

ASSURANCE FORM FOR SUBGRANTEES

Pursuant To Swarthmore College Financial Conflict Of Interest Policy For Scientific Research

Dear Administrator:

Federal regulations require that subgrantees working with Investigators who have received federal research funds must themselves be in compliance with federally mandated conflict of interest provisions. Therefore, to ensure such compliance, Swarthmore College seeks the following assurances from the subgrantee.

1. Names of Subgrantee PI and Subgrantee

Name of Subgrantee PI:

First Name: _____ Last Name: _____

Name of Subgrantee: _____

2. Subgrantee represents that:

A. Said institution has adopted a conflict of interest policy that: 1) is in compliance with all applicable federal conflict-of-interest regulations, including 42 C.F.R. § 50.604; and 2) requires any conflicts identified to be managed, reduced or eliminated. (Please attach a copy of subgrantee's conflict of interest policy.)

B. The Subgrantee PI has complied with the subgrantee's conflict of interest policy with regard to the research described below:

3. Conflict Resolution

Please describe any conflicts that exist with regard to subgrantee's work on the project described in paragraph 2B above, and the steps that were taken to manage, resolve or eliminate the conflict(s).

4. Administrator's Contact Information

Name of Administrator in charge of Subgrantee's Conflict of Interest Program:

First Name: _____ Last Name: _____

Title of Administrator in charge of Subgrantee's Conflict of Interest Program:

Administrator's Mailing Address: _____

Administrator's E-Mail Address: _____

Administrator's Telephone Number: _____

Acknowledgement

By signing below I confirm that I have reviewed and understand Swarthmore College's Financial Conflict of Interest Policy. The above information is complete and accurate to the best of my knowledge.

Signature: _____ Date: _____

If subgrantee cannot make the representations required in section 2, subgrantee must make arrangements to be bound by Swarthmore College's own conflict of interest policy.