



Cyngor Bwrdeistref Sirol  
**MERTHYR TUDFUL**  
**MERTHYR TYDFIL**  
County Borough Council



## **Circular No 011/2012**

<b>Date Approved by Full Governing Body</b>	
<b>Signed on behalf of Full Governing Body</b>	
<b>Name (Chair of Governors)</b>	
<b>Date</b>	
<b>Date sent to LA</b>	
<b>Date of review</b>	

## **Model complaints procedure**

### **1. Introduction**

- 1.1 Abercanaid Community School is committed to dealing effectively with complaints. We aim to clarify any issues about which you are not sure. If possible we will put right any mistakes we have made and we will apologise. We aim to learn from mistakes and use that experience to improve what we do.
- 1.2 Our definition of a complaint is 'an expression of dissatisfaction in relation to the school or a member of its staff that requires a response from the school.'
- 1.3 This complaints procedure supports our commitment and is a way of ensuring that anyone with an interest in the school can raise a concern, with confidence that it will be heard and, if well-founded, addressed in an appropriate and timely fashion.
- 1.4 The Governing Body takes all complaints seriously and will ensure that they are managed properly and investigated objectively. The first priority is to ensure that pupils are safeguarded and not protecting the reputation of the school its staff or the Governing Body.

### **2. When to use this procedure**

- 2.1 When you have a concern or make a complaint we will usually respond in the way we explain below. Sometimes you might be concerned about matters that are not decided by the school, in which case we will tell you who to complain to. At other times you may be concerned about matters that are handled by other procedures, in which case we will explain to you how your concern will be dealt with.
- 2.2 If your concern or complaint is about another body as well as the school (for example the Local Authority) we will work with them to decide how to handle your concern.

### **3. Have you asked us yet?**

- 3.1 If you are approaching us for the first time you should give us a chance to respond. If you are not happy with our response then you may make your complaint using the procedure we describe below. Most concerns can be settled quickly just by speaking to the relevant person in school, without the need to use a formal procedure.

### **4. What we expect from you**

- 4.1 We believe that all complainants have a right to be heard, understood and respected. But school staff and governors have the same right. We expect you to be polite and courteous. We will not tolerate aggressive, abusive or unreasonable behaviour. We will also not tolerate unreasonable demands or unreasonable persistence or vexatious complaining. We understand that raising a complaint can be a stressful time and we are sympathetic to that. However, whilst we believe that all complaints

have a right to be heard, understood and respected, we also believe that school staff and governors have the same right.

- 4.2 We expect a complainant to be polite and courteous and will not tolerate aggressive, abusive or unreasonable behaviour. We will also not tolerate unreasonable demands, unreasonable persistence or vexatious complaining.
- 4.3 The Governing Body has agreed a Vexatious Complaints Policy to address these issues.

## **5. Our approach to answering your concern or complaint**

- 5.1 We will consider all your concerns and complaints in an open and fair way.
- 5.2 At all times the school will respect the rights and feelings of those involved and make every effort to protect confidential information.
- 5.3 Timescales for dealing with your concerns or complaints may need to be extended following discussion with you.
- 5.4 We may ask for advice from the Local Authority or Diocesan Authority where appropriate.
- 5.5 Some types of concern or complaint may raise issues that have to be dealt with in another way (other than this complaints policy); in which case we will explain why this is so, and will tell you what steps will be taken.
- 5.6 The Governing Body will keep the records of documents used to investigate your concern or complaint for seven years after it has been dealt with. Records will be kept in school and reviewed by the Governing Body after seven years to decide if they need to be kept for longer.
- 5.7 Complaints that are made anonymously will be recorded but investigation will be at the discretion of the school depending on the nature of the complaint.
- 5.8 Where complaints are considered to have been made only to cause harm or offence to individuals or the school, the Governing Body will ensure that records are kept of the investigations that are made and what actions are taken, including the reasons for 'no action'.

## **6. Answering your concern or complaint**

- 6.1 The chart in Appendix A shows what may happen when you make a complaint or raise a concern. There are up to three Stages: A, B and C. Most complaints can be resolved at Stages A or B. You can bring a relative or companion to support you at any time during the process but you will be expected to speak for yourself. However,

we recognise that when the complainant is a pupil it is reasonable for the companion to speak on their behalf and/or to advise the pupil.

- 6.2 As far as possible, your concern or complaint will be dealt with on a confidential basis. However, there could be occasions when the person dealing with your concern or complaint will need to consider whether anyone else within the school needs to know about your concern or complaint, so as to address it appropriately.
- 6.3 If you are a pupil under 16 and wish to raise a concern or bring a complaint we will ask for your permission before we involve your parent(s) or carer(s). If you are a pupil under 16 and are involved in a complaint in any other way, we may ask your parent(s) or carer(s) to become involved and attend any discussion or interview with you.

### Stage A

- 6.4 If you have a concern, you can often resolve it quickly by talking to a teacher or Mrs Edwards, Headteacher. You should raise your concern as soon as you can; normally we would expect you to raise your issue within 10 school days of any incident. The longer you leave it the harder it might be for those involved to deal with it effectively.
- 6.5 If you are a pupil, you can raise your concerns with your school council representative, form tutor or a teacher chosen to deal with pupil concerns (as appropriate for the school). This will not stop you, at a later date, from raising a complaint if you feel that the issue(s) you have raised have not been dealt with properly.
- 6.6 We will try to let you know what we have done or are doing about your concern normally within 10 school days, but if this is not possible, we will talk to you and agree a revised timescale with you.
- 6.7 The person overseeing your concern or complaint will keep you informed of the progress being made. This person will also keep a log of the concern for future reference.

### Stage B

- 6.8 In most cases, we would expect that your concern is resolved informally. If you feel that your initial concern has not been dealt with appropriately you should put your complaint in writing to the headteacher.
- 6.9 We would expect you to aim to do **this within five school days of receiving a response to your concern as it is in everyone's interest to resolve a complaint as soon as possible**. There is also a form attached (**Appendix B**) that you may find useful. If you are a pupil we will explain the form to you, help you complete it and give you a copy.

- 6.10 If your complaint is about the headteacher, you should put your complaint in writing to the chair of governors, addressed to the school, to ask for your complaint to be investigated.
- 6.11 In all cases, Laura Hopkins– Clerk to GB can help you to put your complaint in writing if necessary.
- 6.12 If you are involved in any way with a complaint, Councillor Anna Williams -Price will explain what will happen and the sort of help that is available to you.
- 6.13 Councillor Anna Williams -Price will invite you to discuss your complaint at a meeting. Timescales for dealing with your complaint will be agreed with you. We will aim to have a meeting with you and to explain what will happen, normally within 10 school days of receiving your letter. The school's designated person will complete the investigation and will let you know the outcome in writing within 10 school days of completion.

### **Stage C**

- 6.14 It is rare that a complaint will progress any further. However, if you still feel that your complaint has not been dealt with fairly, you should write, through the school's address, to the chair of governors setting out your reasons for asking the Governing Body's complaints committee to consider your complaint. You do not have to write down details of your whole complaint again.
- 6.15 If you prefer, instead of sending a letter or e-mail, you can talk to the chair of governors or Councillor Anna Williams -Price who will write down what is discussed and what, in your own words, would resolve the problem. We would normally expect you to do this within five school days of receiving the school's response. You will be asked to read the notes or will have the notes read back to you and then be asked to sign them as a true record of what was said. We will let you know how the complaint will be dealt with and will send a letter to confirm this. The complaints committee will normally have a meeting with you within 15 school days of receiving your letter.
- 6.16 The letter will also tell you when all the evidence and documentation to be considered by the complaints committee must be received. Everyone involved will see the evidence and documentation before the meeting, while ensuring that people's rights to privacy of information are protected. The letter will also record what we have agreed with you about when and where the meeting will take place and what will happen. The timescale may need to be changed, to allow for the availability of people, the gathering of evidence or seeking advice. In this case, the person dealing with the complaint will agree a new meeting date with you.
- 6.17 Normally, in order to deal with the complaint as quickly as possible, the complaints committee will not reschedule the meeting more than once. If you ask to reschedule

the meeting more than once, the committee may think it reasonable to make a decision on the complaint in your absence to avoid unnecessary delays.

- 6.18 We will write to you within 10 school days of the meeting explaining the outcome of the Governing Body's complaints committee's consideration.
- 6.19 We will keep records of all conversations and discussions for the purpose of future reference and review by the full Governing Body. These records will be kept for a minimum of seven years.
- 6.20 The Governing Body's complaints committee is the final arbiter of complaints. The complainant may ask the Local Authority to review the processes followed by the Governing Body. The Local Authority does not have powers to overturn a decision of the Governing Body. See section 9

## **7. Special circumstances**

- 7.1 Where a complaint is made about any of the following the complaints procedure will be applied differently.
  - i. **A governor or group of governors**  
The concern or complaint will be referred to the chair of governors for investigation. The chair may alternatively delegate the matter to another governor for investigation. Stage B onwards of the complaints procedure will apply.
  - ii. **The chair of governors or headteacher and chair of governors**  
The vice chair of governors will be informed and will investigate it or may delegate it to another governor. Stage B onwards of the complaints procedure will apply.
  - iii. **Both the chair of governors and vice chair of governors**  
The complaint will be referred to the clerk to the Governing Body who will inform the chair of the complaints committee. Stage C of the complaints procedure will then apply.
  - iv. **The whole Governing Body**  
The complaint will be referred to the clerk to the Governing Body who will inform the headteacher, chair of governors, Local Authority and, where appropriate, the diocesan authority. The Local Authority or the Diocesan Authority will usually agree arrangements with the Governing Body for an independent investigation of the complaint.

v. **The headteacher**

The concern or complaint will be referred to the chair of governors who will undertake the investigation or may delegate it to another governor. Stage B onwards of the complaints procedure will apply.

- 7.2 In all cases the school and Governing Body will ensure that complaints are dealt with in an unbiased, open and fair way.

**8. Our commitment to you**

- 8.1 We will take your concerns and complaints seriously and, where we have made mistakes, will try to learn from them.
- 8.2 If you need help to make your concerns known we will try and assist you. If you are a young person and need extra assistance the Welsh Government has established MEIC which is a national advocacy and advice helpline for children and young people. Advice and support can also be accessed from the Children's Commissioner for Wales.
- 8.3 The Governing Body has consulted with staff and pupils on this policy and will consult further if any amendments are made in the future.

**9. The Role of the Local Authority**

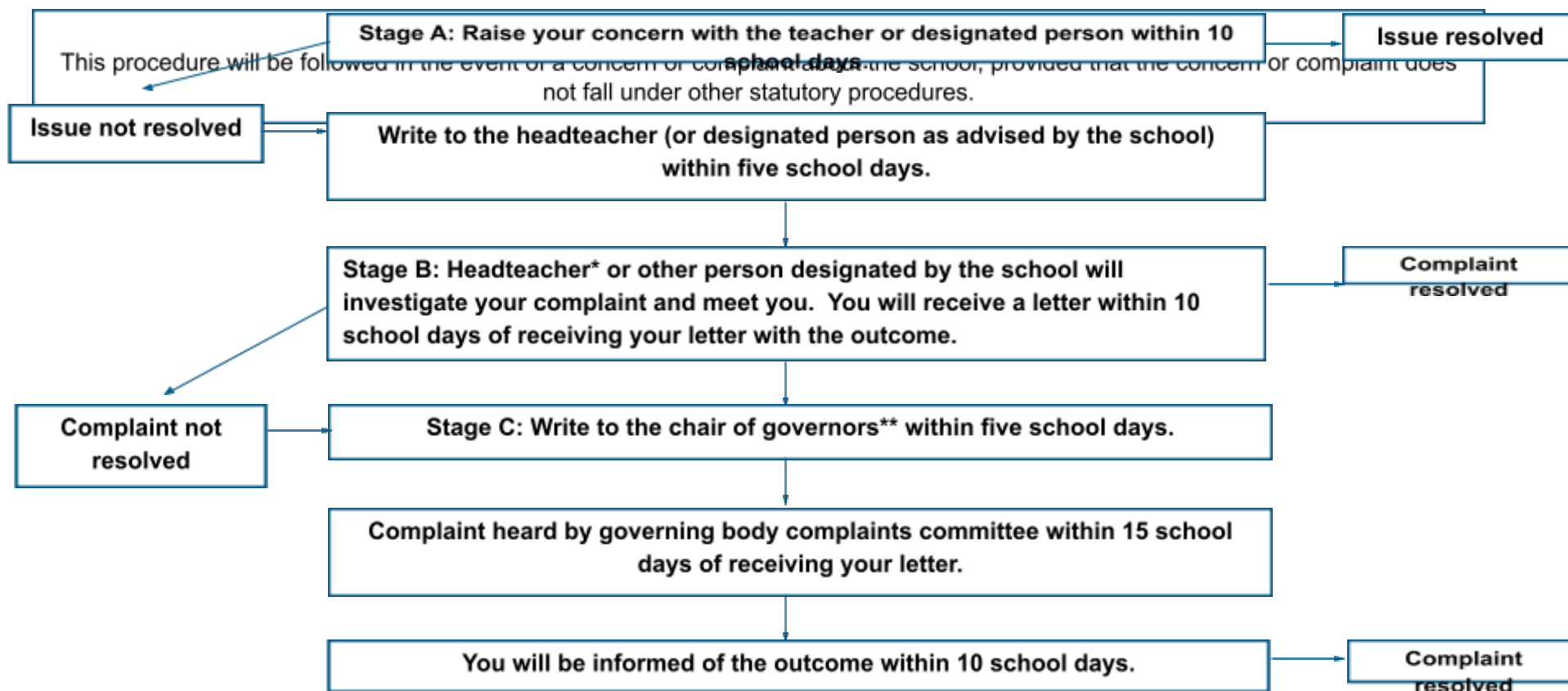
- 9.1 The Local Authority should satisfy itself that all the schools it maintains have adequate complaints procedures that are publicised. School complaints procedures should be robust and the person(s) handling the complaint act objectively. Should there be evidence that a complaint has not been considered properly following the 3 stage complaints process, the Local Authority may be asked by the complainant to review the process. If, following investigation, the Local Authority was to uphold the complaint against the procedures followed by a Governing Body, it could consider using its powers of intervention in order to safeguard against bad practice.
- 9.2 The Local Authority may provide whatever advice it chooses to the Governing Body. It may also provide additional guidance documents in addition to Complaints Procedures for School Governing Bodies in Wales Guidance Document No: 011/2012.
- 9.3 The Governing Body may seek advice from the Local Authority about its complaints procedure, how to handle a complaint or assistance to investigate a complaint. The statutory responsibility for dealing with complaints remains with the Governing Body.
- 9.4 The Local Authority should consider any evidence that suggests that a Governing Body does not have a complaints procedure, has an inadequate procedure, has not followed its procedure or has a procedure that is inoperable because persons who are subjects of the complaint investigate it or make decisions about it.
- 9.5 If a school's complaints procedure is inoperable, if there are grounds to cast doubt on its independence, or in other reasonable circumstances, a Local Authority may

arrange, with the agreement of the Governing Body, for a panel of independent persons to consider a complaint.

- 9.6 A Local Authority may use its powers of intervention under the School Standards and Framework Act 1998 if the governance or management of a school is inadequate.



## Appendix A: Summary of dealing with concerns or complaints



\* If the complaint is about the headteacher you should write to the chair of governors.

\*\* If the complaint is about the chair of governors you should write to the vice chair.

All timescales shown are targets and are flexible; however it is in everyone's best interest to resolve a complaint as soon as possible. The school will work with you to ensure that the time allowed to deal with your concern or complaint is reasonable and helps to achieve an answer to the problem.

## **Appendix B:**

### **Abercanaid Community School Complaint Form**

The person who experienced the problem should normally fill in this form. If you are making a complaint on behalf of someone else please fill in Section B also. Please note that before taking forward the complaint we will need to be satisfied that you have the authority to act on behalf of the person concerned. If you are a pupil the school will help you complete this form, will explain it to you and will give you a copy of it when it is completed.

#### **A. Your details**

Surname	
Forename(s)	
Title: Mr/Mrs/Ms/other	
Address and Postcode	
Daytime Phone Number	
Mobile Phone Number	
E-mail Address	

How would you prefer us to contact you? \_\_\_\_\_

#### **B. If you are making a complaint on behalf of someone else, what are their details?**

Surname	
Forename(s)	
Title: Mr/Mrs/Ms/other	
Address and Postcode	
Daytime Phone Number	
Mobile Phone Number	
E-mail Address	

**C. About your complaint (continue your answers on separate sheets of paper if necessary)**

**Name of the school you are complaining about.**

**What do you think they did wrong or did not do?**

**Describe how you have been affected.**

**When did you first become aware of the problem?**

**If it is more than three months since you first became aware of the problem, please give the reason why you have not complained before.**

**What do you think should be done to put matters right?**

**Have you already put your complaint to a member of staff? If so, please give brief details about how and when you did so.**

Signature of complainant:	
Date:	

Signature if you are making a complaint on behalf of someone else	
Signature:	
Date:	

Please send this form and any documents to support your complaint to:  
Councillor Anna Williams-Price  
Anna.Williams-Price@merthyr.gov.uk