

10b5-1 Trading Plan Example

Insider Information

- Name
- Position/Title
- Company

Trading Parameters

- Type of Trade (Buy/Sell)
- Number of Shares
- Price Limit
- Timing (Date/Price Trigger)
- Execution Mechanism (e.g., Limit Order)

Duration of the Plan

- Start Date
- End Date (if applicable)

Confidentiality and Compliance

- Confidentiality Requirements
- Compliance with Insider Trading Regulations

Monitoring and Review

- Compliance Team/Contact
- Review Frequency
- Modifications/Adjustments