US Federal Agencies ORCID Policies

US Federal Agencies ORCID Policies

This is a living document that will be updated over time as more details on the policies become available. Last updated: April 30, 2025.

The US Federal Government has issued two memos related to government-supported research, research security and compliance, and the dissemination of publicly-funded research:

- In January 2021, the White House released a National Security Presidential Memorandum (NSPM-33) on United States (US) Government-Supported Research and Development National Security Policy. NSPM-33 directs Federal agencies conducting research and/or awarding research funds to establish policies related to the use of Digital Persistent Identifiers (DPIs, also known as Persistent Identifiers (PIDs)) for researchers' disclosure of information during funding application and reporting workflows. A year later, the National Science and Technology Council's <u>Guidance for Implementing NSPM-33</u> was released in January 2022. Although <u>ORCID</u> is not mentioned specifically in the NSPM-33 memo, ORCID is currently the only PID for individuals that meets the requirements stipulated in the NSPM-33 guidance.
- In August 2022, the White House Office of Science and Technology Policy (OSTP)
 memo on "Ensuring Free, Immediate, and Equitable Access to Federally Funded
 Research" reiterates that Federal funding agencies should "instruct federally funded
 researchers to obtain a digital persistent identifier that meets the common/core
 standards of a digital persistent identifier service defined in the NSPM-33
 Implementation Guidance" for inclusion in published research outputs.

The ORCID US Community expects that all Federal agencies will begin to allow grant applicants to use ORCID for transferring disclosure information during the grant application process, and some agencies may choose to require that ORCID be used.

Federal agencies are now releasing their policies related to NSPM-33 and the OSTP memo on public access. As much as we can, we are compiling the individual agencies' policies into this living document. If you know of additional agency policies and information that you would like to add to this document, please email us at orcidus@lyrasis.org.

National Institutes of Health (NIH)

ORCID-related Policies:

 On April 30, 2025, NIH announced that the 2024 Public Access Policy, originally slated to go into effect on December 31, 2025, <u>will now be effective as of July 1, 2025</u> (see NOT-OD-25-101).

- 2. As of March 25, 2025, NIH is postponing the May 25, 2025 implementation of the Common Forms for all applications and Research Performance Progress Reports (RPPRs) (see NOT-OD-24-163).
- 3. At this time, all senior and key personnel named in NIH grants are encouraged to link their eRA profiles with their ORCID iD (see NOT-OD-24-163).
- 4. Senior and key personnel will be encouraged to use their ORCID iD in the SciENcv system to submit Common Forms (for the Biographical Sketch, Current and Pending (Other) Support) and the NIH Biographical Sketch Supplement (see NOT-OD-24-163).
- Individual trainees, scholars, or others who are supported by specific training, fellowship, research education, and career development award mechanisms are encouraged to link their eRA profiles with their ORCID iD (see NOT-OD-19-109).

NIH Documentation:

- NOT-OD-24-163: NIH's Adoption of Common Forms for Biographical Sketch and Current and Pending (Other) Support by May 25, 2025
- NOT-OD-19-109: Requirement for ORCID iDs for Individuals Supported by Research Training, Fellowship, Research Education, and Career Development Awards Beginning in FY 2020
- NOT-OD-25-101: Revision: Notice of Updated Effective Date for the 2024 NIH Public Access Policy
- Update on Linking ORCID to Implement Persistent Identifier Requirements While Reducing Burden and Improving Transparency (NIH extramural NEXUS Blog)
- Growing ORCIDs (NIH All About Grants Podcast)
- Accelerating Access to Research Results: New Implementation Date for the 2024 NIH
 Public Access Policy (The NIH Director)

National Science Foundation (NSF)

ORCID-related Policies:

- As of May 20, 2024, all senior and key personnel named in NSF grants are encouraged to include their ORCID iD in Biographical Sketches; ORCID iD is optional (see <u>PAPPG NSF 24-1, Chapter II.D.h(i)</u>)
- As of May 20, 2024, all senior and key personnel named in NSF grants are encouraged to include their ORCID iD in Current and Pending Support Form; ORCID iD is optional (see <u>PAPPG NSF 24-1, Chapter II.D.h(ii)</u>)

NSF Documentation:

• PAPPG NSF 24-1: NSF Proposal and Award Policies and Procedures Guide

Department of Energy (DOE)

ORCID-related Policies:

- As of October 28, 2024, DOE federal and contractor employees conducting R&D work are instructed to obtain a PID for themselves that meets the common/core standards specified in the NSPM-33 Implementation Guidance or successor guidance (e.g., an ORCID iD) (see DOE O 241.1C).
- 2. As of August 08, 2024, applicants for DOE and NNSA notice of funding opportunities (NOFOs) must ensure that any individuals who meet both conditions below obtain a PID for themselves that meets the common/core standards specified in the NSPM-33 Implementation Guidance or successor guidance (e.g., ORCID iD). The PID must be provided in the Biographical Sketch and/or Current and Pending (Other) Support disclosures as part of the NOFO application. The inclusion of a PID will be optional until May 1, 2025, and mandatory thereafter (see DOE Financial Assistance Letter).
 - a. Individual is listed within financial assistance applications that will fund R&D activities, or technical assistance to support R&D activities; and
 - b. Individual is required to submit Biographical Sketch and/or Current and Pending (Other) Support disclosure.
- 3. As of June 2023, DOE will instruct senior/key personnel (to the extent consistent with non-endorsement and procurement laws and policies) to have a researcher PID for themselves by time of award to be used throughout the research lifecycle to uniquely identify the individual (e.g. within grant applications, during progress reporting, and reporting research results) (see <u>DOE Public Access Plan</u>).

DOE Documentation:

- DOE O 241.1C: Scientific and Technical Information Management
- <u>DOE Financial Assistance Letter</u>: Digital Persistent Identifier Requirements for Certain Individuals for Research and Development
- DOE Public Access Plan: U.S. Department of Energy Public Access Plan
- DOE PID Policies (PIDS @ OSTI.Gov)

US Department of Agriculture (USDA)

ORCID-related Policies:

1. As of July 20, 2022, USDA's ORCID-related policies apply to all unclassified scientific research, including intramural research and extramural research, that is supported

wholly or in part by the USDA, regardless of the USDA funding level or funding mechanism (see <u>DR 1020-006</u>).

- a. For scholarly publications that meet the criteria, all authors of scholarly publications must have individual digital persistent identifiers, such as the Open Researcher and Contributor ID (ORCID iD), that are linked to their scholarly publications.
- b. For digital scientific research data assets that meet the criteria, all authors of digital scientific research data assets (data authors) that meet the criteria in Section 5c(2) must have individual digital persistent identifiers (e.g., ORCID iD) that are linked to their data assets and other research products.

USDA Documentation:

- <u>DR 1020-006</u>: Public Access to Scholarly Publications and Digital Scientific Research Data
- Implementation Guide for Public Access to Scholarly Publications and Digital Scientific Research Data

US Department of Education

Department of Education ORCID Related Policies:

1. As of August 29, 2024, all key personnel must have a persistent identifier (PID), such as an ORCID iD (Open Researcher and Contributor ID; https://orcid.org/) at the time of award. For all key personnel who have a PID at the time of application, include the PID in the biosketch and in the "Credential, e.g., agency login" field on this form (see LES Application Submission Guide).

Department of Education Documentation:

- Steps to Applying for IES Grants
- FY 2025 Application Submission Guide
- Public Access to Research Policy: IES Policy Regarding Public Access to Research

National Aeronautics and Space Administration (NASA)

NASA ORCID-related Policies:

 As of September 26, 2022, NASA requires Science Mission Directorate (SMD)-funded investigators to have a persistent identifier that meets the standards of a digital persistent identifier service defined in the NSPM-33 Implementation Guidance. Many SMD-funded researchers meet this requirement using ORCID, an organization that provides a unique, persistent identifier (the ORCID iD) to distinguish among researchers (see <u>SPD-41a</u>)

 In 2023, Pls and Co-Pls must provide their digital persistent identifier (e.g., ORCID) via NSPIRES through the NSPIRES submission process (see <u>SPD-41a</u>).

NASA Documentation:

- NASA Science Mission Directorate Version 2.2: Open-Source Science Guidance
- SPD-41a: Scientific Information Policy for the Science Mission Directorate
- ROSES FAQ: Research Opportunities in Space and Earth Science FAQ

Additional Resources:

- Science.Gov's Scientific Integrity Policies & Other Information
 - links to and information on agency scientific integrity policies and other information
- SPARC 2022 Updated OSTP Policy Guidance
 - o updates and links to individual agencies' public access plans and policies