

## UNIT4 Transport layer

Duty of transport layer is process-to-process communication.

These protocols use port numbers to accomplish this. Port numbers provide end-to-end addresses at the transport layer and allow multiplexing and demultiplexing at this layer.

### USER DATAGRAM PROTOCOL

The **User Datagram Protocol (UDP)** is a connectionless, unreliable transport protocol.

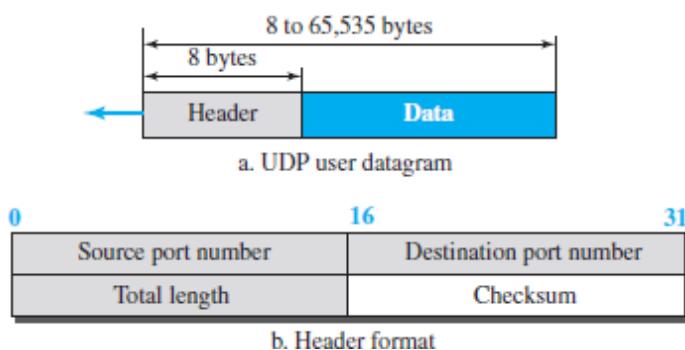
UDP is a very simple protocol using a minimum of overhead.

If a process wants to send a small message and does not care much about reliability, it can use UDP.

#### User Datagram

UDP packets are called **user datagrams**.

The first two fields define the source and destination port numbers. The third field defines the total length of the user datagram, header plus data. The 16 bits can define a total length of 0 to 65,535 bytes.



**Fig: UDP format .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

#### Connectionless Services

UDP provides a connectionless service.

This means that each user datagram sent by UDP is an independent datagram. There is no relationship between the different user datagrams even if they are coming from the same source process and going to the same destination program.

The user datagrams travel on a different path on the way to destination.

## Flow Control

UDP is a very simple protocol. There is no flow control.

The receiver may overflow with incoming messages. The lack of flow control means that the process using UDP should provide for this service, if needed.

## Error Control

There is no error control mechanism in UDP except for the checksum. This means that the sender does not know if a message has been lost or duplicated.

When the receiver detects an error through the checksum, the user datagram is silently discarded.

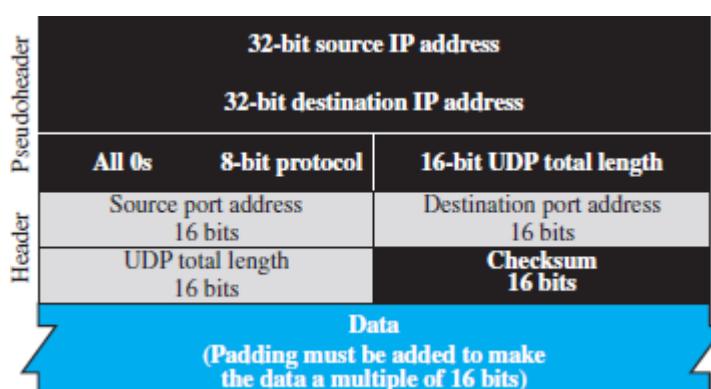
## Checksum

UDP checksum calculation has three sections: a pseudoheader, the UDP header, and the data coming from the application layer.

The pseudoheader is the part of the header of the IP packet in which the user datagram is to be encapsulated with some fields filled with 0s.

If the checksum does not include the pseudo header, a user datagram may arrive safe and sound.

If the IP header is corrupted, it may be delivered to the wrong host.



**Fig:Pseudo header for checksum.[Source : Data Communications and Networking by Behrouz A. Forouzan].**

## **Congestion Control**

Since UDP is a connectionless protocol, it does not provide congestion control.

## **Encapsulation and Decapsulation**

To send a message from one process to another, the UDP protocol encapsulates and decapsulates messages.

## **Multiplexing and Demultiplexing**

In a TCP/IP protocol suite, there is only one UDP but several processes that may want to use the services of UDP.

To handle this situation, UDP multiplexes and demultiplexes.

## **UDP features**

### **Connectionless Service**

UDP is a connectionless protocol. Each UDP packet is independent from other packets sent by the same application program.

It is an advantage. **For example**, a client application needs to send a short request to a server and to receive a short response. If the request and response can each fit in a single user datagram, a connectionless service may be preferable.

In the connection-oriented service, to send and receive short message, at least 9 packets are exchanged between the client and the server; in connectionless service only 2 packets are exchanged.

## **UDP Applications**

**UDP is suitable for** a process that requires simple request-response communication with little concern for flow and error control.

UDP is suitable for a process with internal flow- and error-control mechanisms. For example, the Trivial File Transfer Protocol (TFTP) process includes flow and error control. It can easily use UDP.

UDP is a suitable transport protocol for multicasting.

Multicasting capability is embedded in the UDP software but not in the TCP software. UDP is used for management processes such as SNMP.

UDP is used for some route updating protocols such as Routing Information Protocol (RIP).

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## **TRANSMISSION CONTROL PROTOCOL**

**Transmission Control Protocol (TCP)** is a connection-oriented, reliable protocol.

TCP **defines** connection establishment, data transfer, and connection teardown phases to provide a connection-oriented service.

TCP uses a combination of GBN and SR protocols to provide reliability. To achieve this, TCP uses checksum (for error detection), retransmission of lost or corrupted packets, cumulative and selective acknowledgments, and timers.

### **TCP Services**

#### **Process-to-Process Communication**

TCP provides process-to-process communication using port numbers.

#### **Stream Delivery Service**

TCP is a stream-oriented protocol.

TCP allows the sending process to deliver data as a stream of bytes and allows the receiving process to obtain data as a stream of bytes.

TCP creates an environment in which the two processes seem to be connected by an imaginary “tube” that carries their bytes across the Internet.

### **Segments**

**At the transport layer**, TCP groups a number of bytes together into a packet called a segment.

TCP adds a header to each segment (for control purposes) and delivers the segment to the network layer for transmission. The segments are encapsulated in an IP datagram and transmitted.

### **Full-Duplex Communication**

TCP offers full-duplex service, where data can flow in both directions at the same time.

### **Multiplexing and Demultiplexing**

Like UDP, TCP performs multiplexing at the sender and demultiplexing at the receiver.

## **Connection-Oriented Service**

When a process at site A wants to send to and receive data from another process at site B, the following three phases occur:

1. The two TCP's establish a logical connection between them.
2. Data are exchanged in both directions.
3. The connection is terminated.

## **Reliable Service**

TCP is a reliable transport protocol. It uses an acknowledgment mechanism to check the safe arrival of data.

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## **TCP Features**

### **Numbering System**

The sequence number and the acknowledgment number is used in TCP.

#### **Byte Number**

TCP numbers all data bytes (octets) that are transmitted in a connection. Numbering is independent in each direction. When TCP receives bytes of data from a process, TCP stores them in the sending buffer and numbers them.

TCP chooses an arbitrary number between 0 and  $2^{32} - 1$  for the number of the first byte.

For example, if the number happens to be 1057 and the total data to be sent is 6000 bytes, the bytes are numbered from 1057 to 7056.

#### **Sequence Number**

After the bytes have been numbered, TCP allot a sequence number to each segment that is being sent.

1. The sequence number of the first segment is the ISN (initial sequence number), which is a random number.

2. The sequence number of any other segment is the sequence number of the previous segment plus the number of bytes (real or imaginary) carried by the previous segment.

## Acknowledgment Number

Communication in TCP is full duplex; when a connection is established, both parties can send and receive data at the same time.

Each party numbers the bytes, usually with a different starting byte number.

The sequence number in each direction shows the number of the first byte carried by the segment.

Each party uses an acknowledgment number to confirm the bytes it has received.

## Segment

A packet in TCP is called a segment.

### Format

The format of a segment is shown in Figure (below).

The segment consists of a header of 20 to 60 bytes, followed by data from the application program.

The header is 20 bytes if there are no options and up to 60 bytes if it contains options.

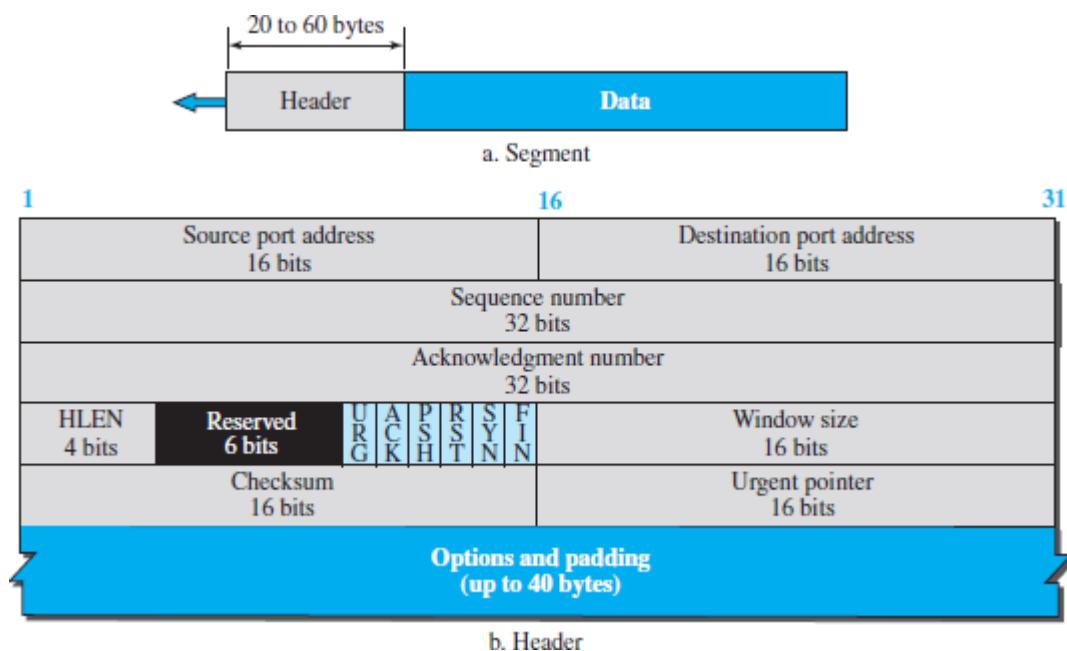


Fig: TCP segment.[Source : Data Communications and Networking by Behrouz A. Forouzan].

**Source port address.** This is a 16-bit field that defines the port number of the application program in the host that is sending the segment.

**Destination port address.** This is a 16-bit field that defines the port number of the application program in the host that is receiving the segment.

**Sequence number.** This 32-bit field defines the number assigned to the first byte of data contained in this segment.

TCP is a stream transport protocol.

To ensure connectivity, each byte to be transmitted is numbered. The sequence number tells the destination which byte in this sequence is the first byte in the segment.

During connection establishment each party uses a random number generator to create an **initial sequence number** (ISN), which is usually different in each direction.

**Acknowledgment number.** This 32-bit field defines the byte number that the receiver of the segment is expecting to receive from the other party.

If the receiver of the segment has successfully received byte number x from the other party, it returns x + 1 as the acknowledgment number.

Acknowledgment and data can be piggybacked together.

**Header length.** This 4-bit field indicates the number of 4-byte words in the TCP header. The length of the header can be between 20 and 60 bytes.

**Control.** This field defines 6 different control bits or flags.

These bits enable flow control, connection establishment and termination, and the mode of data transfer in TCP.

**Window size.** This field defines the window size of the sending TCP in bytes. The length of this field is 16 bits, which means that the maximum size of the window is 65,535 bytes.

This value is referred to as the receiving window (rwnd) and is determined by the receiver. The sender must obey the dictation of the receiver in this case.

**Checksum.** This 16-bit field contains the checksum (error detection).

The calculation of the checksum for TCP is important. The same pseudoheader, serving the same purpose, is added to the segment.

**Urgent pointer.** This 16-bit field, which is valid only if the urgent flag is set, is used when the segment contains urgent data.

It defines a value that must be added to the sequence number to obtain the number of the last urgent byte in the data section of the segment.

**Options.** There can be up to 40 bytes of optional information in the TCP header.

### **Encapsulation**

A TCP segment encapsulates the data received from the application layer.

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## **TCP Connection**

**TCP** is connection-oriented.

A connection-oriented transport protocol establishes a logical path between the source and destination. All of the segments belonging to a message are then sent over this logical path.

If a segment arrives out of order, TCP holds it until the missing segments arrive; IP is unaware of this reordering.

In TCP, connection-oriented transmission requires three phases: connection establishment, data transfer, and connection termination.

### **Connection Establishment**

TCP transmits data in full-duplex mode. When two TCPs in two machines are connected, they are able to send segments to each other simultaneously. This implies that each party must initialize communication and get approval from the other party before any data are transferred.

#### **Three-Way Handshaking**

The connection establishment in TCP is called **three-way handshaking**.

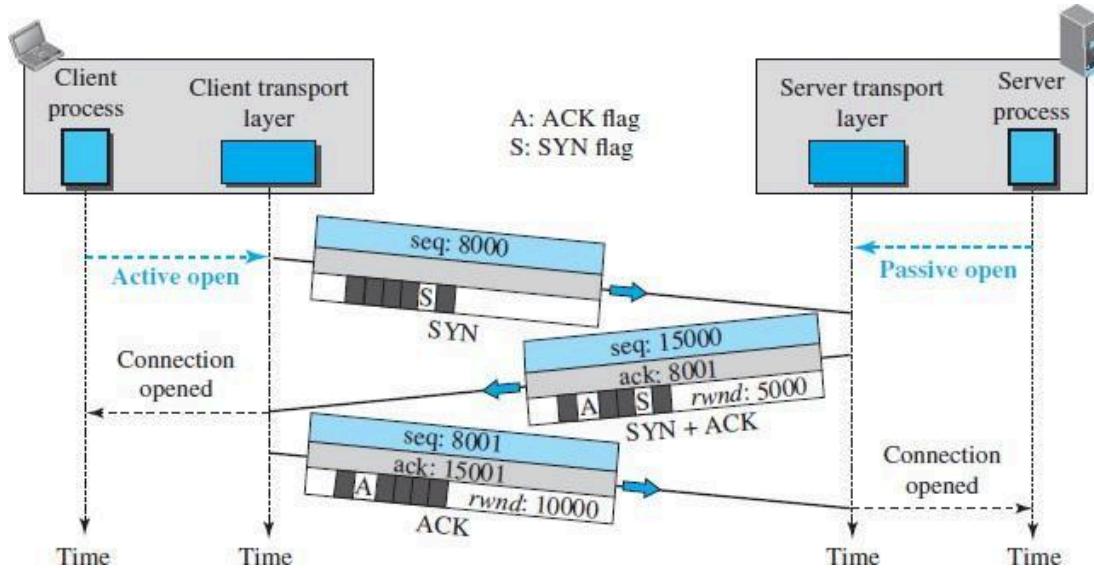
**For example**, an application program, called the client, wants to make a connection with another application program, called the server, using TCP as the transport-layer protocol.

The process starts with the server. The server program tells its TCP that it is ready to accept a connection. This request is called a **passive open**.

The client program issues a request for an **active open**. A client that wishes to connect to an open server tells its TCP to connect to a particular server.

**TCP function is enabled by** three-way handshaking process, as shown in Figure .

Three step process.



**Fig:TCP connection.**[Source : Data Communications and Networking by Behrouz A. Forouzan].

1. The client sends the first segment, a SYN segment, in which only the SYN flag is set.

This segment is for synchronization of sequence numbers.

The client chooses a random number as the first sequence number and sends this number to the server. This sequence number is called the initial sequence number (ISN).

The SYN segment is a control segment and carries no data. It consumes one sequence number because it needs to be acknowledged. SYN segment carries one imaginary byte.

2. The server sends the second segment, a SYN + ACK segment with two flag bits set as: SYN and ACK. This segment has a dual purpose.

First, it is a SYN segment for communication in the other direction. The server uses this segment to initialize a sequence number for numbering the bytes sent from the server to the client.

The server also acknowledges the receipt of the SYN segment from the client by setting the ACK flag and displaying the next sequence number it expects to receive from the client.

3. The client sends the third ACK segment. It acknowledges the receipt of the second segment with the ACK flag and acknowledgment number field.

Note that the ACK segment does not consume any sequence numbers if it does not carry data.

## **Data Transfer**

After connection is established, bidirectional data transfer take place. The client and server can send data and acknowledgments in both directions.

The acknowledgment is piggybacked with the data. Figure shows an example.

**In this example**, after a connection is established, the client sends 2,000 bytes of data in two segments. The server then sends 2,000 bytes in one segment.

The client sends one more segment. The first three segments carry both data and acknowledgment, but the last segment carries only an acknowledgment because there is no more data to be sent. Note the values of the sequence and acknowledgment numbers.

The data segments sent by the client have the PSH (push) flag set so that the server TCP knows to deliver data to the server process as soon as they are received.

**TCP** can handle push operation.

The application program at the sender can request a push operation. This means that the sending TCP must not wait for the window to be filled. It must create a segment and send it immediately.

The sending TCP set the push bit (PSH) to let the receiving TCP know that the segment includes data that must be delivered to the receiving application program as soon as possible and not to wait for more data to come.

## **Urgent Data**

TCP is a stream-oriented protocol. TCP supports an application program which **needs to send urgent bytes**, some bytes that need to be treated in a special way by the application at the other end.

The sending application program tells the sending TCP that the piece of data is urgent. The sending TCP creates a segment and inserts the urgent data at the beginning of the segment. The rest of the segment can contain normal data from the buffer.

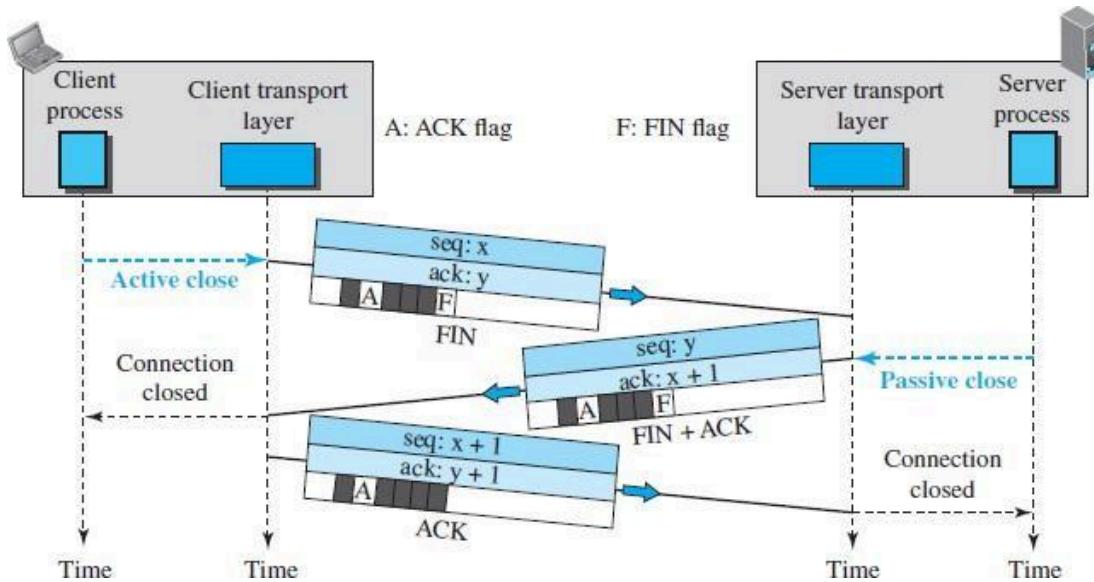
The urgent pointer field defines the end of the urgent data (the last byte of urgent data).

## Connection Termination

It has three phases.

1. The client TCP, after receiving a close command from the client process, sends the first segment called **FIN segment**.

The FIN segment has the last data sent by the client or it can be just a control segment.



**Fig: Connection termination .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

2. The server TCP, after receiving the FIN segment, informs its process of the situation and sends the second segment, a FIN  $\square$  ACK segment, to confirm the receipt of the FIN segment from the client and at the same time to announce the closing of the connection in the other direction.
3. The client TCP sends the last segment, an ACK segment, to confirm the receipt of the FIN segment from the TCP server. This segment contains the acknowledgment number, which is one plus the sequence number received in the FIN segment from the server.

This segment cannot carry data and has no sequence numbers.

## Connection Reset

TCP at one end can oppose a connection request, or may terminate an idle connection. These are done with the RST (reset) flag.

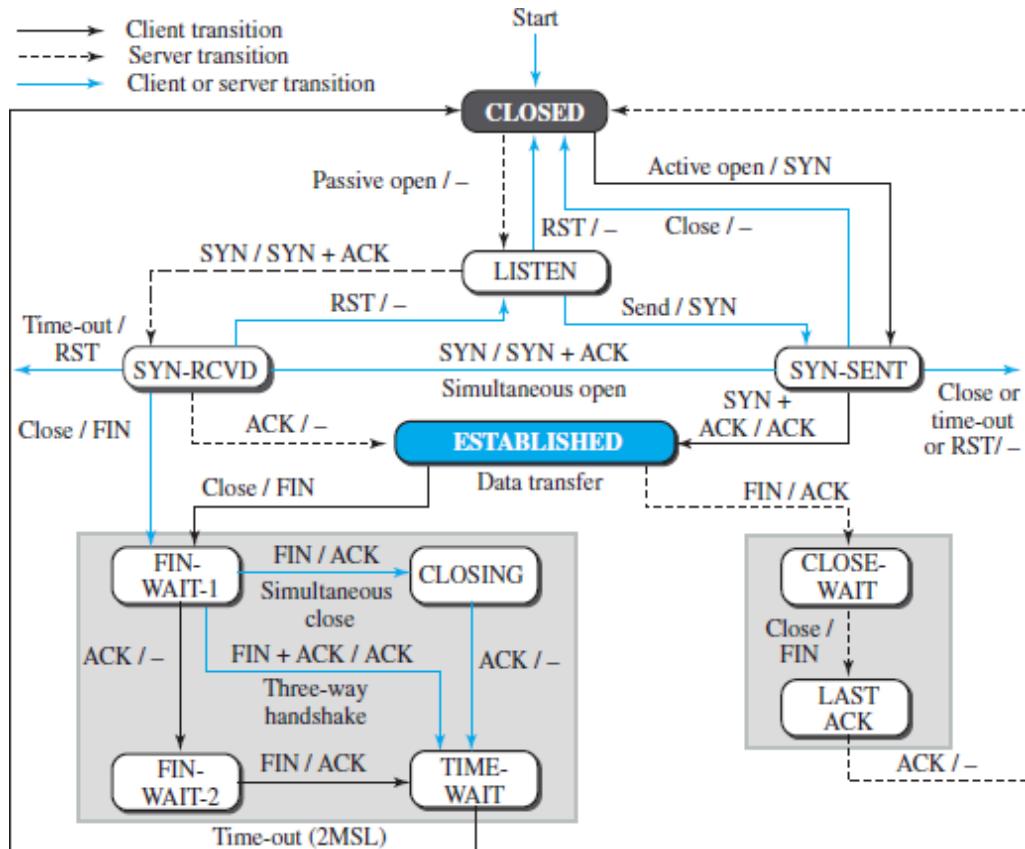
### State Transition Diagram

To observe the events happening during connection establishment, connection termination, and data transfer, **TCP is specified as the finite state machine (FSM)** as shown in Figure (below).

Here two FSMs used by the TCP client and server combined in one diagram.

The rounded-corner rectangles represent the states. The transition from one state to another is shown using directed lines. Each line has two strings separated by a slash.

**The first string** is the input, what TCP receives. **The second** is the output, what TCP sends.



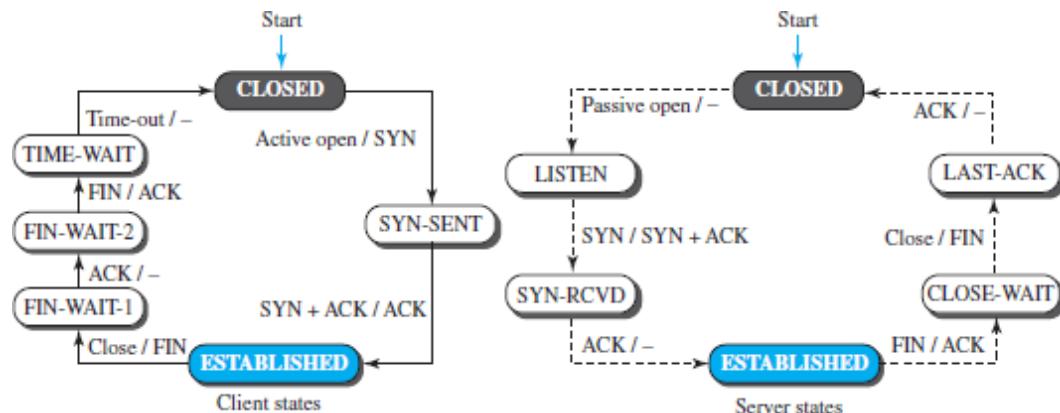
**Fig: State transition diagram..[Source : Data Communications and Networking by Behrouz A. Forouzan].**

The dotted black lines in the figure represent the transition that a server normally goes through; the solid black lines show the transitions that a client normally goes through.

In some situations, a server transitions through a solid line or a client transitions through a dotted line. The colored lines show special situations.

The rounded-corner rectangle marked ESTABLISHED has two sets of states, a set for the client and another for the server, that are used for flow and error control.

**Consider the scenario.** Figure (below) shows the state transition diagram for this scenario.



**Fig: State transition diagram .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

**The client process issues** an active open command to its TCP to request a connection to a specific socket address. TCP sends a SYN segment and moves to the **SYN-SENT** state.

After receiving the SYN +ACK segment, TCP sends an ACK segment and goes to the **ESTABLISHED** state. Data are transferred, possibly in both directions, and acknowledged. When the client process has no more data to send, it issues a command called an *active close*. The TCP sends a FIN segment and goes to the **FIN-WAIT-1** state.

When it receives the ACK segment, it goes to the **FIN-WAIT-2** state. When the client receives a FIN segment, it sends an ACK segment and goes to the **TIME-WAIT** state.

The client remains in this state for 2 MSL .MSL is the maximum time a **TCP** segment is expected to live, or stay in the network.

It is an advertisement from the **TCP** to the other side about the maximum size of a **TCP** segment it is prepared to receive.

When the corresponding timer expires, the client goes to the **CLOSED** state.

**The server process** issues a passive open command. The server TCP goes to the **LISTEN** state and remains there passively until it receives a SYN segment.

The TCP then sends a SYN +ACK segment and goes to the **SYN-RCVD** state, waiting for the client to send an ACK segment. After receiving the ACK segment, TCP goes to the **ESTABLISHED** state, where data transfer can take place. TCP remains in this state until it receives a FIN segment from the client signifying that there are no more data to be exchanged and the connection can be closed.

The server, upon receiving the FIN segment, sends all queued data to the server with a virtual EOF marker, which means that the connection must be closed.

It sends an ACK segment and goes to the **CLOSE\_WAIT** state, but postpones acknowledging the FIN segment received from the client until it receives a *passive close* command from its process.

After receiving the *passive close* command, the server sends a FIN segment to the client and goes to the **LASTACK** state, waiting for the final ACK. When the ACK segment is received from the client, the server goes to the **CLOSE** state.

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### Windows in TCP

TCP uses two windows (send window and receive window) for each direction of data transfer, which means four windows for a bidirectional communication.

#### **Send Window**

The send window in TCP is like Selective-Repeat protocol, but with some differences:

1. The window size in SR is the number of packets, but the window size in TCP is the number of bytes.
2. TCP can store data received from the process and send them later, but we assume that the sending TCP is capable of sending segments of data as soon as it receives them from its process.
3. The TCP protocol uses only one timer.

### **Receive window**

The receive window size decides the number of bytes that the receive window can accept from the sender before being crowded (flow control).

The acknowledgment mechanism in TCP is a cumulative acknowledgment announcing the next expected byte to receive.

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### **Congestion Avoidance**

TCP imposes some methods to control congestion once it happens, instead of trying to avoid congestion.

It is a prevention mechanism while congestion control is a recovery mechanism.

#### **DECbit**

DECbit means destination experiencing congestion bit.

This mechanism was developed for use on the **Digital Network Architecture** (DNA), a connectionless network with a connection-oriented transport protocol.

This mechanism could, therefore, also be applied to TCP and IP. It splits the responsibility for congestion control between the routers and the end nodes.

Each router monitors the load it is experiencing and explicitly notifies the end nodes when congestion is about to occur. This notification is implemented by setting a **binary congestion bit** in the packets that flow through the router, hence the name DECbit.

The destination host then copies this congestion bit into the ACK it sends back to the source. Finally, the source adjusts its sending rate so as to avoid congestion.

A router sets this bit in a packet if its average queue length is greater than or equal to 1 at the time the packet arrives.

This average queue length is measured over a time interval as , the last busy+idle cycle, plus the current busy cycle.

The source records how many of its packets have set the congestion bit.

If less than 50% of the packets had the bit set, then the source increases its congestion window by one packet. If 50% or more of the last window of packets had the congestion bit set, then the source decreases its congestion window to 0.875 times the previous value.

### **Random Early Detection (RED)**

RED provides congestion control at the router for TCP flows.

RED was designed to work with TCP. It notifies the sender by dropping packets. Packet dropping probability is increased as the average queue length increases. The moving average of the queue length is used to detect the long term congestion and allows short term bursts to arrive.

#### Properties of RED

1. RED drops packets before the queue is full, in the hope of reducing the rates of some flows.
2. It drops packets for each flow roughly in proportion to its rate.
3. It maintains average queue length.
4. Random drops desynchronize the TCP sources.
5. RED calculates the average queue length using a weighted running average.

**The Formula** is as follows.

$$\text{Average length} = (1 - \text{Weight}) \times \text{Average length} + \text{Weight} \times \text{Sample length}$$

Sample length is the queue length each time a packet arrives. The weight parameter is between 0 and 1.

RED has two queue length thresholds that trigger certain activity: MinThreshold and MaxThreshold.

When a packet arrives at the gateway, RED compares the current AvgLen with these two thresholds, according to the following rules:

if  $\text{AvgLen} \leq \text{MinThreshold}$   
Then queue the packet  
if  $\text{MinThreshold} < \text{AvgLen} < \text{MaxThreshold}$   
calculate probability P  
drop the arriving packet with probability P

if  $\text{MaxThreshold} \leq \text{AvgLength}$

!drop the arriving packet

If the average queue length is smaller than the lower threshold, no action is taken, and if the average queue length is larger than the upper threshold, then the packet is always dropped.

If the average queue length is between the two thresholds, then the newly arriving packet is dropped with some probability P.

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## Congestion control in TCP

Congestion in a network may occur if the load on the network—the number of packets sent to the network is greater than the capacity of the network.

Congestion control refers to the mechanisms and techniques to control the congestion.

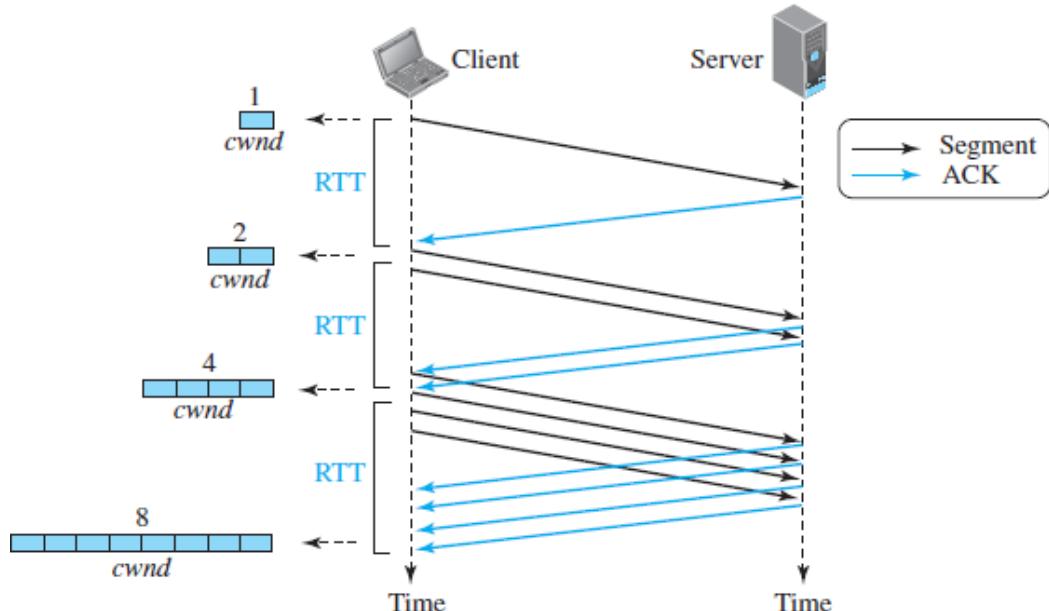
TCP uses a congestion window and a congestion policy that avoid congestion.

If the network cannot deliver the data as fast as it is created by the sender, it must tell the sender to slow down.

### Congestion policy in TCP

1. Slow Start Phase: starts slowly increment is exponential to threshold
2. Congestion Avoidance Phase: After reaching the threshold increment is by 1.
3. Congestion Detection Phase: Sender goes back to Slow start phase or Congestion avoidance phase.

**Slow Start , exponential increase** – In this phase after every RTT( round trip time) the congestion window size increments exponentially.



**Fig: Slow start congestion control .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

Start	$cwnd = 1 \rightarrow 2^0$
After 1 RTT	$cwnd = cwnd + 1 = 1 + 1 = 2 \rightarrow 2^1$
After 2 RTT	$cwnd = cwnd + 2 = 2 + 2 = 4 \rightarrow 2^2$
After 3 RTT	$cwnd = cwnd + 4 = 4 + 4 = 8 \rightarrow 2^3$

### Congestion Avoidance Phase : additive increase

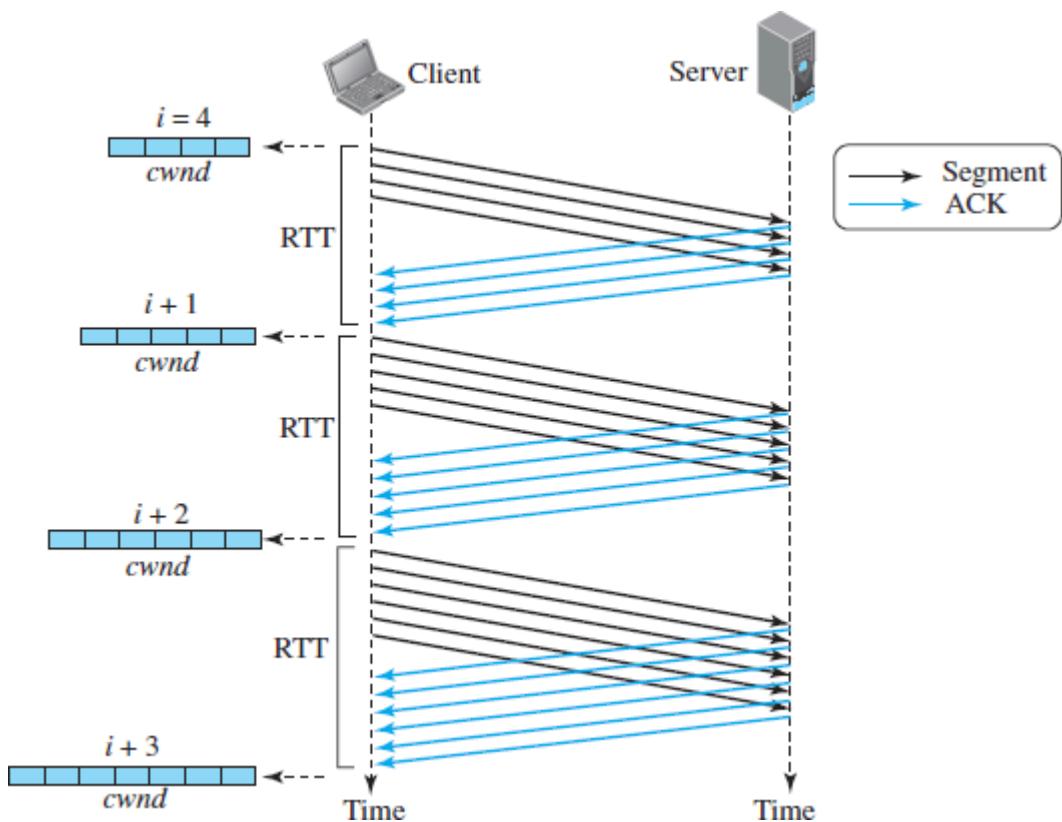


Fig:TCP congestion avoidance .[Source : Data Communications and Networking by Behrouz A. Forouzan].

To avoid congestion before it happens.

This phase starts after the threshold value is denoted as *ssthresh*. The size of *cwnd*(congestion window) increases additive.

When the size of the congestion window reaches the slow-start threshold in the case where  $cwnd = i$ , the slow-start phase stops and the additive phase begins. In this algorithm, each time the whole “window” of segments is acknowledged, the size of the congestion window is increased by one.

After each RTT  $cwnd = cwnd + 1$ .

**Congestion Detection Phase : multiplicative decrement** – If congestion occurs, the congestion window size is decreased.

The only way a sender knows that congestion has occurred is the need to retransmit a segment. Retransmission is needed to recover a missing packet which is dropped by a router due to congestion.

**Retransmission** can occur in one of two cases: when the RTO timer times out or when three duplicate ACKs are received.

- **Case 1 : Retransmission due to Timeout .** In this case congestion possibility is high.
  - (a) ssthresh (**Slow start threshold**) is reduced to half of the current window size.
  - (b) set  $cwnd = 1$
  - (c) start with slow start phase again.
- **Case 2 : Retransmission due to 3 Acknowledgement Duplicates .**

In this case congestion possibility is less.

- (a) ssthresh value reduces to half of the current window size.
  - (b) set  $cwnd = ssthresh$
  - (c) start with congestion avoidance phase
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### **QoS (quality of service)**

Quality of service (QoS) refers to any technology that manages data traffic to reduce packet loss, latency and jitter on the network.

QoS controls and manages network resources by setting priorities for specific types of data on the network.

## **FLOW CONTROL TO IMPROVE QOS**

At the routers place a packet may be delayed, suffer from jitters, be lost.

A good scheduling technique treats the different flows in a fair and appropriate manner.

Several scheduling techniques are used to improve the quality of service.

## FIFO Queuing

In **first-in, first-out (FIFO) queuing**, packets wait in a buffer (queue) until the node (router) is ready to process them. If the average arrival rate is higher than the average processing rate, the queue will fill up and new packets will be discarded.

Packets from different applications (with different sizes) arrive at the queue, are processed, and depart.

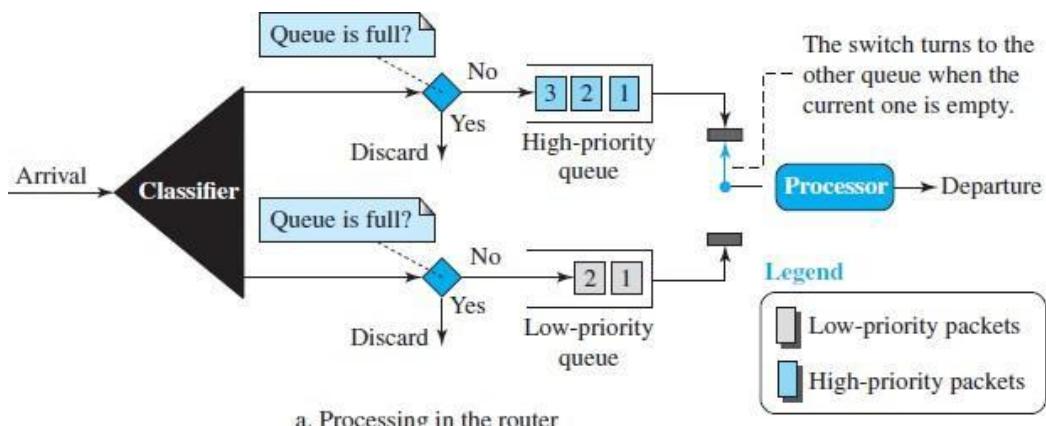
## Priority Queuing

In **priority queuing**, packets are first assigned to a priority class. Each priority class has its own queue. The packets in the highest-priority queue are processed first. Packets in the lowest-priority queue are processed last.

The system does not stop serving a queue until it is empty.

A packet priority is determined from a specific field in the packet header.

**That is** the ToS field of an IPv4 header, the priority field of IPv6 and a priority number assigned to a destination address.



**Fig: Priority queuing .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

Figure (above) shows priority queuing with two priority levels (for simplicity). A priority queue can provide better QoS than the FIFO queue because higher-priority traffic, such as multimedia, can reach the destination with less delay.

## Traffic Shaping or Policing

Traffic shaping term is used when the traffic leaves a network.  
The policing term is used when the data enters the network.

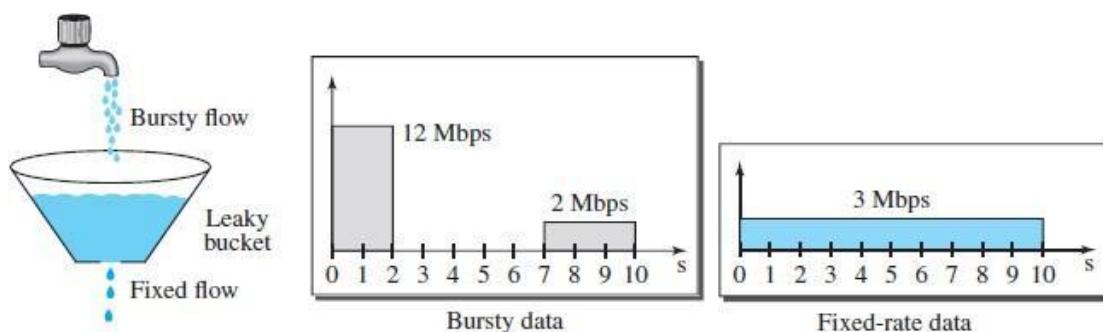
### Leaky Bucket

If a bucket has a small hole at the bottom, the water leaks from the bucket at a constant rate as long as there is water in the bucket.

The rate at which the water leaks does not depend on the rate at which the water is input unless the bucket is empty. Here the input rate can vary, but the output rate remains constant.

Similarly, in networking, a technique called **leaky bucket** can smooth out bursty traffic. Bursty chunks are stored in the bucket and sent out at an average rate.

Figure shows the leaky bucket concept.



**Fig: Leaky bucket concept.** [Source : Data Communications and Networking by Behrouz A. Forouzan].

In Figure (above) the host sends a burst of data at a rate of 12 Mbps for 2 seconds, for a total of 24 Mb of data. The host is silent for 5 seconds and then sends data at a rate of 2 Mbps for 3 seconds, for a total of 6 Mb of data.

In all, the host has sent 30 Mb of data in 10 seconds. The leaky bucket smooths the traffic by sending out data at a rate of 3 Mbps during the same 10 seconds.

A simple leaky bucket implementation is shown below. A FIFO queue holds the packets. If the traffic consists of fixed-size packets (e.g., cells in ATM networks), the process removes a fixed number of packets from the queue at each tick of the clock.

If the traffic consists of variable-length packets, the fixed output rate must be based on the number of bytes or bits.

The algorithm for variable-length packets:

1. Initialize a counter to  $n$  at the tick of the clock.
2. If  $n$  is greater than the size of the packet, send the packet and decrement the counter by the packet size. Repeat this step until the counter value is smaller than the packet size.
3. Reset the counter to  $n$  and go to step 1.

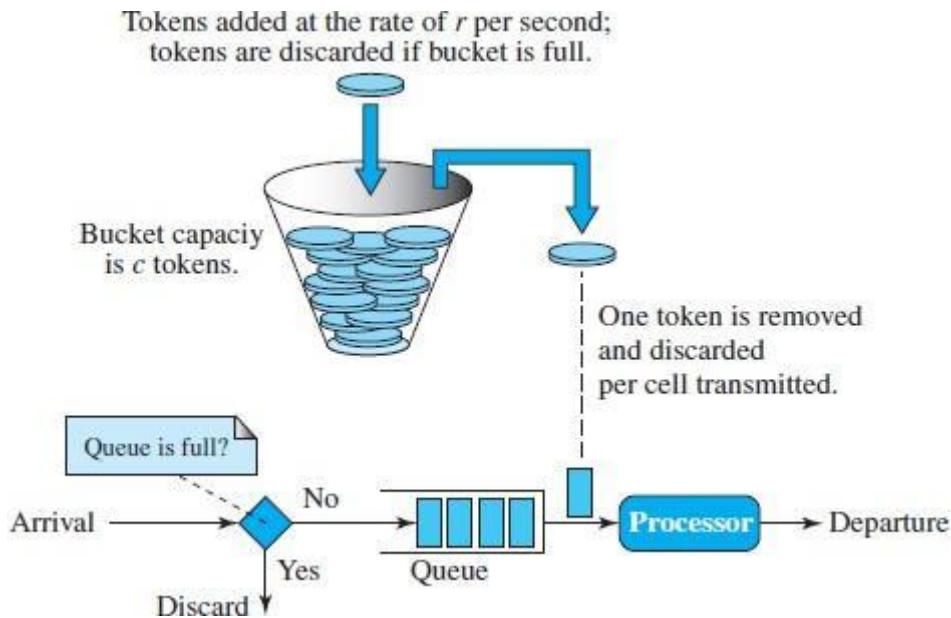
## Token Bucket

The leaky bucket algorithm does not consider the idle time of the host.

The **token bucket** algorithm allows idle hosts to accumulate credit for the future in the form of tokens.

Assume the capacity of the bucket is  $c$  tokens and tokens enter the bucket at the rate of  $r$  tokens per second. The system removes one token for every cell of data sent.

The maximum number of cells that can enter the network during any time interval of length  $t$  is shown below.



**Fig: Token bucket concept .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

### Maximum number of packets $r * t + c$

The maximum average rate for the token bucket is shown as

**Maximum average rate.  $r * t + c / t$ , packets per second.** This means that the token bucket limits the average packet rate to the network.

### Resource Reservation

A flow of data needs resources such as a buffer, bandwidth and CPU time.

The quality of service is improved if these resources are reserved .

Integrated Services, depends heavily on resource reservation to improve the quality of service.

### Admission Control

Admission control refers to the mechanism used by a router or a switch to accept or reject a flow based on predefined parameters called flow specifications.

Before a router accepts a flow for processing, it checks the flow specifications to see if its capacity can handle the flow.

## INTEGRATED SERVICES (INTSERV)

Today internet provide only the best-effort delivery service to all users .

To provide different QoS for different applications, IETF developed the **Integrated Services (IntServ)** model.

This model, is a *flow-based* architecture. Resources such as bandwidth are explicitly reserved for a given data flow.

The model is based on three schemes:

1. The packets are first classified according to the service they require.
2. The model uses scheduling to forward the packets according to their flow characteristics.
3. Devices like routers use admission control to determine if the device has the capability (available resources to handle the flow) before making a commitment.

For example, if an application requires a very high data rate, but a router in the path cannot provide such a data rate, it denies the admission.

## Flow Specification

To define a specific flow, a source needs to define a flow specification, which has two parts:

1. **Rspec (resource specification).** Rspec defines the resource that the flow needs to reserve (buffer, bandwidth, etc.).
2. **Tspec (traffic specification).** Tspec defines the traffic characterization of the flow.

## Service Classes

Two classes of services for Integrated Services

1.guaranteed service 2.controlled-load service.

### Guaranteed Service Class

This type of service is designed for real-time traffic that needs a guaranteed minimum end-to-end delay. The end-to-end delay is the sum of the delays in the routers, the propagation delay in the media, and the setup mechanism.

The sum of the delays in the routers, can be guaranteed by the router. This type of service guarantees that the packets will arrive within a certain delivery time and are not discarded if flow traffic stays within the boundary of *Tspec*.

## Controlled-Load Service Class

This type of service is designed for applications that can accept some delays but are sensitive to an overloaded network and to the danger of losing packets.

For example, types of applications are file transfer, e-mail, and Internet access. The controlledload service is a qualitative service in that the application requests the possibility of low-loss or no-loss packets.

## Resource Reservation Protocol (RSVP)

RSVP is based on multicastcommunication.

### Receiver-Based Reservation

In RSVP, the receivers make the reservation. This strategy matches the other multicasting protocols. For example, in multicast routing protocols, the receivers make a decision to join or leave a multicast group.

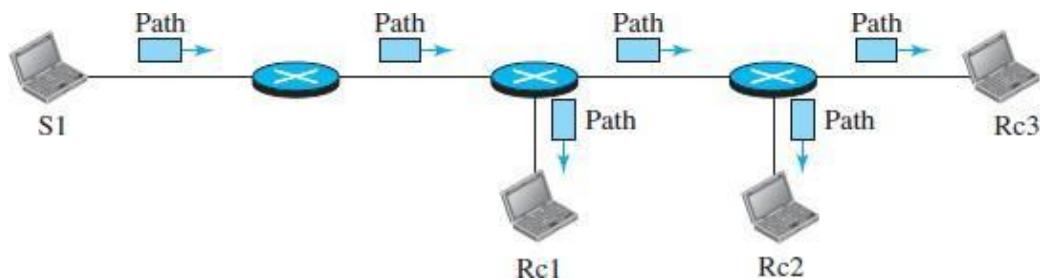
### RSVP Messages

Path and Resv.

#### Path Messages

The path isneeded for the reservation. RSVP uses Path messages.

A Path message travels from the sender and reaches all receivers in the multicast path. On the way, a Path message stores the necessary information for the receivers.



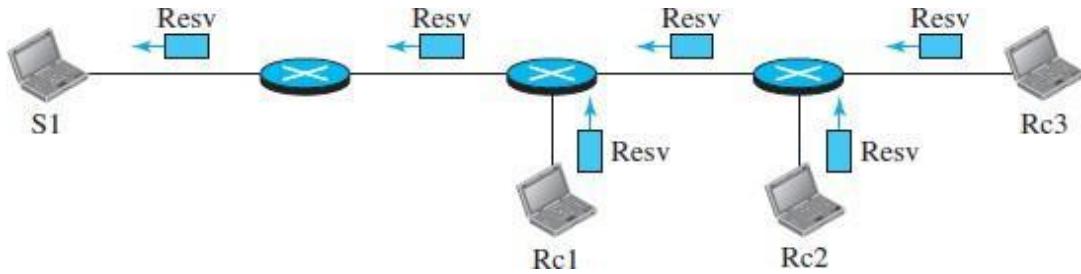
**Fig: RSVP path message .[Source : Data Communications and Networking by Behrouz A. Forouzan].**

### Resv Messages

After a receiver has received a Path message, it sends a Resv message.

The Resv message travels toward the sender (upstream) and makes a resource reservation on the routers that support RSVP.

If a router on the path does not support RSVP, it routes the packet based on the best-effort delivery methods.



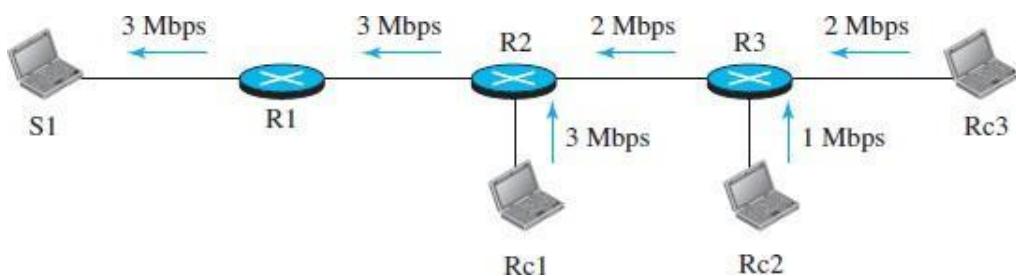
**Fig: Rsvp reserve message .**[Source : Data Communications and Networking by Behrouz A. Forouzan].

## Reservation Merging

In RSVP, the resources are not reserved for each receiver in a flow; the reservation is merged.

In Figure (below), Rc3 requests a 2-Mbps bandwidth while Rc2 requests a 1-Mbps bandwidth. Router R3, which needs to make a bandwidth reservation, merges the two requests.

The reservation is made for 2 Mbps, the larger of the two, because a 2-Mbps input reservation can handle both requests.



**Fig: Reservation merging .**[Source : Data Communications and Networking by Behrouz A. Forouzan].

## Reservation Styles

When there is more than one flow, the router needs to make a reservation to accommodate all of them. **RSVP defines** three types of reservation styles: wildcard filter (WF), fixed filter (FF), and shared explicit (SE).

**Wild Card Filter Style.** In this style, the router creates a single reservation for all senders. The reservation is based on the largest request. This type of style is used when the flows from different senders do not occur at the same time.

**Fixed Filter Style.** In this style, the router creates a distinct reservation for each flow. This means that if there are  $n$  flows,  $n$  different reservations are made. This type of style is used when there is a high probability that flows from different senders will occur at the same time.

**Shared Explicit Style.** In this style, the router creates a single reservation that can be shared by a set of flows.

## **DIFFERENTIATED SERVICES (DIFFSERV)**

In this model, called ***DiffServ***, packets are marked by applications into classes according to their priorities.

Routers and switches, using various queuing strategies, route the packets. This model was introduced by the IETF (Internet Engineering Task Force) to handle the shortcomings of Integrated Services.

Two fundamental changes were made:

1. The main processing was moved from the core of the network to the edge of the network. This solves the scalability problem. The routers do not have to store information about flows.

The applications, or hosts, define the type of service they need each time they send a packet.

2. The per-flow service is changed to per-class service. The router routes the packet based on the class of service defined in the packet, not the flow.

This solves the service-type limitation problem.

### **DS Field**

In DiffServ, each packet contains a field called the DS field. The value of this field is set at the boundary of the network by the host or the first router designated as the boundary router.

IETF proposes to replace the existing ToS (type of service) field in IPv4 or the priority class field in IPv6 with the DS field.

The DS field contains two subfields: DSCP and CU.

The DSCP (Differentiated Services Code Point) is a 6-bit subfield that defines the **per-hop behavior (PHB)**. The 2-bit CU (Currently Unused) subfield is not currently used.

### Per-Hop Behavior

The DiffServ model defines per-hop behaviors (PHBs) for each node that receives a packet. Three PHBs are defined: DE PHB, EF PHB, and AF PHB.

#### DE PHB

The DE PHB (default PHB) is the same as best-effort delivery, which is compatible with ToS.

#### EF PHB

The EF PHB (expedited forwarding PHB) provides the following services:

- Low loss.
- Low latency.
- Ensured bandwidth.

This is the same as having a virtual connection between the source and destination.

#### AF PHB

The AF PHB (assured forwarding PHB) delivers the packet with a high assurance as long as the class traffic does not exceed the traffic profile of the node.

The users of the network need to be aware that some packets may be discarded.

### Traffic Conditioners

To implement DiffServ, the DS node uses traffic conditioners such as meters, markers, shapers, and droppers.

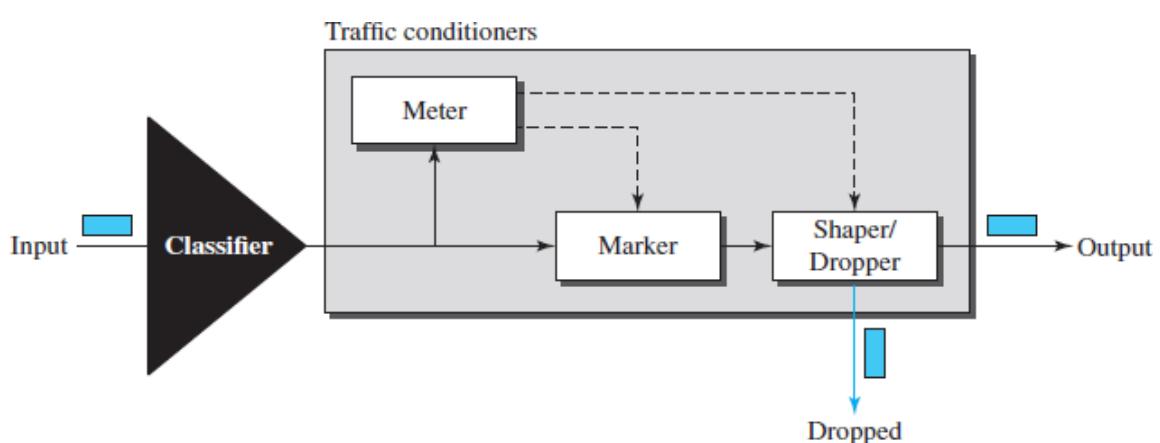


Fig: Traffic conditioners .[Source : Data Communications and Networking by Behrouz A.

**Forouzan].**

## **Meter**

The meter checks to see if the incoming flow matches the negotiated traffic profile. The meter also sends this result to other components. The meter can use several tools such as a token bucket to check the profile.

## **Marker**

A marker can re-mark a packet that is using best-effort delivery (DSCP: 000000) or down-mark a packet based on information received from the meter. Down-marking(lowering the class of the flow) occurs if the flow does not match the profile. A marker does not up-mark a packet (promote the class).

## **Shaper**

A shaper uses the information received from the meter to reshape the traffic if it is not compliant with the negotiated profile.

## **Dropper**

A dropper, which works as a shaper with no buffer, discards packets if the flow severely violates the negotiated profile.

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