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Total No. of Printed Pages: 1

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B. Com. (Hons.) (Semester – 4th)
MANAGEMENT OF FINANCIAL SERVICES
Subject Code: BCOM1418
Paper ID: 140323

Time: 03 Hours

Maximum Marks: 60

Instruction for candidates:

1. Section A is compulsory. It consists of 10 parts of two marks each.
2. Section B consist of 5 questions of 5 marks each. The student has to attempt any 4 questions out of it.
3. Section C consist of 3 questions of 10 marks each. The student has to attempt any 2 questions.

Section – A

(2 marks each)

Q1. Attempt the following:

- a) What are financial markets?
- b) What is the securities market?
- c) What is the dematerialization of securities?
- d) Explain the concept of credit rating.
- e) What is a wet lease?
- f) What is factoring?
- g) What is credit insurance factoring?
- h) What do you mean by brokerage?
- i) What is the advantage of a depository system?
- j) What is securitization?

Section – B

(5 marks each)

- Q2. Discuss the components of a formal financial system.
- Q3. What is book building? Discuss the book-building process.
- Q4. Explain the credit rating process and methodologies adopted by credit rating agencies.
- Q5. Explain the advantages and the services of the depository system.
- Q6. Explain the evolution of leasing in India. State the feature of leasing.

Section – C

(10 marks each)

- Q7. State the major factors inhibiting the growth of factoring in India. What are your suggestions for improvement?
- Q8. State the need of setting up venture capital funds in India.
- Q9. What are the codes of conduct a merchant banker is required to follow? Discuss.