# CS61 Section Notes - Process Management and Sockets

We'll be using the section repo today. If you don't have it handy run "git clone <a href="mailto:git@code.seas.harvard.edu">git@code.seas.harvard.edu</a>:cs61/cs61-section.git" or otherwise just git pull to get the newest code (it'll be in the s09/ directory). Type "make" and then to run some file foo.c referenced in the notes, just do "./foo".

# 0. kill()

int kill(pid t pid, int sig)

The system call kill() takes two arguments, the process ID you want to send a signal to, and the second is the signal you want to send. If successful, kill() returns 0, otherwise the return value is negative.

# 1. Building on process management

Let's look at how we can use process management system calls to build other interesting system functions.

First, we'll investigate **mutual exclusion locks**. In the last unit of the class, we'll learn how to write **multithreaded** programs. A multithreaded program allows a single process to contain two or more logical processors, which we call **threads** or "threads of control". Threads aren't isolated: all threads in a process share the same memory space. However, each thread has a separate stack. Threads are cool because (for example) on a multicore machine, many processors can work simultaneously on the same data.

A **mutual exclusion lock** has two operations, acquire and release. Acquire and release are paired, kind of like open and close. Acquire() succeeds immediately, *unless* another thread has called acquire() but not release(). That is, at most one thread can have the lock acquired at a time. If another thread calls acquire(), it will block until the lock is release()d.

How can we implement mutual exclusion locks? You might think this would work:

```
typedef struct {
    int islocked;
} mutexlock;

void mutexlock_init(mutexlock* m) {
    m->islocked = 0;
}
```

```
void acquire(mutexlock* m) {
   while (m->islocked)
    /* do nothing */;
   m->islocked = 1;
}

void release(mutexlock* m) {
   m->islocked = 0;
}
```

But that doesn't work—at all! In acquire(), the check of m->islocked and the assignment m->islocked = 1 *do not happen atomically*. If two threads were waiting for the lock, they might *simultaneously* see that m->islocked == 0, and then *simultaneously* set m->islocked = 1. Then two threads would think they had acquired the lock, which is illegal.

There are good ways to solve this problem; we'll see them soon. But we already know one way: the kernel implements most system calls atomically! In particular, it implements fork(), waitpid(), read(), write(), and kill() atomically.

Can you **implement a mutual exclusion lock based on helper processes?** You may assume that no external code will call waitpid() on your helper process(es), and that process IDs are not reused.

```
Start with this:

typedef struct {
    volatile pid_t p;
    // maybe more stuff here
} mutexlock;

void mutexlock_init(mutexlock* m) {
    // your code here
}

void acquire(mutexlock* m) {
    // your code here
}

void release(mutexlock* m) {
    // your code here
}
```

## 2. IPC across machines: RPC!

Now that you are all pipe experts, IPC is a breeze and life is good. But what do you do if your processes are on separate machines? **Sockets** to the rescue. <u>How are sockets different from pipes?</u>

Let's start by making sockets in the shell. First, let's take a look at a super useful tool **netcat** (note that netcat comes in a few flavors. on some systems it's *nc* on others it's *ncat* the versions are slightly different but just as powerful as far as I can tell).

Netcat is self described as the "TCP/IP swiss army knife". It will allow you to make arbitrary socket connections from the shell. For example we can make an instant chat system. Server:

#### Demo

Great, so how could we build this tool. Obviously we are going to need the program to build sockets. For this, we need to learn a few more system calls. First, we'll look at an example. Check out s09/readclient.c. This code connects to a socket on a named host and port, reads from that socket, and writes what comes back to the standard output. Try it like this:

make

./readclient cs61.seas.harvard.edu 6162

What's different between readclient and netcat?

Cool, let's fix that. Check out bidiclient.c. For example:

./bidiclient cs61.seas.harvard.edu 6163 (type some stuff at standard input)

And for comparison:

nc cs61.seas.harvard.edu 6163 (type some stuff at standard input)

For your information, the program running on cs61's port 6163 is cs61echo.c.

What's different between bidiclient and netcat? What different output do you see? Why in the code is this happening?

What we need is logically to run two procedures in parallel: one that reads from standard input and writes to the socket, and one that reads from the socket and writes to standard output. How can we do this?

There are several ways to handle logically parallel connections. One, called **event-driven programming**, involves system calls that can handle many file descriptors at once. The key event-driven system call is select(), which waits until at least one of its input file descriptors has data ready to read (or space ready to write into). The second, called **multi-threading**, involves splitting the process into Itiple logical threads of control, which run in parallel. These strategies are implemented by bidiclient2.c and bidiclient3.c, respectively.

# <u>Important System Calls and System Structures:</u>

#### Overview:

An Internet Protocol Address or IP address is a user's numerical identifier on the internet that is typically a 32 bit number. A port is a logical connection portal on your computer, represented by a number from 0 to 65535. When your computer connects to a host server computer, the connection is made between an assigned outgoing port on the client's computer and a specific incoming port on the host computer. For example, when you access <a href="http://www.google.com">http://www.google.com</a>, you are actually accessing <a href="http://www.google.com">http://www.google.com</a>, as this is the default port for web servers. Ports are numbered for consistency and programming with multiple different purposes. A port is associated with an IP address of the host and this is important as it allows applications or processes running on a single computer to be uniquely identifiable such that they can share a single physical connection.

```
Struct: addrinfo
```

The *addrinfo* structure contains the following fields:

```
struct addrinfo {
        int
                                ai flags;
        int
                                ai family;
        int
                                ai socktype;
        int
                                ai protocol;
        socklen t
                                ai addrlen;
        struct sockaddr*
                                ai addr;
        char*
                                ai canonname;
        struct addrinfo*
                                ai next;
};
```

This ai\_flag field specifies additional options (i.e. AI\_PASSIVE, etc.) and multiple flags are OR-ed together. The ai\_family field specifies the desired address family for the returned addresses (i.e. AF\_INET, etc) and the ai\_socktype field specifies the preferred socket type such as SOCK\_STREAM or SOCK\_DGRAM. The ai\_protocol field specifies the protocol for the returned socket addresses and the ai\_addrlen contains the length of the socket address. The ai\_addr field contains the socket address. The ai\_addr field points to the official name of the host. The ai\_next field points to the linked list of addrinfo structures, one for each network address that matches node and service.

Calls:

#### getaddrinfo()

int getaddrinfo(const char\* node, const char\* service, const struct addrinfo\* hints, struct addrinfo\*\* res) This function returns one or more *addrinfo* structures and the first two arguments are the above mentioned node and service, which identify an internet host and a service. The hints argument points to an addrinfo structure that contains criteria for selecting the socket address structures. If hints is not NULL it points to a structure whose *ai\_family*, *ai\_socktype*, and *ai\_protocol* limit the set of socket addresses that can be returned by the function. The *res* argument points to the linked list that will be returned by the function.

#### socket()

int socket (int namespace, int style, int protocol)

This function creates a socket and specifies the communication style (per the *style* argument), which will be one of the defined socket styles. The return value from *socket* is the file descriptor for the new socket, or -1 in case of error. The potential *errno* error conditions are the following: EPROTONOSUPPORT: The *protocol* or *style* is not supported by the *namespace* specified.

EMFILE: The process already has too many file descriptors open.

ENFILE: The system already has too many file descriptors open.

EACCES: The process does not have the privilege to create a socket of the specified *style* or *protocol*.

ENOBUFS: The system ran out of internal buffer space.

The file descriptor returned by the *socket* function supports both read and write operations, but they do not support file positioning operations.

## connect()

int connect(int socket, struct sockaddr\* addr, socklen t length)

This function initiates a connection from the socket with file descriptor *socket* to the socket whose address is specified by the *addr* and *length* arguments. The function will wait until the server responds to the request before it returns. Upon success, *connect()* will return 0, and if an error occurs, it returns -1. The following *errno* conditions are defined for this function.

EBADF: The socket is not a valid descriptor.

ENOTSOCK: The file descriptor is not a socket.

EADDRNOTAVAIL: The specified address is not available on the remote machine.

EAFNOSUPPORT: The namespace of the *addr* is not supported by this socket.

EISCONN: The socket is already connected

ETIMEDOUT: The attempt to establish the connection timed out.

ECONNREFUSED: The server has refused to establish the connection:

ENETUNREACH: The network of the given *addr* isn't reachable from this host.

EINPROGRESS: The socket is non-blocking and the connection couldn't be established

immediately.

EALREADY: The socket is non-blocking and already has a pending connection in progress.

## listen()

int listen (int socket, int n)

The listen function enables the socket (*socket*) to accept connections, which makes it a server socket. The argument *n* specifies the length of the queue for pending connections. The function returns 0 on success and -1 on failure. The following *errno* conditions are defined for this function.

EBADF: The argument *socket* is not a valid file descriptor.

ENOTSOCK: The argument *socket* is not a socket.

EOPNOTSUPP: The socket *socket* does not support this operation.

#### accept()

int accept (int socket, struct sockaddr\* addr, socklen\_t\* length\_ptr)

This function is used to accept a connection request on the server socket *socket*. The function waits if there are no connections pending. The *addr* and *length\_ptr* arguments are used to return information about the name of the client socket that initiated the connection. Accepting a connection creates a new socket which becomes connected to the *socket* argument. The normal return value is the file descriptor for the new socket. If an error occurs, the function returns -1. The following *errno* conditions are defined for this function:

EBADF: The argument socket is not a valid file descriptor.

ENOTSOCK: The descriptor is not a socket.

EOPNOTSUPP: The descriptor does not support this operation.

EWOULDBLOCK: The *socket* has nonblocking mode set.

#### send()

ssize t send (int socket, const void\* buffer, size t size, int flags)

This function is similar to write, but with the additional *flags* argument. This function returns the number of bytes transmitted or -1 on failure. However, it should be noted that a successful return value indicates that the message has been *sent* without error, but not necessarily that is has been *received* without error. The following *errno* error conditions are defined for this function:

EBADF: The *socket* argument is not a valid file descriptor.

EINTR: The operation was interrupted by a signal before any data was sent.

ENOTSOCK: The descriptor is not a socket.

EMSGSIZE: The socket type requires that the message be sent atomically, but the message is too large for this to be possible.

EWOULDBLOCK: Nonblocking mode has been set on the socket.

ENOBUFFS: There is not enough internal buffer space available.

ENOTCONN: The socket was never connected. EPIPE: The socket connection is now broken.

# recv()

ssize t recv (int socket, void\* buffer, size t size, int flags)

This function is similar to read, but with the additional argument of *flags*. This function returns the number of bytes received, or -1 on failure. The following *errno* conditions are defined for this function:

EBADF: The *socket* argument is not a valid file descriptor.

ENOTSOCK: The descriptor *socket* is not a socket.

EWOULDBLOCK: Nonblocking mode has been set on the socket, and the ready operation would block.

EINTR: The operation was interrupted by a signal before any data was ready.

ENOTCONN: The socket was never connected.

## close()

int close (int filedescriptor)

This function closes the socket represented by the given file descriptor. The normal return value is 0 and -1 is returned in case of failure. The following *errno* conditions are defined for this function:

EBADF: Not a valid file descriptor.

EINTR: The close call was interrupted by a signal.