

# T66 Environmental and Social Impact Assessment (ESIA) Template

**Description** The Environmental and Social Impact Assessment (ESIA) is a comprehensive document that assesses and evaluates the potential environmental and social impacts of a proposed project, which involves physical intervention and transformation, and consequently, can trigger high levels of environmental and social risks.

**Participants** This activity is carried out by the technical team, with strong support from environmental engineers, the planning and environmental ministries.

## Instructions

### 1. EXECUTIVE SUMMARY

*Note 1: This is a non-technical executive summary, which includes the main content of the ESIA in a language that is easy to understand by different audiences, especially community stakeholders.*

*The executive summary should include:*

- 1. The main characteristics of the project/programme, for construction and operation phases.*
- 2. Setting the Area of Influence of the project/programme and the results of the environmental and social baseline studies.*
- 3. The findings of the impact assessment, and proposed mitigation measures.*
- 4. Description of the process of stakeholder engagement.*

### 2. POLICY, LEGAL AND INSTITUTIONAL FRAMEWORK

#### 2.1 Institutional Environmental and Social Policies

*Note 2: This section should be focused on the environmental and socio-environmental regulation.*

*Note 3: Make a reference in case Un-Habitat's, Financial Institutions' or donors' standards are applicable to the project.*

Institution/ Organization	Name/Number of the Standards	Applicability to the Project/Programme	How this is addressed in the ESIA

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## 2.2 Policy and Legal Framework

**Note 4:** Is there an Environmental Law or Act in the country? Is there a regulatory framework in which pollution or emission of pollutants are addressed? Complete this section analyzing existing applicable laws and rules, International Conventions, Treaties and Agreements, and national and international standards and guidelines

Complete the table with the following information:

1. The number, name and the year in which the regulatory body was enacted.
2. A brief description, with the topics or issues addressed in the regulatory body.
3. This is the key information in this section: how does it apply and how will the topics or issues included in the regulatory body be considered in the ESIA.

#	Proclamation/ Regulation	Brief Description	Applicability to this Project/Programme
1			
2			
3			

## 3. PROJECT/PROGRAMME DESCRIPTION AND ALTERNATIVE SELECTION

### 3.1 General Overview

**Note 5:** In this section a detailed description of the project/programme should be made. The final aim of this description is to identify the source of impacts: where, how and when they could occur.

**Note 6:** In this section, describe:

1. The schedule of the project/programme, by days/months, detail the duration of the different activities within the phases of construction and operation; and
2. The budget, with as much detail as possible.

### 3.2 Project/Programme Location

**Note 7:** In this section, present:

1. Location of the project/programme, including country, region, municipality and neighbourhood; and
2. Including overview maps of the project/programme and the project/programme area.

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## 3.3 Description of the Project's/Programme's Physical Components and Structures

*Note 8: In this section, present:*

1. Temporary structures of the project/programme (those that are part of the construction phase);
2. Permanent structures of the project/programme (those that are part of the operation phase); and
3. Including figures with the project's/programme's layout.

## 3.4 Description of the Project's/Programme's Activities

*Note 9: Activities for the construction and operation phases include, but are not limited to:*

- Storage of materials;
- Provision of basic supplies;
- Provision of basic utilities;
- Machinery or equipment to be used;
- Estimation of emissions;
- Waste generation; and
- Vehicular flow associated.

## 4. BASELINE STUDIES

### 4.1 Setting the Study Limits

1. Identifying and justifying the Area of Influence.
2. Including information about political/administrative division.

### 4.2 Methodology and Objectives

*Including a general overview and specificities for each dimension or aspects.*

## 5. IMPACT AND RISK ASSESSMENT

### 5.1 Impact and Risk Assessment Methodology

### 5.2 Impact and Risk Identification and Assessment

*Include for each project/programme phase relevant maps, aerial photos, satellite images in proper scale clearly indicating the location of sources of Adverse Impacts, the spatial and temporal distribution of such impacts and with reference to the Description of the Surrounding Environment, the components that are likely to be impacted and the nature of the impacts.*

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## 6. ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN

### 6.1 Content of Each Measure

**Note 10:** The ESMP is formed by measures that address all environmental and social impacts derived from the Impact and Risk Assessment. Aim of the ESMP is to define appropriate measures to mitigate the identified and assessed impacts. As part of the ESMP, a plan for monitoring the environmental and social performance of the project/programme, which ensures that measures are being implemented as planned.

**Note 11:** Complete the following table for each measure that is part of the ESMP.

Environmental or social aspect affected by the impact	(Aspects that were considered in the Baseline studies)
Associated impact	(Identified and assessed in the chapter below)
Project/programme phase	(Construction or operation)
Type of measure	(Minimization, mitigation or compensation)
Name of the measure	
Objective	
Description	
Location for the implementation	(Project/programme area, area of influence or other)
Method of implementation	
Timeframe	(Construction or operation; days/months/years)
Monitoring	Indicator:  Means of verification:  Frequency:

### 6.2 Monitoring

**Note 12:** The Management Plan should include the monitoring of the project/programme during the implementation phase. Monitoring is aimed at: observing that environmental and social risks and impacts of the project/programme, ensuring compliance with the regulatory framework and ensuring that the Management Plan is implemented and working according to plan.

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Type, scope and frequency of the monitoring plan should be planned accordingly to the magnitude of the project's/programme's risks and impacts.

The Monitoring plan should include, for every measure (measure defined to address the assessed impacts) a description of:

1. The monitoring indicators;
2. The frequency of the monitoring;
3. Monitoring roles and responsibilities; and
4. Means of verification.

## 7. PUBLIC CONSULTATION AND DISCLOSURE

### 7.1 Approach

**Note 13:** In this section include a description of the main topics and issues discussed during the stakeholder engagement process and how these were incorporated into the ESIA process.

**Note 14:** Describe the strategy used for engaging stakeholders, referring to the Stakeholder Engagement Plan defined in the Safeguard Scoping Report. This section should be a brief description of the actual implementation of the Plan:

1. How was implemented the during the Screening, Scoping and ESIA phase;
2. What kind of activities were implemented; and
3. Who participate in the activities?

### 7.2 Summary of Consultations and Activities Undertaken

**Note 15:** Complete the following table, describing each of the engagement activities implemented. There should be one table for each of the phases: screening, scoping and ESIA.

Additionally, attach as annexes of this section all Minutes of meetings, pictures, audios and other materials resulting from engagement activities with stakeholders (including authorities at the national and local level, communities, interested parties, etc.)

Date	Stakeholders	Location	Key topics discussed

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## 7.3 Results of Consultations

**Note 16:** Complete the following table, listing the Question/observation/Comment received, the engagement activity (in which activity, when) and detailing how the Question/observation/ Comment is addressed in the ESIA.

It is important to mention that this table is the core of this chapter, as it shows the actual participation of the stakeholders.

Question/observation/ Comment	Activity in which it was presented	How it was addressed on the ESIA

## 7.4 Further Activities

**Note 17:** Describe planned activities for engaging stakeholders when the Environmental License is granted, that is, during construction and operation.