for co-executing agreements with IOCARIBE and UNEP: Annexes 1, 11, 25, 26)</p> <p>Indicator 2.1.1. d)<br/>Progress on this output has focused on identifying potential co-executing partners. The PMCU has finalized a call for proposal to select a co-executing partner. PEW Charitable Trust has submitted a proposal and are expected to lead this work considering there strong engagement with countries in the Caribbean region on NDC development and implementation. The following countries will be supported for their 2025 NDC update: Panama, Honduras, Costa Rica, Jamaica and Belize. (See decision from Project Board Meeting and Call for Proposals: Annex 1, 27)</p> <p>The PMCU participated in the 2023 Latin America &amp; Caribbean Climate Week</p> |
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|                                                            |          |  |  |  | <p>Workshop Coastal Wetlands/Blue Carbon in National Climate Commitments in order to scope the work on NDCs to be supported under the project and identify potential partners.</p> <p>The PMCU also presented the proposed work under this output during the UNDP side-event: Increasing the ambition and channeling funds for blue NDCs at the Latin America Climate Week (Annexes 45 and 46).</p> |
| The progress of the objective/outcome can be described as: | On track |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                     |
| Evidence uploaded:                                         | YES      |  |  |  |                                                                                                                                                                                                                                                                                                                                                                                                     |

**Outcome 3**  
**Outcome 3.1 Civil Society and MSME contributions to ocean conservation and ocean-based sustainable development & livelihoods/blue economies, upscaled**

| Description of Indicator                                                                                                                                                                                                       | Baseline Level | Midterm target level | End of project target level | Level at Jun 30, 2023       | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
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| Indicator 3.1.1: number of CS/MSME initiatives that advance actions under the CLME+ SAP (1 and 2), C-SAP and/or associated/compatible Strategies and Action Plans, newly initiated or upscaled during the PROCARIBE+ timeframe | 0              | Min. 10              | Min. 30                     | (not set or not applicable) | <p>Indicator 3.1.1. 0 Civil Society / Micro, Small, Medium Enterprises initiatives have advanced actions under the CLME+ SAP (1 and 2), Civil Society-SAP and/or associated/compatible Strategies and Action Plans.</p> <p>Progress on this indicator so far has focused on setting-up the arrangement between the UNOPS Water, Environment and Climate (WEC) and Grant Management Services (GMS) who provides services to the UNDP Small Grants Programme (SGP). Delays have been accumulated due to the uncertainty with the existing SGP arrangement between UNDP and UNOPS. It was recently confirmed that UNOPS will continue to implement the SGP for UNDP until at least end of 2026 and the UNOPS internal co-executing arrangement is nearing completion. (Annex 30)</p> |

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| Indicator 3.1.2:<br>Percentage of women-led projects and youth-led projects financed under micro-financing scheme | 0 | At least 15% of the small grants given to women projects / 5% of the small grants given to youth projects. | At least >30% of the small grants given to women projects / >10% of the small grants given to youth projects | (not set or not applicable) | Indicator 3.1.2. 0 women-led projects and youth-led projects financed under micro-financing scheme to date.<br><br>Progress on this indicator so far has focused on setting-up the co-executing agreement with the UNDP small grants programme who will be responsible for executing this output. (Annex 30) |
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| The progress of the objective/outcome can be described as: | On track |
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| Evidence uploaded: | YES |
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#### Outcome 4

#### Outcome 3.2 Increased mobilization of private capital supporting environmental stress reduction and sustainable climate-smart blue economy initiatives, supporting CLME+ SAP implementation and post COVID-19 recovery, enabled

| Description of Indicator                                                                                                                                            | Baseline Level                                         | Midterm target level                           | End of project target level                                                                                                                               | Level at Jun 30, 2023       | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| Indicator 3.2: enabling conditions established to implement a carbon credits-based sustainable financing instrument for seagrasses and tropical peatlands in Panama | No new enabling conditions attributable to the project | Training, mapping and DPSIR analysis completed | (Pre-)feasibility studies including carbon stocks assessments for 3 pilot sites, best practices for replication and upscaling documented and disseminated | (not set or not applicable) | Indicator 3.2: No enabling conditions established to implement a carbon credits-based sustainable financing instrument for seagrasses and tropical peatlands in Panama.<br><br>So far, progress on this outcome has focused on establishing a co-executing agreement and UNOPS has issued a call for proposal to identify a co-executing partner. PEW Charitable Trust has sent a proposal and is expected to lead this work considering their existing support to the Government of Panama with the development of their NDC and other climate actions. (See decision from project Board Meeting, Call for Proposal, documents from advocacy work done during LAC Climate Week: Annex 1, 27, 45, 46) |

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| The progress of the objective/outcome can be described as: | On track |
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| Evidence uploaded: | YES |
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#### Outcome 5

**Outcome 3.3 Expansion and integration of “Blue Economy”, Marine Spatial Planning and MPA/OECM efforts across the region (ecosystem approach), supporting ocean-based socio-economic development, recovery and resilience (covid19, hurricanes) and progressive delivery on international targets in the fields of: marine conservation and climate change mitigation and adaptation**

| Description of Indicator                                                                                                     | Baseline Level                   | Midterm target level                                                | End of project target level                     | Level at Jun 30, 2023              | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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| Indicator 3.3.1: area in km2 covered by marine spatial planning efforts, attributable to/supported by the PROCARIBE+ Project | 0 ha attributable to the project | Development of plans (MSP, PSSA) underway for an area > 150,000 km2 | Plans finalized, covering an area > 200,000 km2 | <i>(not set or not applicable)</i> | <p>Indicator 3.3.1. 0 km2 covered by marine spatial planning efforts, attributable to/supported by the PROCARIBE+ Project.</p> <p>During the first year of the PROCARIBE+ Project, progress on these indicators was focused on selecting the co-executing agencies and developing the co-executing agreements.</p> <p>This involved obtaining the approval of the Project Board over the selection of the agencies and the associated budgets (see decisions 10-F1 to 10F7 of the First Project Board Meeting). (Annex 1)</p> <p>The PMCU has held a number of meetings with the respective governments and proposed co-executing agencies to develop ToRs to be part of the contracts to be signed with UNOPS. (See documentation of meetings with governments and proposed co-executing agencies involved in MSP interventions: Annexes 12, 13, 14, 15, 16, 17, 36)</p> <p>On Marine Spatial Planning (MSP), the following co-executing agreements are under preparation:</p> <ul style="list-style-type: none"> <li>- MSP in the Trinidad and Tobago portion of the Gulf of Paria with the Institute of Marine Affairs (2,942 km2) (Annex 29)</li> <li>- MSP in the Venezuela portion of the Gulf of Paria, with the UNDP Country Office in Venezuela (5.200 km2) (Annex 31)</li> </ul> |

|                                                                   |                 |  |  |  |                                                                                                                                                                                                                                                                                                                  |
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|                                                                   |                 |  |  |  | <p>- MSP in the Cartagena Bay (~274 km<sup>2</sup>) of Colombia with the IOCARIBE (Annex 25)</p> <p>- MSP in the Dominican Republic (Large-scale, full EEZ planning (150,000 km<sup>2</sup>)+ small scale local MSP effort (1,400 km<sup>2</sup>) with the UNEP Cartagena Convention Secretariat. (Annex 26)</p> |
| <b>The progress of the objective/outcome can be described as:</b> | <b>On track</b> |  |  |  |                                                                                                                                                                                                                                                                                                                  |
| <b>Evidence uploaded:</b>                                         | YES             |  |  |  |                                                                                                                                                                                                                                                                                                                  |

**Outcome 6**  
**Outcome 3.4 Generalized implementation across the Wider Caribbean/WECAFC region of traceability systems is enabled for key fisheries and seafood products, as a key measure for sustainability and against IUU fishing**

| <b>Description of Indicator</b>                                                                                                                                                                                                                                                                                                                                            | <b>Baseline Level</b>                                      | <b>Midterm target level</b>                                                                                                                                                                                                                                                                                                                    | <b>End of project target level</b>                                                                                                                                                                                                                                                                                 | <b>Level at Jun 30, 2023</b>       | <b>Cumulative progress since project start as of Jun 30, 2024</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| Indicator 3.4: proof of progress towards generalized implementation of traceability, consisting of: (a) number of fishery/seafood products with traceability schemes applied + (b) total volume of fishery/seafood products under traceability + (c) enabling conditions (traceability standards) to replicate/expand the traceability systems across the WECAFC countries | (a) + (b) + (c): no results attributable to PROCARIBE+ yet | (a) + (b) Regulations/Agreements/Protocols for the implementation of national traceability systems, required to achieve the end-of-project targets under (a) and (b), developed/adopted in at least 75% of participating pilot countries (c) N/A (related activities as per chronological planning to be conducted during second project half) | (a) traceability systems cover min. 3 fisheries + 1 aquaculture products; (b) 55,900 metric tons of fishery/seafood products from the region with traceability applied. (c) regional/sub-regional traceability standards developed enabling region-wide application of traceability for fisheries/seafood products | <i>(not set or not applicable)</i> | <p>Indicator 3.4. To date, a) no fishery/seafood products have traceability schemes applied + (b) 0 metric tons of fishery/seafood products under traceability + (c) no enabling conditions (traceability standards) to replicate/expand the traceability systems across the Western Central Atlantic Fishery Commission (WECAFC) countries.</p> <p>As reported for GEF Core Indicator 8, during the first year of the PROCARIBE+ Project, progress on this indicator was focused on selecting the co-executing agencies and developing the co-executing agreements that will work on fisheries traceability.</p> <p>This involved obtaining the approval of the Project Board over the selection of the agencies and the associated budgets (see decisions 10-F1 to 10F7 of the First Project Board Meeting). (Annex 1)</p> <p>It was decided that the work related to achieving GEF Core Indicator 8 will be undertaken by OSPESCA for Belize,</p> |

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|  |  |  |  |  | <p>Guatemala, Honduras, Panama; CRFM for Bahamas, Guyana, Suriname, and an additional co-executing agency to be defined for the work in Brazil.</p> <p>A virtual meeting was held with the Regional Fisheries Mechanisms to discuss the best way forward in relation to the PROCARIBE+ fisheries-related outputs which was then approved at the First Project Board Meeting in Decision 10F1 to 10F7 (annex 1 and 39). The PMCU also participated in meetings of one of the co-executing partners. (Annexes 17, 18)</p> |
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| <b>The progress of the objective/outcome can be described as:</b> | <b>Off track</b> |
| <b>Evidence uploaded:</b>                                         | YES              |

**Outcome 7**  
**Outcome 3.5. Region-wide reduction of ghost fishing and negative habitat impacts from unsustainable spiny lobster fishing gear & practices, enabled**

| <b>Description of Indicator</b>                                                                                                                                                                                                                                                                                                                                                                                                                | <b>Baseline Level</b>                              | <b>Midterm target level</b>                                                                              | <b>End of project target level</b>                                                                                                                                                                                                                                                         | <b>Level at Jun 30, 2023</b>       | <b>Cumulative progress since project start as of Jun 30, 2024</b>                                                                                                                            |
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| Indicator 3.5: a) solution(s) to reduce negative impacts from unsustainable fishing gear and practices in industrial spiny lobster fisheries developed and tested, and available for replication and/or up-scaling + (b) provisions for the implementation of measures against ghost fishing and negative habitat impacts from spiny lobster fishing gear and practices adopted/endorsed by corresponding entities for region-wide application | a)No solution in place<br>b)No provisions in place | at least 1 season of field tests completed, most results needed from pilot available for decision-making | pilot successfully concluded with proof of reduced impacts from revised gear/practices, and recommendations available for up-scaling/replication in other countries; provisions adopted/endorsed by at least 2 of the 3 regional fisheries bodies to implement the improved gear/practices | <i>(not set or not applicable)</i> | <p>No progress has been made so far on this indicator.</p> <p>As per revised, PBM1-approved MYWP, work is to start in Q3 2024.<br/>(Same evidence as Outcome 3.4: Annexes 1, 17, 18, 39)</p> |

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| <b>The progress of the objective/outcome can be described as:</b> | <b>Off track</b> |
| <b>Evidence uploaded:</b>                                         | YES              |

**Outcome 8**

**Outcome 4.1 A well-articulated marine data, information and knowledge management infrastructure/network is enabled, (a) providing a science-policy interface; (b) supporting the development/updating, implementation and M&E of regional Action Programmes and Plans; (c) boosting and increasing the impacts of marine & coastal investments**

| <b>Description of Indicator</b>                                                                                                                                                                                                                                                                                     | <b>Baseline Level</b>                                                                                                                                                                                       | <b>Midterm target level</b>                                                                                                                                                                                                                                                                       | <b>End of project target level</b>                                                                                                                                                                                                                   | <b>Level at Jun 30, 2023</b> | <b>Cumulative progress since project start as of Jun 30, 2024</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| Indicator 4.1.1: strengthened marine data/information/knowledge management network manifested through, a.o.:<br>(a) operational OCM Hub+ (b) Marine Data & Information (MDI) Landscape/Infrastructure Blueprint for the region + (c) MDI Blueprint implementation with demonstrable progress + (d) new TDA ("SOME") | a) Prototype CLME+ Hub tied to the ICM, may be used as basis for the development of the OCM Hub (pending related OCM decision)<br>b) No existing Blueprint<br>c) Blueprint not implemented<br>d) No new TDA | (a) OCM Implementation Plan for the Hub available, and Hub implementation initiated including SAP/Action Programme tracking tool(s); (b) work on draft MDI blueprint underway; (c) no MT target, activities planned for second project half; (d) SOME (new TDA) development process well underway | (a) Hub operational, with post-project sustainability strategy; (b) MDI blueprint adopted/endorsed by OCM; (c) at least 2 key elements of MDI Blueprint sustainably implemented; (d) OCM-endorsed SOME that has been used in development of new SAP; | (not set or not applicable)  | Indicator 4.1.1 (a) Hub Implementation Plan not available.<br><br>(b) no Marine Data & Information (MDI) Landscape/Infrastructure Blueprint for the region<br><br>(c) no progress on MDI Blueprint implementation<br><br>(d) no new TDA ("SOME")<br><br>Indicator 4.1.1 (a)<br><br>CLME+ ICM Hub remains online but has become static (can no longer be updated) due to lack of funds/maintenance during the CLME+ / PROCARIBE+ inter-project phase; investment in replacing the ICM with OCM Hub is to be made once the OCM is operational as OCM inputs are required.<br><br>SAP/Action Programme tracking tool(s) available but cannot be updated and no progress tracking records have been added.<br><br>Indicator 4.1.1 (b)<br><br>(b) + (c) + (d) work was not yet to be initiated during Semester 1 2024 as per the PBM1-approved revised MYWP (OCM inputs required) |

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|                                                                                                                                                                                                                                                                                                                        |                                         |                                                                                                                                                    |                                                                                                      |                             | <p>Focus in year one has been on rebuilding momentum and gathering OCM Signatures (70% progress towards min # signatures needed for OCM operationalization).</p> <p>ToRs will be developed for the upcoming ICM/Executive Group meeting for the establishment of OCM Working Groups which are to lead the development of the Hub, the MDI and the SOMEЕ.</p> <p>A consultant was hired to initiate the implementation of the OCM Hub and MDI Blueprint.</p> <p>The PMCU attended the GEMS Ocean -Caribbean Digital Twin Prototype (CDTp) to scope potential synergies with other partners for the development of the MDI Blueprint and the OCM Hub (Annexes 40 and 41)</p> |
| Indicator 4.1.2. Number of SOMEЕ sub-sections with gender (and youth) information and statistics.                                                                                                                                                                                                                      | 0                                       | Gender (and youth) related information and statistics identified by Project Gender Working Group (PGWG) and agreed to be used in the SOMEЕ report. | At least 3 sub-sections of the SOMEЕ include information and statistics related to gender and youth. | (not set or not applicable) | <p>Indicator 4.1.2 0 SOMEЕ sections include gender (and youth) information and statistics.</p> <p>No progress to date on this indicator.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| The progress of the objective/outcome can be described as:                                                                                                                                                                                                                                                             | On track                                |                                                                                                                                                    |                                                                                                      |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Evidence uploaded:                                                                                                                                                                                                                                                                                                     | YES                                     |                                                                                                                                                    |                                                                                                      |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Outcome 9<br>Outcome 4.2 Increased regional and global impacts from GEF IW investments through global dissemination and sharing of experiences, and by forging synergies with other Regional Seas/LME/Regional Fisheries programmes and the wider community of International Waters/Ocean practitioners & stakeholders |                                         |                                                                                                                                                    |                                                                                                      |                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| Description of Indicator                                                                                                                                                                                                                                                                                               | Baseline Level                          | Midterm target level                                                                                                                               | End of project target level                                                                          | Level at Jun 30, 2023       | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| Indicator 4.2: potential for regional and global                                                                                                                                                                                                                                                                       | a)counter at zero for FSP project start | (a) at least 2 cases of adoption/integration of                                                                                                    | (a) at least 5 cases of adoption/integration of                                                      | (not set or not applicable) | Indicator 4.2: (a) Advocacy for CLME+/PROCARIBE+ innovative/best                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

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| impacts increased through: (a) number of innovative approaches & best practices piloted by PROCARIBE+ are adopted/assimilated by other GEF IW/LME and/or non-GEF marine initiatives (incl. IW:LEARN) + (b) number of events with active participation and support in IW:LEARN and other relevant oceans events + (c) number of good/best practices from PROCARIBE+ globally disseminated through IW:LEARN.<br>(Note: this is in part a proxy indicator as it would not be possible for the PMCU to fully measure the global impacts from the PROCARIBE+ GEF IW investments as a consequence of advocacy and synergistic action, and the exchange of experiences and best practices with the global marine community undertaken by the project.) | b)counter at zero for FSP project start<br>c)counter at zero for FSP project start | PROCARIBE+ good/best practices by other IW marine initiatives; (b) PROCARIBE+ participation in at least 1 IWLEARN events and at least 1 other global ocean event; (c) at least 2 good/best PROCARIBE+ practices disseminated globally. | PROCARIBE+ good/best practices by other IW marine initiatives; (b) PROCARIBE+ participation in at least 4 IWLEARN events and resp. at least 3 other global ocean events; (c) at least 6 good/best PROCARIBE+ practices disseminated globally. |  | practices at 2 LME Meetings increases the potential for adoption/assimilation by other projects. (Output 4.2.1) (See documents from LME Meetings: Annexes 9, 10, 41)<br><br>(b) Mid-term target achieved (Output 4.2.2) (See documents from LME meetings, participation in other global events: Annexes 9, 10, 19, 20, 21, 22, 23)<br><br>PROCARIBE+ participation in 3 IWLEARN events and 5 global ocean events<br><br>IWLearn Events:<br>- LME 22<br>- LME 23<br>- IWLearn Data Information Management Workshop<br><br>Global Ocean Events:<br>- Inter-sessional Workshop of the UNEP Convention on Biodiversity (CBD)Sustainable Ocean Initiative (SOI)<br>- 4th UNEP CBD SOI<br>- 2024 UN Ocean Decade Conference<br>- UN 4th International Small Island Developing States (SIDS) Conference<br><br>(c) (Output 4.2.3) 1 best practice disseminated in IW:LEARN newsletter (see Ocean Governance article).<br>The project will co-host two events at IWC10. Dissemination of best practices also done through CBD SOI Global Dialogue (Annexes 19, 21, 23) |
| <b>The progress of the objective/outcome can be described as:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>On track</b>                                                                    |                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                               |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Evidence uploaded:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>YES</b>                                                                         |                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                               |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

### Outcome 10

#### Outcome 5.1 Project-level monitoring and evaluation, in compliance with UNDP and mandatory GEF-specific M&E requirements

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| Description of Indicator                                                                                                                                                                                                                                                                                           | Baseline Level                                             | Midterm target level                                                                                | End of project target level                                                                        | Level at Jun 30, 2023              | Cumulative progress since project start as of Jun 30, 2024                                                                                                                                             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Indicator OC5.1.: Project-level monitoring and evaluation completed through documentation from Inception Workshop, Annual GEF Project Implementation Reviews (PIR), M&E of GEF core Indicators, Gender Plan, Safeguards Frameworks and Action Plans, Independent Mid-Term Review, and Independent Final Evaluation | No information on project M&E exists at project initiation | Project-level monitoring and evaluation meets the requirements of UNDP and GEF at project mid-point | All project-level monitoring and evaluation is complete and meets the requirements of UNDP and GEF | <i>(not set or not applicable)</i> | Project-level monitoring and evaluation meets the requirements of UNDP and GEF.<br><br>The Project Inception Workshop Report has been submitted and M&E of the project is ongoing. (Annexes 1, 42, 43) |
| <b>The progress of the objective/outcome can be described as:</b>                                                                                                                                                                                                                                                  | <b>On track</b>                                            |                                                                                                     |                                                                                                    |                                    |                                                                                                                                                                                                        |
| <b>Evidence uploaded:</b>                                                                                                                                                                                                                                                                                          | YES                                                        |                                                                                                     |                                                                                                    |                                    |                                                                                                                                                                                                        |

## Action plan

| Off-track objective/outcome | Action(s) to be taken                                                                                                                                                                                                                                                                     | Responsible party/ies                             | Due Date     |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|--------------|
| Outcome 6                   | Organize a meeting with co-executing partners to identify mitigation measures that will allow still achieving the end-of-project targets.<br><br>Explore potential synergies with UNDP Blue Justice project for intervention in Brazil.                                                   | PMCU and co-executing partners (CRFM and OSPESCA) | Oct 31, 2024 |
| Outcome 7                   | Organize a meeting with co-executing partners to identify mitigation measures that will allow still achieving the end-of-project targets.<br><br>Develop a plan that will ensure that field work can be undertaken during the 2025 spiny lobster closed fishing season (starting in Feb). | PMCU and co-executing partner OSPESCA             | Oct 31, 2024 |

## D. Implementation Progress

|                                                                   |         |
|-------------------------------------------------------------------|---------|
| Cumulative GL delivery against total approved amount (in prodoc): | 5.96%   |
| Cumulative GL delivery against expected delivery as of this year: | 12.34%  |
| Cumulative disbursement as of Jul 31, 2024:                       | 919,706 |

### Key Financing Amounts

|                  |             |
|------------------|-------------|
| PPG Amount       | 350,000     |
| GEF Grant Amount | 15,429,817  |
| Co-financing     | 126,016,646 |

### Key Project Dates

|                                                       |                             |
|-------------------------------------------------------|-----------------------------|
| Project duration                                      | 60 months                   |
| PIF Approval Date                                     | Jun 18, 2021                |
| CEO Endorsement Date                                  | Dec 9, 2022                 |
| Project Document Signature Date (project start date): | May 5, 2023                 |
| Date of Inception Workshop                            | Feb 19, 2024                |
| First Disbursement Date                               | Jun 30, 2023                |
| Expected Date of Mid-term Review                      | Nov 5, 2025                 |
| Actual Date of Mid-term Review                        | (not set or not applicable) |
| Expected Date of Terminal Evaluation                  | Feb 5, 2028                 |
| Original Planned Closing Date                         | May 5, 2028                 |
| Revised Planned Closing Date                          | (not set or not applicable) |

### Dates of Project Steering Committee/Board Meetings during reporting period (30 June 2023 to 1 July 2024)

2024-02-19

**Project Manager: Please provide comments on delays this reporting period in achieving any of the following key project milestones outlined in the above 'Key Project Dates' table. Include comments on COVID-19 related challenges, delays and impact. If there are no delays, please indicated 'not applicable'.**

As per PIMS+, the PROCARIBE+ Inception Workshop was to be held by 9 October 2023. This would not have been possible given the complexity of the project and the vast number of stakeholders to be engaged in the inception phase activities, combined with the fact that no project staff was active until June and even beyond

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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>June the PMCU capacity could only gradually be rebuilt during Year 1. Additionally, advancement of inception phase work during this period needed to be combined and traded off against efforts to mobilize countries and IGOs around the Ocean Coordination Mechanism MoU. This was strategically addressed by the PM by considering the full period until Q2 2024 as the inception phase, during which not one, but multiple "inception workshop-type" engagement activities took place, strategically spread out across this period (e.g. regional consultation meetings, bilateral and multi-party meetings and telcons, etc); results from these were then consolidated in the February 2024 Inception Workshop/1st Project Board Meeting and the Inception Workshop Report delivered in Q3.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <p><b>CO Programme Officer: Please include specific measures to manage the project's implementation performance</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <p>N/A</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <p><b>BPPS RTA: Please include specific measures to manage the project's implementation performance.</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <p>This project closes the reporting period (as of 13 Aug. 2024) with a cumulative delivery since the project start of 5.96% and a delivery for 2024 of 12.34%, representing a total disbursement of (USD): 919,706, with a total budget for the project of USD 15,429,817. During 2023 the project requested a budget review and delivered USD 330,649 and the PSC approved for 2024 a budget of USD 3,967,822.</p> <p>Bearing in mind that project last for 60 months (closing in May 2028), starting officially with the prodoc signature in May 2023, it has lapsed in the system 13 months of implementation (until June 2024); so, it currently has 47 months to implement its balance of around USD 14.5 million, meaning an average execution of USD 308,725.77 per month, becoming a very challenging effort.</p> <p>During this reporting period the project carried out the inception workshop and the first PSC which implied a heavy workload during the inception phase.</p> <p>Additionally, the project is registering in this reporting period USD 47,088,920 of cofinancing vis a vis what was included in the approved prodoc USD 126,016,646.</p> <p><b>MEASURES TO MANAGE THE PROJECT'S IMPLEMENTATION PERFORMANCE</b></p> <p>Taking the previous into consideration, the PMU is encouraged to:</p> <ul style="list-style-type: none"> <li>•Complete the co-execution agreements as soon as possible and start their implementation.</li> <li>•Continue providing support to countries to complete the signatures required to operationalize the OCM.</li> <li>•Prepare an acceleration plan for implementation (including procurement plan and AWP) in coordination with the co-executing partners, to be cleared by the RTA before the next PSC meeting for approval.</li> <li>•Carry out continuous meetings with the RTA to track the AWP implementation and define measures to accelerate the project delivery. These meetings can be carried out after the RTA has sent the quarterly monitoring e-mail to UNDP HQ and to the regional project manager.</li> </ul> |

- Define mechanisms to accelerate the approval and endorsement processes of the project's deliverables with special emphasis to the processes related to TDA/SAP preparation/update and endorsement.
- Continue tracking the co-financing support provided from main stakeholders.

## **E. Project Governance**

|                                                                                                                      |
|----------------------------------------------------------------------------------------------------------------------|
| <b>1) Please enter the dates of Project Board meetings during the reporting period (1 July 2023 to 30 June 2024)</b> |
|----------------------------------------------------------------------------------------------------------------------|

|            |
|------------|
| 2024-02-19 |
|------------|

## F. Ratings and Overall Assessments

| Role                                  | 2024 Development Objective Progress Rating | 2024 Implementation Progress Rating |
|---------------------------------------|--------------------------------------------|-------------------------------------|
| UNDP BPPS Technical Advisor           | Moderately Satisfactory                    | Moderately Unsatisfactory           |
| UNDP Country Office Programme Officer | <i>(not set or not applicable)</i>         | <i>(not set or not applicable)</i>  |

| Role                        | 2024 Overall Assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| UNDP BPPS Technical Advisor | <p>The project's objective is protecting, restoring and harnessing the natural coastal and marine capital of the Caribbean and North Brazil Shelf Large Marine Ecosystems (LMEs) to catalyze investments in a climate-resilient, sustainable post-covid Blue Economy, through strengthened regional coordination and collaboration, and wide-ranging partnerships. This is the project's first PIR. And it is worth to mention that PROCARIBE+ is the third phase of intervention in these LMEs, starting with CLME (PIMS 2193) with the SAP preparation, CLME+ (PIMS 5247) for SAP implementation and PROCARIBE+ for SAP update and other governance issues.</p> <p>DO RATING: (MS) Moderately Satisfactory</p> <p>The rating for this year's progress toward the project's Development Objective is Moderately Satisfactory (MS). This rating is based on the fact that the project is on track to achieve its end-of-project targets by project closure with minor shortcomings, including the delays associated to the OCM operationalization, the lack of project staff dedicated 100% in the inception phase and the difficulties associated to manage more than 19 countries and IGOs that are part of this project.</p> <p>Additionally, during the reporting period, the project held the inception workshop and in parallel the first PSC meeting which represented a huge workload for preparing the project planning and defining the field interventions that were not detailed during the design phase (PPG). The definition of activities is also related to the definition of co-executing partners which implied an important dedication of time from PMU.</p> <p>PROGRAMMATIC PROGRESS (INCLUDING CHALLENGES AND OPPORTUNITIES)</p> <p>At OBJECTIVE level this project has 5 indicators, which are GEF7 core indicators. GEF CORE INDICATOR 11 registers 3,479 beneficiaries (1,313 women). GEF CORE INDICATOR 2 includes 2 sub indicators INDICATOR 2.1 on marine protected areas newly created and INDICATOR 2.2 on marine protected areas under improved management effectiveness, with no progress to report yet, as the project was focused on selecting the co-executing partners. This core indicator will be undertaken by UNEP Cartagena Convention Secretariat (including work in Colombia with the creation of a new marine protected area – MPA in Varadero – Cartagena Bay, a new regional protected area in Punta San Bernardo and Chichiman – Rincon del Mar in Sucre Department and support for the management of the new Parque Nacional Natural Reserva Natural Cordillera Beata, as well as work in Dominican Republic in the Santuario Marino Orlando Jorge Mera) and the Cuban CNAP (including the creation of two MPAs: i) in the area of Los Bajos de Sancho Pardo and ii) in the Seamount area in the southern Cuba).</p> <p>The GEF CORE INDICATOR 5 of area of marine habitat under improved practices to benefit biodiversity includes SUB-INDICATOR 5.2 of the number of Large Marine Ecosystems (LMEs) with reduces pollution and hypoxia, with no progress to report yet. Progress in core indicator 5 will be provided with the development and</p> |

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|  | <p>endorsement of the new CLME+ SAP (Strategic Action Programme), the operationalization of the OCM, and the development of Marine Spatial Plans (MSP). For the latter, different meetings have been held for MSP in Dominican Republic, Colombia, Trinidad &amp; Tobago and Venezuela, as well as co-executing agreements with UNESCO Sub-Commission for the Caribbean and Adjacent Areas (IOCARIBE), UNDP Venezuela, Institute of Marine Affairs and CCAD. SUB-INDICATOR 5.2 is expected to deliver through the agreement with Small Grants Programme (SGP) and specific field interventions.</p> <p>GEF CORE INDICATOR 7 of number of shared ecosystems (fresh or marine) under new or improved cooperative management is complemented with four sub-indicators: SUB-INDICATOR 7.1 – level of Transboundary Diagnostic Analysis and Strategic Action Programme formulation and implementation, SUB-INDICATOR 7.2 – Level of Regional Legal Agreements and Regional Management Institutions to support its implementation, SUB-INDICATOR 7.3 – Level of national/local reforms and active participation of Inter-Ministerial Committees, and SUB-INDICATOR 7.4 – Level of engagement in IWLEARN through participation and delivery of key products. From these indicators, for 7, 7.1 and 7.2 in the current period preparatory steps for developing the TDA/SAP have started. Sub-indicator 7.3 has no progress in the present reporting period, but for 7.4 the project presents some progress with participation in IWLEARN meetings and submission of communication products.</p> <p>GEF CORE INDICATOR 8 of globally over-exploited fisheries moved to more sustainable levels has no progress yet, as the project was focused on selecting the co-executing agencies that will work on fisheries traceability (OSPESCA for Belize, Guatemala, Honduras and Panama, and CRFM for Bahamas, Guyana and Suriname). A separate co-execution agreement will be defined for Brazil to cover traceability of queen conch. It is noted by the project that between fisheries included for traceability (queen conch, spiny lobster and shrimp), only queen conch is considered over-exploited.</p> <p>OUTCOME 1.1 related to SAP, OCM and partnerships includes INDICATOR 1.1 on the proof of coordination and collaborative and synergistic action divided in 6 actions: a) OCM operationalization, b) number of partnership/forum held, c) development progress of the new SAP, d) number of progress tracking records for the current and new SAP in the online tracking tool, e) total number of organizations that registered progress tracking records in the joint tool and f) number of projects listed as supporting action progress in the online tracking tool. For the action a), as the OCM is not operative yet (still 7 signatures from countries are missed), the Interim Coordination Mechanism (ICM) composed by IGOs is supporting the OCM actions ad interim, and encouraging countries to complete the required signatures, in parallel to the work done by PMU in this matter. For action b) as the OCM is not operative, partnerships forums are scheduled for 2026 and 2027.</p> <p>For action c) different meetings have been held to start the TDA/SAP process, and coordination with other TDA/SAP exercises under other IW projects is being held. Advocacy for enabling the paradigm shift in TDA/SAP approach, using the approach applied by PROCARIBE+ predecessor projects, was presented in several international fora. Additionally, as the ICM has been activated, as OCM is not operative, in an ICM meeting in Aug. 2024 the ToRs for the proposed OCM Working Group on the SAP will be presented.</p> <p>For actions d), e) and f) there is ongoing progress with SAP implementation, but not tracked yet as there is not a platform available for that matter. Progress is being made with a consultant who is evaluating the rehabilitation of the CLME+ Hub.</p> |
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|  | <p>OUTCOME 2.1 on national level capacity, enabling conditions and commitments for EBM/EAF (Ecosystem Based Management/Ecosystem Approach to Fisheries) and marine-based, climate and disaster resilient socio-economic development includes INDICATOR 2.1.1 on the proof of enhanced capacity, enabling conditions and commitments, with four actions. Action a) operational NICs connected to the OCM, b) national SOMEES, BE (Blue economy) scoping studies and NCA (National Capital Accounting) pilots/enhancements, c) trainings delivered and/or made permanently accessible, and d) marine and coastal natural capital integrated in 2025 NDCs (Nationally Determined Contributions). None of this actions has progress to report yet, but for action a) initial talks have been conducted with Costa Rica on blue economy, for action c) the co-executing agreement with IOCARIBE and UNEP Cartagena Convention Secretariat, which will be responsible for implementing capacity building activities, has been developed, and for action d) the call for proposals for co-executing partners have finalized and PEW Charitable Trust is expecting to lead this work, supporting Panama, Honduras, Costa Rica, Jamaica and Belize in updating their NDCs. Additionally, the PMU participated in the 2023 LAC Climate Week in order to scope the work on NDCs and define potential partners, and for presenting in a UNDP side event on blue NDCs.</p> <p>OUTCOME 3.1 on local interventions includes two indicators. INDICATOR 3.1.1 Civil Society / Micro, Small, Medium Enterprises initiatives have advanced actions under the CLME+ SAP (1 and 2), Civil Society-SAP and/or associated/compatible Strategies and Action Plans has no progress yet, as the project has centralized efforts in defining the arrangement internally in UNOPS to work with Small Grants Programme (SGP). INDICATOR 3.1.2 percentage of women-led projects and youth-led projects financed under micro-financing scheme to date has no progress as agreement with SGP has not closed yet.</p> <p>OUTCOME 3.2 on mobilization of private capital has the INDICATOR 3.2 on enabling conditions established to implement a carbon credits-based sustainable financing instrument for seagrasses and tropical peatlands in Panama which has no progress yet, as the project has centralized efforts in defining the co-executing partner. And after a call for proposals Pew Charitable Trust will support to Panama in improving its NDC and in other climate actions.</p> <p>OUTCOME 3.3 on Blue Economy, MSP and MPA/OECM (Other Effective Area-Based Conservation Measures) include the INDICATOR 3.3.1 area in km<sup>2</sup> covered by marine spatial planning efforts, attributable to/supported by the PROCARIBE+ Project with no progress yet, as the project has centralized efforts in defining co-executing partners. In MSP the following co-executing agreements are under preparation: in Trinidad &amp; Tobago of the portion of the Gulf of Paria with the Institute of Marine Affairs, in Venezuela for the portion of the Gulf of Paria with UNDP CO, for Colombia in Cartagena Bay with IOCARIBE, for Dominican Republic large-scale full EEZ (Economic Exclusive Zone) planning and small-scale local MSP with UNEP Cartagena Convention.</p> <p>OUTCOME 3.4 on the implementation of traceability systems has INDICATOR 3.4 proof of progress towards generalized implementation of traceability, including three actions: a) number of fishery/seafood products with traceability schemes applied, b) total volume of fishery/seafood products under traceability, and c) enabling conditions (traceability standards) to replicate/expand the traceability systems across the WECAFC countries. For the current reporting period there is no progress in this indicator and its actions yet, as the project has centralized efforts in defining the co-executing partners to support these processes (see details in CORE INDICATOR 8).</p> |
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|  | <p>OUTCOME 3.5 on the reduction of ghost fishing and negative impacts from unsustainable spiny lobster fishing includes INDICATOR 3.5 with two actions a) solution(s) to reduce negative impacts from unsustainable fishing gear and practices in industrial spiny lobster fisheries developed and tested, and available for replication and/or up-scaling, and b) provisions for the implementation of measures against ghost fishing and negative habitat impacts from spiny lobster fishing gear and practices adopted/endorsed by corresponding entities for region-wide application, with no progress to report yet.</p> <p>OUTCOME 4.1 on data and SAP monitoring includes two indicators. INDICATOR 4.1.1 strengthened marine data/information/knowledge management network manifested through four actions a) operational OCM Hub, b) Marine Data &amp; Information (MDI) Landscape/Infrastructure Blueprint for the region, c) MDI Blueprint implementation with demonstrable progress, and d) new TDA ("SOME"). This indicator has no progress to report yet, as the project has hired a consultant to review the possibility to make operational the CLME+ hub. And INDICATOR 4.1.2 on number of SOME sub-sections with gender (and youth) information and statistics with no progress yet.</p> <p>OUTCOME 4.2 on global dissemination and sharing experiences has INDICATOR 4.2 on potential for regional and global impacts increased through three actions: a) number of innovative approaches &amp; best practices piloted by PROCARIBE+ are adopted/assimilated by other GEF IW/LME and/or non-GEF marine initiatives (incl. IW:LEARN), b) number of events with active participation and support in IW:LEARN and other relevant oceans events, and c) number of good/best practices from PROCARIBE+ globally disseminated through IW:LEARN. For action a) the project has advocated for CLME+/PROCARIBE+ innovative/best practices at 2 LME meetings, increasing the potential for adoption/assimilation of the approach by other projects. For action b) the mid-term target has been achieved with the participation of the project in 3 IWLEARN meetings and 5 global ocean events. And for action c) one best practice disseminated in the IWLEARN newsletter.</p> <p>OUTCOME 5.1 of the M&amp;E has INDICATOR OC5.1. on Project-level monitoring and evaluation completed through documentation from Inception Workshop, Annual GEF Project Implementation Reviews (PIR), M&amp;E of GEF core Indicators, Gender Plan, Safeguards Frameworks and Action Plans, Independent Mid-Term Review, and Independent Final Evaluation, in which, during the reporting period, the inception workshop has been completed.</p> <p>From the above the OUTCOMES 3.4 and 3.5 are off-track with no actions reported yet, hence the project has included an action plan to be implemented in the next reporting period.</p> <p>Complementarily of what was reported as programmatic progress, it is important to mention the CHALLENGES that the project has faced during the reporting period, being the main one the delayed operationalization of the OCM which is affecting the project's activities that are in majority related to it and implying an important dedication of time from PMU to obtain the signatures from countries and IGOs. On the other hand, other important challenge has been the definition of specific interventions and the co-executing partners for implementing them, which has taken a lot of time from PMU, considering that in some cases complex arrangements are needed, for instance two different co-executing partners working in the same country of different outputs.</p> |
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Moreover, it is worth to mention that the project was designed to start with a PMU with the core positions immediately staffed with full-time professionals having strong institutional memory and experience from their participation in the previous phases of this project (CLME+ and CLME). The previous was a requirement considering the ambitious results of PROCARIBE+ during the proposed time and the existent momentum around the OCM that had been built among regional stakeholders at the end of the predecessor project, CLME+. Despite warning from the project manager on the impact this would have, decisions at the level of UNOPS portfolio management, including the pursuit of a HR resource-sharing approach among projects, did not allow the project to immediately start with the required capacity on board of the PMU. The negative impacts of this were further aggravated due to delays in recruitment processes, especially during the second semester of 2023. Towards the end of the second quarter of 2024, key positions at the PMU had been filled and an acceleration of implementation progress can now be expected.

Although different challenges have been presented during this reporting period, the adaptive capacity of the PMU has permitted to take advantage of some OPPORTUNITIES, as the possibility to activate the CLME+ Interim Coordination Mechanism to lead and endorse ad interim the activities related to the OCM, until its operationalization. And during the definition of field interventions, the opportunity to increase the final targets of core indicators has appeared with Cuba which is planning to declare a new MPA.

#### EVIDENCE

For this PIR exercise, all evidence provided and included in the DO progress (per objective and outcome) and in the global library permit to verify the results reported so far. In some cases, there are various documents included per result/indicator which could represent an extra effort for a reader to follow them.

The evidence uploaded have been reviewed, which substantiates the progress made so far. For example, for the OBJECTIVE indicators although no progress is included yet in the majority of them, evidence of the preparatory work is included. OUTCOME 1.1, includes the minutes of preparatory meetings as well as documentation from the PSC's decisions directing the work of the project. For OUTCOME 2.2, mainly focused on documenting initial meetings and the definition of co-executing partners and agreements, as well as for OUTCOMES 3.1 to 3.5. For OUTCOMES 4.1 and 4.2, the excellent participation of the project in different events and sharing experiences is duly documented.

Finally, OUTCOME 5.1 includes the inception workshop report, as the first milestone of the project, complying the expected M&E.

#### PROJECT GOVERNANCE

The project held a PSC meeting during the reporting period in Feb. 2024 with the very active participation of the members from both countries. The full topics discussed in this decision-making space were detailed by the RTA in the correspondent section in this PIR, including approvals about the risk management, AWP, social and environmental standards, and others. It is worth to mention that the Grievances Redress Mechanism has been constituted for the project.

#### RISK MANAGEMENT

A joint discussion about risk management was carried out by the RTA with the PMU with the aim to review the risks status, as well as the management measures. More details about this discussion, including the

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|  | <p>assessment of the risk management in the PIR, UNDP's SES and the key management measures for the coming year are included in the correspondent section of this PIR.</p> <p><b>PROCUREMENT</b><br/>This project is being implemented by UNOPS under its policies and regulations. UNDP is not involved in procurement processes, so no challenges in this matter can be reported.</p> <p><b>IP RATING: (MU) Moderately Unsatisfactory</b><br/>The implementation progress for this reporting period is Moderately Unsatisfactory. This rating is given because the project's implementation is not proceeding as planned and faces significant delays of what was originally defined. Implementation progress could be improved if the OCM is operationalized as soon as possible, and the co-executing agreements can start implementation immediately. The cumulative financial delivery is 5.96%, and the delivery in 2024 is far low from what was originally planned and approved by the first PSC meeting. The timing of key implementation milestones is still on track but could be affected if the OCM and the co-executing agreements are not operational. The management of risks is on track.</p> <p>Considering that this project counts with 60 months for its execution, since the prodoc was signed (May 2023) progress have been made in the preparatory phase to define field interventions, make operative the OCM and defining the co-executing partners and agreements, bearing in mind the difficulties associated to the coordination between the different countries (more than 19), IGOs, other stakeholders and the sensitivities related to transboundary management.</p> <p>Numbers around the IP have been included by the RTA in the correspondent section of this PIR, including measures to manage the project's implementation performance, giving special emphasis to the budget balance to be executed.</p> <p>In terms of co-financing the project's stakeholders committed originally in the prodoc USD 126,016,646, and during the reporting period, confirmation of USD 47,088,920 of co-financing has been reached including grants and in-kind support coming from public institutions, donor agencies and CSOs.</p> <p><b>MINOR AMENDMENTS</b><br/>During this reporting period, the project has indicated some minor changes to what was approved in the prodoc in the following points:</p> <ul style="list-style-type: none"> <li>-Results framework: minor changes were made in the results framework and approved by the PSC. These changes include removing reference to specific dates, movement of mid-term targets to the end of the project due to the delayed operationalization of the OCM, and the activation of the ICM (including a new Output 1.1.1a).</li> <li>-Institutional and implementation arrangements: some executing partners have been confirmed and co-executing agreements are under development.</li> <li>-Financial management: inclusion of a local position for financial management and movement of funds for the OCM secretariat from budget line 72100 to 71800 in order to guarantee sufficient funding for the ICM/OCM Secretariat to be run by the PMU.</li> <li>-Safeguards: The SESP was updated in Jan. 2024</li> <li>-Co-financing: additional cofinancing is reported.</li> </ul> |
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**GENDER**

As part of the UNDP's SES alignment the project has used the Gender Action Plan prepared during the design phase as the basis to define the field interventions. Hence, the project has prepared a guideline and training for co-executing partners to mainstreaming gender/youth and social and environmental standards in general, as well as the co-executing agreements include a gender clause. Additionally, a gender specialist has been hired in Dec. 2023 to review and ensure alignment in gender.

The main results during the reporting period include that 44% of PMU are women, all the project's meetings recorded sex-disaggregated data, ToRs include gender mainstreaming and stakeholder integration, and affirmative actions for promoting women participation in regional events.

**KM & COMMUNICATION**

The KM strategy/plan is linked to the OCM operationalization, so no strategy/plan defined yet. However, the project has enabled a temporal website as repository of main documentation open to stakeholders. Additionally, to facilitate the communication and exchange between main stakeholders, the project has set up a variety of tools ensuring that the information generated is adequately stored and easily accessible (M&E, risks management tools, file management system, meetings and travel planning and tracking, RACI matrix and others). Additionally, the project is an active participant and contributor of/in IWLEARN.

**PIR RECOMMENDATIONS**

Based on the findings above, the PMU is requested to consider the following recommendations for the next year:

- Finalize the co-execution agreements and start the implementation of the activities related as soon as possible.
- Continue following up and encouraging countries to sign the OCM MOU to start its operationalization.
- Maintain the risks follow up in the project quarterly report, including a section in which the safeguards action plan for the project is tracked. Send the updated safeguards action plan to the RTA/Regional PA for uploading it in PIMS+, each semester or when updated.
- Respond and include the changes/amendments/updates in the project risks (QUANTUM) requested by the RTA in the quarterly oversight (quarterly e-mail sent to the UNDP HQ Team and the Project Regional Coordinator). Review the project's risks (high and substantial) during the PSC meetings.
- Prepare an acceleration plan for implementation (including procurement plan and AWP) in coordination with the co-executing partners, to be cleared by the RTA before the next PSC meeting for approval.
- Carry out continuous meetings with the RTA to track the AWP implementation and define measures to accelerate the project delivery. These meetings can be carried out after the RTA has sent the quarterly monitoring e-mail to UNDP HQ and to the regional project manager.
- Define mechanisms to accelerate the approval and endorsement processes of the project's deliverables with special emphasis to the processes related to TDA/SAP preparation/update and endorsement.
- Continue tracking the co-financing support provided from main stakeholders.
- Start the preparation of a sustainability strategy.
- Prepare a matrix to track the implementation of the PIR recommendations.

Finally, it is important to remark the involvement, interest and support of main stakeholders and partners as UNOPS directly involved in the project activities, all of them pushing forward the project's activities with a great involvement and collaboration. In that sense, from UNDP Regional Hub we acknowledge and encourage to main stakeholders to maintain their interest and involvement to achieve the project ends; and at the same time, we encourage to the project team to maintain its excellent adaptive approach and accelerate implementation.

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| <b>UNDP Country Office Programme Officer</b> | <i>(not set or not applicable)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Project Manager/Coordinator</b>           | <p>PROCARIBE+ was designed as a highly ambitious project that is to give continuity to, consolidate and further upscale the impacts of its predecessor UNDP/GEF projects CLME and CLME+. To achieve such high ambitions, the expectation was that PROCARIBE+ would be able to build on region-wide sustained momentum that would have been "kept alive" between CLME+ end and PROCARIBE+ start by minimizing the timespan in between both aforementioned projects (i.e. fast PROCARIBE+ project development, approval and operationalization). PROCARIBE+'s design also departed from the assumptions that (a) the regional Ocean Coordination Mechanism (OCM) that would steer the development of outputs under the project components 1 and 4 would have been operationalized prior to the project's start, and (b) that the project would be able to almost immediately start with a fully staffed Project Unit. These expectations and assumptions were however not met at the time of project start; this consequently led to substantive implementation challenges for the PMCU during project year 1 for what even under optimal conditions would already have been a highly complex project. This was a.o. reflected in the need to simultaneously advance the project inception phase activities together with substantive efforts to (re-)mobilize countries and IGOs towards operationalizing the OCM. Visionary leadership and flexibility, solid pre-existing stakeholder relationships, accumulated project management experience, the development of sound project management tools and the application of an adaptive project management approach were key to a successful conclusion in Q2 of 2024 of the PROCARIBE+ project implementation phase, including the approval by the Project Board of a revised Multi-Year Work Plan and mitigation measures that, in embracing the initial implementation challenges and implementation progress delays during Year 1, trace a clear path towards successful project completion and transformational change for the Wider Caribbean region and beyond, by the project end date. While project implementation is thus clearly off-track when compared to the initial MYWP in the ProDoc (which expected the project to start in January 2023), it can be interpreted as being mostly on track when measured against the revised, Project Board-approved MYWP (loss of regional momentum/traction towards a prompt operationalization of the OCM remains however an issue, with spill-over effects on all project components). It is clear that, despite the strong foundations laid and substantive progress made during this reporting period, the compacted implementation timeframe for many of the project's activities and outputs -especially those that depend on an operational OCM and/or required the inception phase to be finalized before they could be initiated- means that accelerated implementation and high levels of commitment and effectiveness of all parties involved will be essential to achieve such successful delivery - demanding sound M&amp;E and issues&amp;risks management approaches, all of which have been and continue to be put in place by the PCU, which now became more solidly staffed towards the end of year 1.</p> |
| <b>GEF Operational Focal point</b>           | <i>(not set or not applicable)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Project Implementing Partner</b>          | <p>From the perspective of the Project Implementation Partner, the project is successfully progressing in accordance with the project plan as per project document and project's work plan. As referred to by the Project Coordinator, the complexity of the project and its interdependence with preceding phases (CLME+) of related GEF interventions as well as the evolving political priorities in the region inflict on the implementation and timeframe of the project plan. The Project Coordinator's leadership and familiarity with the project and the context allow for appropriate adaptive management and pragmatic application of adaptive measures to advance the project. On the side of the Project Implementing partner, strong project management practice, measures and tools assist the Project Coordinator and his team to remain on course and address any impasses where this is possible.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

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| <b>Other Partners</b> |  |
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|  | <i>(not set or not applicable)</i> |
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## G. Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the GEF Project and Program Cycle Policy Guidelines.

**CO Programme Officer:** Please check the box for each category for which a minor amendment occurred during this reporting period (1 July 2023-30 June 2024).

For each category that is checked off, a text box will appear. Please provide a brief description of the change that occurred in the associated text box. You may attach supporting documentation, as appropriate, via the upload feature at the bottom of this section.

The purpose of this section is to capture adaptive management and measure project proactiveness, an indicator outlined in paragraph 70 of the GEF-8 Policy Directions available [here](#).

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| <b>A) Results Framework</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>Provide a description of the change(s) to the 'Results framework'</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <p>Minor changes were made to the Project Results Framework to align the activities with the current context under which the project is operating. The changes do not affect the project outcomes and were approved by the Project Board. The changes can be summarized as follows (See Annexes 1, 2 and 3):</p> <ul style="list-style-type: none"> <li>- Considering that the targets already include specific dates for the achievement of the outputs, references to dates in the title of the outputs were removed.</li> <li>- Some of the Mid-Term Targets were pushed back to the end of the project due to delayed operationalization of the Ocean Coordination Mechanism</li> <li>- Considering the delayed commencement of the OCM and the need to maintain the Interim Coordination Mechanism operational to facilitate the collection of signatures to the OCM and support its operationalization, a new output was included (now Output 1.1.1a - "A functioning Interim Coordination Mechanism (ICM) consisting of 9 IGO's and with the PROCARIBE+ Project Coordination Unit (PMCU) fulfilling the role of ICM Secretariat, that remains operational until the long-term Ocean Coordination Mechanism (OCM) called for under CLME+ SAP Action 3.3. commences")</li> </ul> <p>A detailed list of all minor changes proposed to the RF, including justifications for the changes, is available in the Project Inception Workshop Report (see table 5).</p> <p>In addition to the changes included in the Inception Workshop report, a suggested modification will be brought forward for the consideration of the Project Board during its intersessional period, relating to the scope of the intervention on blue carbon to be executed in Panama (Output 3.2.1). In discussions with the government of Panama and the proposed co-executing agency, it was suggested that the work focus on seagrasses and not be extended to coastal peatlands. As a result, reference to peatlands will be removed from the title of the output in the RF.</p> |
| <b>B) Components and cost</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
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| <b>Provide a description of the change(s) to 'Components and cost'</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <i>(not set or not applicable)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>C) Institutional and implementation arrangements</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Provide a description of the change(s) to 'Institutional and implementation arrangements'</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <p>The Prodoc listed a number of proposed co-executing partners for several project outputs. While no change is being proposed, it is important to note that as per Decision 10F of the First Project Board Meeting, the following co-executing partners have been confirmed and co-executing agreements are currently under development (See Annexes 1, :</p> <ul style="list-style-type: none"> <li>- IOCARIBE OF IOC UNESCO (2.1.3 Training &amp; Capacity Building (MSP,...), 3.3.1.a. MSP (Dominican Republic + Colombia) and 3.3.1.b. MSP (regional activities / Advocacy) (Annex 25)</li> <li>- UNEP Cartagena Convention Secretariat (2.1.3 Training &amp; Capacity Building (R2R, S2R,...) and 3.3.2. MPA/OECM (Colombia + Dominican Republic)) (Annex 26)</li> <li>- UNOPS SGP Unit (NY) (3.1.1.a. Microfinancing (SGP)) (Annex 30)</li> <li>- UNDP Country Office in Venezuela (3.3.1.a. MSP Venezuela) (Annex 31)</li> <li>- Institute of Marine Affairs in Trinidad and Tobago (3.3.1.a. MSP Trinidad and Tobago) (Annex 29)</li> <li>- OSPESCA-SICA (3.4.1.a. Fisheries traceability (Belize, Guatemala, Honduras, Panama), 3.4.1.b. Fisheries traceability (regional), 3.5.1.a. Ghost gear (Honduras), 3.5.1.b. Ghost gear (regional)) (No progress on ToRs yet)</li> <li>- Caribbean Regional Fisheries Mechanism (CRFM) (3.4.1.a. Fisheries traceability (Bahamas, Guyana, Suriname)) (No progress on ToRs yet)</li> <li>- Central American Commission for Development (CCAD) (3.3.1.a. MSP (MAR Region) (PSSA process) and 3.3.2 MPA/OECM (MAR Region) (FRZ)) (Annex 36)</li> <li>- Centro Nacional de Áreas Protegidas de Cuba (CNAP) (3.3.2 MPA/OECM (Cuba)) (Annex 38)</li> </ul> <p>Competitive processes are planned for the execution of the following outputs:</p> <ul style="list-style-type: none"> <li>- 3.4.1.a. Fisheries traceability (Brazil) (No progress on ToRs yet)</li> <li>- 2.1.4 Nationally Determined Contributions (NDCs) (Call for proposals already closed) (Annex 27)</li> <li>- 3.2.1. Blue carbon (Panama) (Call for proposals already closed) (Annex 27)</li> <li>- 2.1.2 Prototype National SOMEE (Costa Rica+) (No progress on ToRs yet)</li> <li>- 3.3.1. Blue Economy Strategy (Costa Rica) (No progress on ToRs yet)</li> </ul> |
| <b>D) Financial management</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Provide a description of the change(s) to 'Financial Management'</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <p>The following changes have been made to Financial Management by approval of the Project Board:</p> <ul style="list-style-type: none"> <li>- PROCARIBE+ was designed to engage a full-time Operations and Finance Manager (OFM) as from the Project's start; this could however not be achieved and the project operated during a large part of this reporting</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |

year with a part-time international (remote) OFM; as it was observed and flagged by Project Management that this configuration was insufficient and negatively impacted project progress, the decision was made -and approved by the Project Board- to pursue a full-time local position in support of the financial management. During Q2 of 2024 the Project Unit was able to bring on board a full-time local Operations and Finance Associate.

- funds foreseen in the PROCARIBE+ Project Document for the OCM Secretariat from UNDP Budget Line 72100 "Contractual Services - Companies" were reallocated to UNDP Budget Line 71800 "Contractual Services - Implementing Partner" in order to guarantee sufficient funding for the ICM/OCM Secretariat - which are both to be run by the Project Coordination Unit.

This change was required to address the current situation vis-a-vis the operationalization of the Ocean Coordination Mechanism (OCM), and in particular the facts that (a) the CLME+ SAP ICM is expected to continue to operate until the OCM MoU commences, (b) on 2 October 2023 the ICM Membership formalized the role of the PROCARIBE+ PMCU as ICM Secretariat; (c) the PROCARIBE+ PMCU is to assume the role of OCM Secretariat once the MoU commences and for the duration of the project.

#### **E) Implementation schedule**

No

#### **Provide a description of the change(s) to 'Implementation schedule'**

*(not set or not applicable)*

#### **F) Executing Entity**

No

#### **Provide a description of the change(s) to 'Executing Entity'**

*(not set or not applicable)*

#### **G) Executing Entity Category**

No

#### **Provide a description of the change(s) to 'Executing Entity Category'**

*(not set or not applicable)*

#### **H) Minor project objective change**

No

#### **Provide a description of the change(s) to 'minor project objective change'**

*(not set or not applicable)*

#### **I) Safeguards**

Yes

#### **Provide a description of the change(s) to 'Safeguards'**

The SESP was updated in January 2024, to best align with the updated project activities and context at inception phase.  
Some of the risk were re-drafted or grouped, to better guide co-executing partners who will also need to develop their own SESP.  
The overall risk category of the project was not modified.

#### **J) Risk Analysis**

No

#### **Provide a description of the change(s) to 'Risk Analysis'**

*(not set or not applicable)*

#### **K) Increase of GEF project financing up to 5%**

No

#### **Provide a description of the change to GEF project financing up to 5%**

*(not set or not applicable)*

#### **L) Co-financing**

Yes

#### **Provide a description of the change(s) to 'Co-financing'**

After the PROCARIBE+ Project Document was submitted and approved by the GEFSEC, the Project Coordination Unit received the following additional co-financing commitment letters:

6 Dec 2022: UN ECLAC: USD \$93,075 (Annex 63)

1 May 2024: UNEP (CEP): USD \$894,668 (Annex 64)

17 May 2024: Cuba: USD \$183,333 (Annex 61)

5 July 2024: Suriname: USD \$68,450 (Annex 62)

Total of new co-financing commitment letters: USD \$1,239,527

The confirmed sources of PROCARIBE+ co-financing at the PRODOC (status: 11 July 2022) = USD \$126,016,646

New confirmed sources of PROCARIBE+ co-financing (status: 11 July 2024) = USD \$127,256,173

#### **M) Location of project activity**

No

#### **Provide a description of the change(s) to project location activity**

*(not set or not applicable)*

#### **Other**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
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| No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>Please provide a description of other types of minor amendments that do not fall under any of the above categories. For example, minor changes to the project's Gender Action Plan and/or gender activities can be captured here.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <i>(not set or not applicable)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>Upload any supporting documentation related to responses in this section.</b><br><i>(Uploaded files will be kept internal and not submitted to the GEF.)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <p>Annex 1 - 240219-21 ENG - PROCARIBE_ PSCM1 Decisions and Recommendations SIGNED PB Chair _1_.pdf</p> <p>Annex 2- 240418 ENG - PROCARIBE_ PSCM1 - Meeting Report _1_.pdf</p> <p>Annex 25 - O2.1.3_3.3.1 - Draft TORS IOCaribe.pdf</p> <p>Annex 26 - O2.1.3_3.3.2-ToRs UN2UN UNEP CEP MPA_CapacityBuilding COL DR - Draft 0603524.docx.pdf</p> <p>Annex 27 - O2.1.4_3.2.1 - CFP_23767_2024_001 Call for Proposals - NDC_BC Panama.pdf</p> <p>Annex 29 - O3.3.1 - 2404 11 ToRs PCA IMA_May 2024.docx.pdf</p> <p>Annex 3 - O5.1 - FINAL PROCARIBE_ Inception Phase Report.pdf</p> <p>Annex 30 - O3.3.1 - 230707 UNOPS Inter-Office Memorandum PROCARIBE_ Output 3.1.1.1.a SGP.pdf</p> <p>Annex 31 - O3.3.1 - ToRs MSP Venezuela.pdf</p> <p>Annex 36 - O3.3.1_3.3.2-ToRs CCAD PSSA_ZRP MAR.pdf</p> <p>Annex 38 - O3.3.2 - TdR CNAP Cuba.pdf</p> <p>Annex 58- Updated PROCARIBE_ SESP _January 2024_ _1_.pdf</p> <p>Annex 61 - CUBA_PROCARIBE_ Cofinancing Commitment letter.pdf</p> <p>Annex 62 - Suriname_PROCARIBE_ Cofinancing Commitment letter.pdf</p> <p>Annex 63 - UN ECLAC_PROCARIBE_ Cofinancing Commitment letter.pdf</p> <p>Annex 64 - UNEP CEP_PROCARIBE_ Cofinancing Commitment letter.pdf</p> |

## H. Gender

### Progress in Advancing Gender Equality and Women's Empowerment

**1) Please review the project's Gender Analysis and Action Plan. If the document is not attached or an updated Gender Analysis and/or Gender Action Plan is available please upload the document below or send to the Regional Programme Associate to upload in PIMS+. Please note that all projects approved since 1 July 2014 are required to carry out a gender analysis and all projects approved since 1 July 2018 are required to have a gender analysis and action plan.**

Annex 65 - 240427 PROCARIBE\_ National Focal Points TORs\_FINAL VERSION.docx.pdf  
 Annex 66 - EN - 5 steps towards a fully functional OCM.pdf  
 Annex 67 - EN - the OCM and MOU\_ 20 KEY FACTS.pdf  
 Annex 68 - ENG 1-pager OCM MOU .pdf  
 Annex 69 - Linkedin posts \_ engagment\_.docx  
 Annex 70 - OCM 8 Key Facts.jpeg  
 Annex 71 - OCM MOU Press release \_1\_.pdf

### Quantum Gender Marker Rating

**GEN2:** gender equality as significant objective

**2) Please indicate in which results areas the project is contributing to gender equality (you may select more than one results area, or select not applicable):**

Contributing to closing gender gaps in access to and control over resources: Yes

Improving the participation and decision-making of women in natural resource governance: Yes

Targeting socio-economic benefits and services for women: Yes

Not applicable: No

**3) Please specify results achieved this reporting period that focus on increasing gender equality and the empowerment of women.**

**Please explain how the results reported addressed the different needs of men or women, changed norms, values, and power structures, and/or contributed to transforming or challenging gender inequalities and discrimination.**

The project has progressed in accordance with the provisions of the Gender Action Plan (GAP). A gender equality and participation specialist was integrated in the PMCU and the Gender Analysis and GAP was reviewed to ensure alignment with the information at the project inception phase (Annex 59). The GAP has not been modified but two of the proposed activities requires attention for its implementation:

i) the establishment of a Project Gender Working Group (PGWG) under the OCM is delayed due to the OCM not being operational yet. As for other OCM-related activities and as per Decision 10D4 of the Project Board, the

ICM will act as interim lead on OCM-gender related activities until the OCM is fully operationalized in order to avoid further delays. (See Project Board Decisions - Annex 1)

ii) the on-site activities implemented by co-executing partners required the identification and implementation of specific gender (and youth) affirmative actions to promote full gender mainstreaming in the project's in-country activities and with a view to achieving the gender indicators (e.g. percentage of small grants women-youth-led projects, MSP process with full gender mainstreaming etc.). Co-executing partners that will implement on-site activities have been requested to provide this information as part of the agreement to be signed with UNOPS. (See example in Annex 29)

The GAP activities implemented to date: i) 46% of PMCU are women (Annex 59); ii) the PMCU has one specialist dedicated to support gender and participation (Annex 59); iii) all project meetings recorded sex-disaggregated data (253 participants, 148 women and 105 men) (Annex 44); iv) Project ToRs include elements for gender mainstreaming and stakeholder engagement (see example for Institute of Marine Affairs Annexes 29); v) the on-site activities that will be implemented by the co-executing partners are in process of identifying specific gender (and youth) affirmative actions (minimum percentage of small grants women-youth-led projects, MSP process with full gender mainstreaming, others) (Annex 29); a guide to support co-executing partners with gender and stakeholder engagement activities has been developed + a training was organized in English and Spanish (May 2024) (Annexes 56 and 57); and vi) affirmative actions for promoting women participation in regional events (the project supported the participation of a young woman representative in a regional event of "Women Guardians of the Oceans" in Puntarenas, Costa Rica (June 2023) (Annex 72).

The results contributed to enhanced equality between men and women by ensuring that women are equally represented in project management and project activities. The participation of a young woman leader in the regional event "Women Guardians of the Oceans" provided an opportunity to learn what other women are doing to protect the oceans while enhancing the lives of their coastal communities and increased the potential for upscaling best-practices to other communities. The outcome of the workshop (Call to action) will be brought forward to representatives of the upcoming UN Oceans Meeting in Nice, France.

#### **4) Please describe how work to advance gender equality and women's empowerment enhanced the project's environmental and/or resilience outcomes.**

The project is expected to empower women in coastal communities through its national-level activities under Component 3. To date, progress under this component has focused on the development of co-executing agreements which will include specific gender mainstreaming activities that will aim at reducing gender inequalities. Once the on-the-ground activities commence, the gender-related activities are expected to enhance the project's overall environmental and resilience outcomes.

## I. Risk Management

### A) Overall Risk Management

**BPPS RTA: Please discuss the risks flagged in the Quantum project Risk Register and the VF Risk Dashboard in PIMS+ with the CO and then provide an assessment of project risk management undertaken during the reporting period. Document actions, agreed with the CO, to address all project risks in the coming year.**

For PIR purposes, a discussion specifically about risks management was held on July 5, 2024 with the PMU, Regional PA and RTA. In addition to this, it is worth to mention that the RTA and Regional PA monitor every quarter the risks in PIMS+ and QUANTUM, and based on this, an e-mail is sent to the management team at HQ and a copy to the project's regional manager indicating corrective actions. Risks monitoring from the project are done every quarter, after a consolidation of what is reported to UNOPS, in order to have a clear vision of main risks tracked by the PMU.

The following can be highlighted from this call:

- There are 15 risks that could affect the project's results completion, all of them registered in QUANTUM, 4 substantial, 10 moderate and 1 low. These substantial risks are related to i) delays with setting-up co-executing agreements with project partners; ii) complete PMCU capacity not established on time; iii) lack of partners accountability affect overall project results; And iv) operating the OCM is not financially sustainable in the long-term.
- The SESP was updated during the reporting period, which resulted in 9 risks with an overall rating of SUBSTANTIAL (7 moderate and 2 SUBSTANTIAL). All these socio-environmental risks were registered in QUANTUM.
- The project to be aligned with UNDP's social and environmental standards, as well as for monitoring the SUBSTANTIAL RISK of the SESP has prepared a 'Safeguards Action Plan' which includes the SES updates for project inception and implementation (updates of SESP, ESMF, stakeholder engagement and gender mainstreaming), the SES tools to be developed and implemented (SES guidance for co-executing partners, SESA, SESP, ESIAAs, ESMPs, IPPs and GRM), and the workplan for managing SES (roles and responsibilities and implementation plan). SESA will be applied for SAP update. ESIAAs, ESMPs and IPPS will be prepared, when applicable, in activities related to component 3. GRM has been developed and it will be presented in the next PSC for approval and promotion in main project spaces and with stakeholders.

#### ASSESSMENT OF THE RISK MANAGEMENT IN THE PIR

Based on the previous, it could be said that the project presents a highly satisfactory risk management approach, including the review and update of the risks every quarter, when the progress report is prepared by PMU, and the presentation of main risks during the PSC meetings. Additionally, with the Safeguards support

(SES Specialist and a Gender/Stakeholder Specialist) in the project, a continuous and close follow up is done to the safeguards action plan to manage the SESP substantial risks, in direct coordination with co-executing partners and the RTA.

#### KEY MANAGEMENT MEASURES FOR THE COMING YEAR

The key management measures recommended to be applied in the coming year are:

- Maintain the risks follow up in the project quarterly report, including a section in which the safeguards action plan for the project is tracked. Send the updated safeguards action plan to the RTA/Regional PA for uploading it in PIMS+, each semester or when updated.
- Respond and include the changes/amendments/updates in the project's risks (QUANTUM) requested by the RTA in the quarterly oversight (quarterly e-mail sent to the UNDP HQ Team and the Project Regional Coordinator).
- Review the project's risks (high and substantial) during the PSC meetings.

## B) SES Implementation

**Provide an update on progress, challenges and outcomes related to stakeholder engagement based on the description in the Stakeholder Engagement Plan or equivalent documentation submitted at CEO Endorsement/Approval.**

While the SEP developed for the Prodoc is still relevant and covers the engagement of relevant regional stakeholders adequately, local stakeholder analyses are needed to complement the list of key stakeholders for national-level interventions. All co-executing partners that will be responsible for the implementation of on-the-ground activities have been requested to send a list of key stakeholders and a critical path for their involvement with a view to complement the information contained in the SEP.

Progress with the implementation of the SEP can be described as follows:

- A gender equality and participation specialist was integrated in the PMCU (Dec 2023) and it was considered that the SEP has sufficient information to initiate project implementation. (Annex 59)
- The Safeguards Action Plan was developed and identified the need that co-executing partners identify local stakeholders and integrate actions towards their full engagement in on-site activities. (Annex 55)
- Guidelines for gender equality and stakeholders identification was developed for co-executing partners + a training was delivered on the application of safeguards in general, including the stakeholder engagement. (Annexes 56, 57, 60)

## J. Knowledge Management & Communications

The **Project Manager** must complete the three questions below.

**1) Please provide progress on the implementation of the project's Knowledge Management approach approved at CEO Endorsement/Approval. If there is no KM approach/strategy, please comment on how the project is capturing and disseminating best practices and lessons learned.**

The knowledge management strategy of the Project is intrinsically linked to Component 4 which focuses on region-wide, multi-stakeholder knowledge management for “healthy seas & societies” in the wider Caribbean - supporting the CLME+ SAP Vision of “Our Seas, Our Source, Our Future”. The main KM elements that will be developed are:

- A KM Hub, hosted by the Ocean Coordination Mechanism that will build on a prototype Hub developed under the previous CLME+ Project.
- A Marine Data Infrastructure Blueprint to be guided and endorsed by the OCM
- TDA/SOMEE (Transboundary Diagnostic Analysis/State of the Marine Environment and associated Economies) to be guided and endorsed by the OCM
- Alliance with IWLearn/Participation in IWLearn activities/Dissemination of Best Practices through IWLearn

To date, progress has focused on operationalizing the OCM to ensure that the regional governance structure is in place to support the different elements of regional KM to be supported under the project. Specific working groups are to be setup under the OCM to develop the OCM Hub, MDI and TDA/SOMEE. Terms of References for the Working Groups will be presented at the first meeting of the ICM or OCM Executive Group planned for Aug 2024.

Considering the limitations encountered with the CLME+ Hub (it has become static due to lack of maintenance between CLME+ and PROCARIBE+), the project has set up a basic landing page for the Project through UNESCO IOCARIBE (procaribepius.org) used for the Inception Workshop/PBM1. A consultant has been hired to develop a project webpage as an interim solution until a solution has been found for the CLME+/OCM Hub.

The project has set up a variety of project management tools ensuring that the information generated is adequately stored and easily accessible. (M&E, Risks Mngt Tools, File Mngt System, Meetings & Travel Planning/tracking, RACI Matrix...).

The PROCARIBE+ stakeholder engagement strategy and plan lays-out the foundation for engagement and communications with project partners and stakeholders. The SEP will be complemented by local/national level stakeholders through info provided at the local level by co-executing partners (work is in progress on the collection of national stakeholders).

The NFP ToRs outline a communication procedure for PROCARIBE+ project governance and management processes.

**2) Please provide URLs specific to this project in the relevant field below. Please categorize the URLs appropriately (for example: project websites, social media sites, media coverage, etc.)**

Communications materials developed:

Project Information Hub: OCM Signature Countdown page (<https://clmeplus.org/>)

IWLearn article

([https://news.iwlearn.net/strengthened-collaboration-for-integrated-ocean-governance-in-the-wider-caribbeanp?source=share-iwlearn](https://news.iwlearn.net/strengthened-collaboration-for-integrated-ocean-governance-in-the-wider-caribbean?p?source=share-iwlearn))

Project landing page used for the First Project Board Meeting ([procaribeplus.org](http://procaribeplus.org))

Press release (see file uploaded) (Annex 70)

Convention Document: Cartagena Convention COP article on PROCARIBE+

([https://gefcrew.org/carrcu/IGM/EN%20CAR\\_IG\\_48\\_CRP\\_2\\_IGM20\\_COP17\\_PROCARIBE.pdf](https://gefcrew.org/carrcu/IGM/EN%20CAR_IG_48_CRP_2_IGM20_COP17_PROCARIBE.pdf))

Advocacy materials on OCM (see uploaded files) (Annexes 66, 67, 68, 70)

Social Media posts (<https://www.linkedin.com/company/undp-gef-procaribe-project/>) (Annex 69)

**3) In the PIR platform, please upload any supporting files, including the project's Communications Strategy, photos, videos, stories and other communication/knowledge materials.**

Annex 65 - 240427 PROCARIBE\_ National Focal Points TORs\_FINAL VERSION.docx.pdf

Annex 66 - EN - 5 steps towards a fully functional OCM.pdf

Annex 67 - EN - the OCM and MOU\_ 20 KEY FACTS.pdf

Annex 68 - ENG 1-pager OCM MOU .pdf

Annex 69 - Linkedin posts \_ engagment\_.docx

Annex 70 - OCM 8 Key Facts.jpeg

Annex 71 - OCM MOU Press release \_1\_.pdf

## **K. Annex - Ratings Definitions**

### **Development Objective Progress Ratings Definitions**

(HS) Highly Satisfactory: Project is on track to exceed its end-of-project targets, and is likely to achieve transformational change by project closure. The project can be presented as 'outstanding practice'.

(S) Satisfactory: Project is on track to fully achieve its end-of-project targets by project closure. The project can be presented as 'good practice'.

(MS) Moderately Satisfactory: Project is on track to achieve its end-of-project targets by project closure with minor shortcomings only.

(MU) Moderately Unsatisfactory: Project is off track and is expected to partially achieve its end-of-project targets by project closure with significant shortcomings. Project results might be fully achieved by project closure if adaptive management is undertaken immediately.

(U) Unsatisfactory: Project is off track and is not expected to achieve its end-of-project targets by project closure. Project results might be partially achieved by project closure if major adaptive management is undertaken immediately.

(HU) Highly Unsatisfactory: Project is off track and is not expected to achieve its end-of-project targets without major restructuring.

### **Implementation Progress Ratings Definitions**

(HS) Highly Satisfactory: Implementation is exceeding expectations. Cumulative financial delivery, timing of key implementation milestones, and risk management are fully on track. The project is managed extremely efficiently and effectively. The implementation of the project can be presented as 'outstanding practice'.

(S) Satisfactory: Implementation is proceeding as planned. Cumulative financial delivery, timing of key implementation milestones, and risk management are on track. The project is managed efficiently and effectively. The implementation of the project can be presented as 'good practice'.

(MS) Moderately Satisfactory: Implementation is proceeding as planned with minor deviations. Cumulative financial delivery and management of risks are mostly on track, with minor delays. The project is managed well.

(MU) Moderately Unsatisfactory: Implementation is not proceeding as planned and faces significant implementation issues. Implementation progress could be improved if adaptive management is undertaken immediately. Cumulative financial delivery, timing of key implementation milestones, and/or management of critical risks are significantly off track. The project is not fully or well supported.

(U) Unsatisfactory: Implementation is not proceeding as planned and faces major implementation issues and restructuring may be necessary. Cumulative financial delivery, timing of key implementation milestones, and/or management of critical risks are off track with major issues and/or concerns. The project is not fully or well supported.

(HU) Highly Unsatisfactory: Implementation is seriously under performing and major restructuring is required. Cumulative financial delivery, timing of key implementation milestones (e.g. start of activities), and management of critical risks are severely off track with severe issues and/or concerns. The project is not effectively or efficiently supported.