

| FINANCIAL INTELLIGENCE UNIT                                                                                       |                                                                                                                               |                                                  |                                                     |                                                     |
|-------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------|
| SUSPICIOUS ACTIVITY REPORT                                                                                        |                                                                                                                               |                                                  |                                                     |                                                     |
| GUIDANCE NOTES FOR COMPLETION                                                                                     |                                                                                                                               |                                                  |                                                     | FOR OFFICIAL USE ONLY                               |
| 1.                                                                                                                | Complete a separate form in respect of each suspected person, company or trade.                                               |                                                  |                                                     | SAR No.: Click or tap here to enter text.           |
| 2.                                                                                                                | Submit with all relevant material (attached where possible).                                                                  |                                                  |                                                     |                                                     |
| 3.                                                                                                                | Email this form when complete to the Financial Intelligence Unit for Anguilla at <a href="mailto:fiu@gov.ai">fiu@gov.ai</a> . |                                                  |                                                     |                                                     |
| <b>COMPLETE ALL SECTIONS OF THIS REPORT</b>                                                                       |                                                                                                                               |                                                  |                                                     |                                                     |
| 1.                                                                                                                | CHECK APPROPRIATE BOX:                                                                                                        |                                                  |                                                     |                                                     |
| <input type="checkbox"/> INITIAL REPORT                                                                           |                                                                                                                               | <input type="checkbox"/> CORRECTED REPORT        |                                                     | <input type="checkbox"/> SUPPLEMENTAL REPORT        |
| <b>SECTION 1: DETAILS OF REPORTING INSTITUTIONS</b>                                                               |                                                                                                                               |                                                  |                                                     |                                                     |
| 2.                                                                                                                | ENTITY NAME                                                                                                                   | Click or tap here to enter text.                 |                                                     |                                                     |
| 3.                                                                                                                | ENTITY ADDRESS                                                                                                                | Click or tap here to enter text.                 |                                                     |                                                     |
| 4.                                                                                                                | TELEPHONE NUMBER                                                                                                              | Click or tap here to enter text.                 |                                                     |                                                     |
| 5.                                                                                                                | E-MAIL CONTACT                                                                                                                | Click or tap here to enter text.                 |                                                     |                                                     |
| <b>SECTION 2: SUBJECT OF THE ACTIVITY (PROVIDE AS MUCH DETAIL AS POSSIBLE)</b>                                    |                                                                                                                               |                                                  |                                                     |                                                     |
| 6.                                                                                                                | LAST NAME OR COMPANY NAME                                                                                                     | Click or tap here to enter text.                 |                                                     |                                                     |
| 7.                                                                                                                | FIRST NAME                                                                                                                    | Click or tap here to enter text.                 |                                                     |                                                     |
| 8.                                                                                                                | MIDDLE NAME AND OR INITIAL                                                                                                    | Click or tap here to enter text.                 |                                                     |                                                     |
| 9.                                                                                                                | ADDRESS                                                                                                                       | Click or tap here to enter text.                 |                                                     |                                                     |
| 10.                                                                                                               | DATE OF BIRTH (dd/mm/yyyy)                                                                                                    | Click or tap to enter a date.                    |                                                     |                                                     |
| 11.                                                                                                               | PHONE NUMBER – RESIDENCE AND OR CELLULAR                                                                                      | Click or tap here to enter text.                 |                                                     |                                                     |
| 12.                                                                                                               | PHONE NUMBER (work)                                                                                                           | Click or tap here to enter text.                 |                                                     |                                                     |
| 13.                                                                                                               | STATED OCCUPATION OR TRADE                                                                                                    | Click or tap here to enter text.                 |                                                     |                                                     |
| 14.                                                                                                               | FORMS OF IDENTIFICATION USED                                                                                                  |                                                  |                                                     |                                                     |
| (a)                                                                                                               | <input type="checkbox"/> Driver's License                                                                                     | Driver's License Number                          | Click or tap here to enter text.                    |                                                     |
| (b)                                                                                                               | <input type="checkbox"/> Passport                                                                                             | Passport Number                                  | Click or tap here to enter text.                    | Issuing Country<br>Click or tap here to enter text. |
| (c)                                                                                                               | <input type="checkbox"/> I.D. Card                                                                                            | I.D Card Number                                  | Click or tap here to enter text.                    |                                                     |
| (d)                                                                                                               | Other – Give Details                                                                                                          | Click or tap here to enter text.                 |                                                     |                                                     |
| 15.                                                                                                               | RELATIONSHIP TO INSTITUTION                                                                                                   | <input type="checkbox"/> Customer                | <input type="checkbox"/> Non Customer               | <input type="checkbox"/> Employee                   |
|                                                                                                                   | If Customer                                                                                                                   | <input type="checkbox"/> Borrower                | <input type="checkbox"/> Non-Borrower               | <input type="checkbox"/> Beneficial Owner           |
|                                                                                                                   |                                                                                                                               | <input type="checkbox"/> Managed/Nominee Account | <input type="checkbox"/> Directors/Shareholders     |                                                     |
| <b>SECTION 3: SUSPICIOUS ACTIVITY INFORMATION</b>                                                                 |                                                                                                                               |                                                  |                                                     |                                                     |
| 16.                                                                                                               | DATE OF UNUSUAL/SUSPICIOUS ACTIVITY (dd/mm/yyyy)                                                                              |                                                  | Click or tap to enter a date.                       |                                                     |
| 17.                                                                                                               | APPROXIMATE AMOUNT AND TYPE OF CURRENCY                                                                                       |                                                  | Click or tap here to enter text.                    |                                                     |
| 18.                                                                                                               | ACCOUNT NUMBERS (S) INVOLVED, AND DATE OPENED                                                                                 |                                                  | Click or tap here to enter text.                    |                                                     |
| 19.                                                                                                               | HAVE ANY ACCOUNTS RELATED TO THIS MATTER BEEN CLOSED?                                                                         |                                                  | PLEASE SELECT ONE: Choose an item.                  |                                                     |
|                                                                                                                   |                                                                                                                               |                                                  | IF "YES" DATE CLOSED: Click or tap to enter a date. |                                                     |
| <b>SECTION 4: REPORT CLASSIFICATION</b><br>(This section allows for the classification of the report being filed) |                                                                                                                               |                                                  |                                                     |                                                     |
| 21.                                                                                                               | PLEASE SELECT THE SUSPECTED ACTIVITY IDENTIFIED (reason(s) for suspicion)                                                     |                                                  |                                                     |                                                     |

|                                                                                            |                                            |                                                                     |                                              |
|--------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------|----------------------------------------------|
| <input type="checkbox"/> Suspicious Wire Transfer/Remittance Incoming or Outgoing Transfer |                                            |                                                                     |                                              |
| <input type="checkbox"/> Suspicious Transaction/Activity                                   |                                            | <input type="checkbox"/> Money Laundering                           | <input type="checkbox"/> Terrorist Financing |
| <input type="checkbox"/> Proliferation Financing                                           |                                            | <input type="checkbox"/> Tax Crimes                                 | <input type="checkbox"/> Fraud               |
| <input type="checkbox"/> Gambling                                                          | <input type="checkbox"/> Human Trafficking | <input type="checkbox"/> Trafficking of Arms                        | <input type="checkbox"/> Drug Trafficking    |
| <input type="checkbox"/> Failure to provide due diligence                                  |                                            | <input type="checkbox"/> Cybercrime (Cryptocurrency/virtual assets) |                                              |
| <input type="checkbox"/> Avoidance of reporting                                            |                                            | <input type="checkbox"/> Inconsistent update of due diligence       |                                              |
| Other offence(s)                                                                           | Click or tap here to enter text.           |                                                                     |                                              |

#### SECTION 5: SUSPICIOUS ACTIVITY INFORMATION EXPLANATION/DESCRIPTION

THIS NEXT SECTION OF THE REPORT IS CRITICAL. PROVIDE A CHRONOLOGICAL AND COMPLETE ACCOUNT OF THE ACTIVITY. DETAILING WHAT IS UNUSUAL, IRREGULAR OR SUSPICIOUS, USING THE FOLLOWING CHECKLIST AS YOU PREPARE YOUR ACCOUNT.

(A) PROVIDE FULL DETAILS OF ANY 3RD PARTY INDIVIDUAL/COMPANY ON WHOSE BEHALF THE ACTIVITY IS BEING CONDUCTED (B) EXPLAIN WHO BENEFITED FINANCIALLY OR OTHERWISE, FROM THE TRANSACTION, HOW MUCH, AND HOW. (C) RETAIN ANY ADMISSION, OR EXPLANATION OF THE ACTIVITY PROVIDED BY THE INDIVIDUAL OR ANY OTHER PERSON AND INDICATE TO WHOM AND WHEN IT WAS GIVEN. (D) RETAIN ANY EVIDENCE OF COVER OR EVIDENCE OF AN ATTEMPT TO DECEIVE INSTITUTION. (E) INDICATE WHERE THE POSSIBLE VIOLATION TOOK PLACE (E.G., MAIN OFFICE, BRANCH, OTHER). (F) INDICATE WHETHER THE POSSIBLE VIOLATION IS AN ISOLATED INCIDENT OR RELATES TO OTHER ACTIVITIES (G) INDICATE WHETHER THERE IS ANY RELATED LITIGATION; IF SO SPECIFY. (H) RECOMMEND ANY FURTHER INVESTIGATION THAT MIGHT ASSIST AUTHORITIES. (I) INDICATE WHETHER ANY INFORMATION HAS BEEN EXCLUDED FROM THIS REPOST IF SO, WHY? (J) INDICATE WHETHER CURRENCY (CASH) AND/OR MONETARY INSTRUMENTS WERE INVOLVED. IF SO, PROVIDE THE AMOUNT AND/OR DESCRIPTION. (K) INDICATE ANY RELATED ACCOUNT NUMBER THAT MAY BE INVOLVED OR AFFECTED

Click or tap here to enter text.

| SECTION 6: THIS REPORT WAS PREPARED BY |                 |                                  |
|----------------------------------------|-----------------|----------------------------------|
| 22.                                    | LAST NAME       | Click or tap here to enter text. |
| 23.                                    | FIRST NAME      | Click or tap here to enter text. |
| 24.                                    | POSITION        | Click or tap here to enter text. |
| 25.                                    | PHONE NUMBER    | Click or tap here to enter text. |
| 26.                                    | EMAIL ADDRESS   | Click or tap here to enter text. |
| 27.                                    | ADDRESS         | Click or tap here to enter text. |
| 28.                                    | DATE (dd/mm/yy) | Click or tap to enter a date.    |
| 29.                                    | YOUR REFERENCE  | Click or tap here to enter text. |

| SECTION 7: CONTACT FOR ASSISTANCE (IF DIFFERENT FROM SECTION 6) |                               |                                  |
|-----------------------------------------------------------------|-------------------------------|----------------------------------|
| 30.                                                             | LAST NAME                     | Click or tap here to enter text. |
| 31.                                                             | FIRST NAME                    | Click or tap here to enter text. |
| 32.                                                             | POSITION                      | Click or tap here to enter text. |
| 33.                                                             | PHONE NUMBER                  | Click or tap here to enter text. |
| 34.                                                             | EMAIL ADDRESS                 | Click or tap here to enter text. |
| 35.                                                             | ADDRESS IF DIFFERENT TO SEC 6 | Click or tap here to enter text. |
| SECTION 8: FOR OFFICIAL USE ONLY                                |                               |                                  |
| 36.                                                             | RECEIVED BY                   | Click or tap here to enter text. |
| 37.                                                             | POSITION                      | Click or tap here to enter text. |
| 38.                                                             | DATE RECEIVED                 | Click or tap to enter a date.    |
| 39.                                                             | ENTERED IN DATABASE           | Choose an item.                  |
| 40.                                                             | DATE ENTERED                  | Click or tap to enter a date.    |
| 41.                                                             | ACKNOWLEDGEMENT SENT          | Choose an item.                  |
| 42.                                                             | INDEX NUMBER                  | Click or tap here to enter text. |