

Affective Human Emotion Modeling in AI

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Abstract: The paper discusses the importance of accurately modeling human emotions for artificial intelligence (AI) applications, showcasing its impact on enhancing human-machine interactions. By recognizing and responding to user emotions, AI systems can create more natural, engaging, and personalized interactions, leading to improved user experiences and satisfaction. Affective human emotion modeling in AI has emerged as a transformative field, aiming to infuse machines with the ability to recognize, understand, and respond to human emotions. This review paper explores the significance of affective computing research, emphasizing its role in bridging the gap between machines and humans, and paving the way for more empathetic and context-aware AI systems.

Keywords: Artificial Intelligence, Machine Learning, Affective Computing, Emotion Modeling, Human-Machine Interaction.

1 Introduction

Affective computing is a multidisciplinary field that focuses on developing AI systems capable of recognizing, understanding, and responding to human emotions. It aims to bridge the gap between human emotions and AI, enabling machines to interact with humans in a more emotionally intelligent manner. The concept involves various technologies, such as computer vision, natural language processing, and machine learning, to analyze human emotions through facial expressions, speech, physiological signals, and text \cite{Gebhard2005}.

The significance of affective computing in AI research lies in its potential to enhance human-computer interactions, making them more natural, empathetic, and context-aware. By understanding and responding to human emotions, AI systems can better comprehend user intent and emotional states, leading to improved user experiences across various applications. For example, affective AI can be employed in virtual assistants to recognize user emotions and adjust their responses, creating more personalized and engaging interactions.

Moreover, affective computing has significant implications in many areas such as healthcare, education, customer service, and entertainment. In healthcare, AI systems can analyze emotional cues to detect signs of mental health issues or

stress. In education, emotion-aware systems can adapt teaching approaches based on students' emotional responses. In customer service, affective AI can help in sentiment analysis to better understand customer feedback and improve the service \cite{Hasnul2021, Sonlu2021}.

Overall, affective computing holds great promise in making AI more empathetic, and capable of understanding and responding to human emotions, leading to a wide range of practical applications and advancements in the field of AI. Thus, Understanding and modeling human emotions is crucial for AI systems for several reasons:

1. Enhanced User Experience: Emotionally intelligent AI can interact with users in a more empathetic and human-like manner, leading to improved user experiences. For example, a chatbot that can detect and respond appropriately to user emotions can create more engaging and personalized interactions, making users feel understood and valued \cite{Liu-Thompkins2022}.
2. Better Communication: Emotions play a vital role in human communication. By understanding and modeling emotions, AI systems can interpret not only the words but also the underlying emotional context in conversations. This allows for more effective and contextually appropriate responses, fostering more natural and meaningful interactions.
3. Personalization: Emotion-aware AI can adapt its behavior and responses based on the user's emotional state, preferences, and personality traits. This personalization enhances the system's ability to cater to individual needs, leading to more relevant and tailored services \cite{Zad2021}.
4. Mental Health Support: Emotion recognition in AI can aid in mental health support. For instance, AI systems can analyze emotional cues in text or voice to identify signs of distress or depression, offering appropriate resources or directing users to seek professional help when needed \cite{LEE2021}.
5. Decision Making: Emotions significantly influence human decision-making processes. By incorporating emotion modeling in AI systems, decision-making algorithms can consider emotional factors, leading to more well-rounded and nuanced outcomes \cite{Shrestha2019}.

6. Human-Robot Interaction: In various fields, such as healthcare and elderly care, robots and AI systems are increasingly being used to assist and interact with humans. Emotionally intelligent AI can foster a more natural and comfortable human-robot interaction, encouraging acceptance and collaboration \cite{Toichoa2021}.
7. Emotional Support: AI systems capable of recognizing and responding to emotions can provide emotional support and companionship, especially in scenarios where human interaction might be limited or unavailable.
8. Ethical Considerations: Ethical implications arise when AI interacts with humans. Understanding emotions can help AI systems avoid causing emotional distress, preventing insensitive or inappropriate responses that might negatively impact users \cite{Mark2020, Hagendorff2020}.

Accordingly, understanding and modeling human emotions are pivotal for AI systems to become more socially adept, empathetic, and better aligned with human needs and preferences. Emotionally intelligent AI can elevate user experiences, improve communication, and offer personalized support, ultimately paving the way for a more inclusive and emotionally aware AI landscape.

2 Background and related work

Affective computing is a field of research that focuses on developing systems and technologies capable of recognizing, interpreting, and responding to human emotions. It aims to bridge the gap between human emotions and machines, enabling computers and AI systems to interact with humans in a more emotionally intelligent and empathetic manner.

2.1 Historical Development of Affective Computing

- Early Foundations: The concept of affective computing was coined by Dr. Rosalind Picard, a researcher at MIT, in the 1990s. She introduced the term and pioneered research in this field. In 1997, Dr. Picard developed the "Affective Computing Group" at MIT Media Lab, which became a hub for studying the interaction between emotions and technology \cite{Picard97}.
- Early Research and Emotion Recognition: In the early 2000s, researchers focused on developing techniques to recognize human emotions through various modalities, such as facial expressions, speech patterns, physiological signals, and textual data. Machine learning algorithms, especially those

based on pattern recognition, were widely used to build emotion recognition systems \cite{VEMPATI202, rokach2023}.

*Figure SEQ Figure * ARABIC 1: Mathematical Formulas used for Emotion Recognition from Signal Datasets [12]*

Figure 1 shows some mathematical formulas used in emotion recognition based on signal processing techniques and signal data, including physiological signals.

- Expanding Applications and Use Cases: Throughout the 2010s, affective computing saw an increase in applications across various domains. Virtual assistants and chatbots started incorporating emotion recognition to provide more personalized and engaging interactions. The entertainment industry utilized affective computing in games and virtual reality experiences to enhance user immersion and emotional engagement \cite{SETIONO2021, devaram2020}.
- Advancements in Deep Learning: The emergence of deep learning techniques, such as convolutional neural networks (CNNs) and recurrent neural networks (RNNs), significantly improved the accuracy of emotion recognition systems. Deep learning models allowed for better feature

representation and understanding of complex emotional patterns in data \cite{Yu2019, Li2021}.

- Emotion Generation and Affective AI: Research in affective computing expanded beyond emotion recognition to emotion generation and so to affective AI. Affective AI seeks to create systems that can understand and respond to emotions in a more sophisticated and context-aware manner, leading to more emotionally intelligent interactions \cite{Li2010,Thalmann2015}.
- Ethical Considerations and Social Impact: As affective computing applications grew, ethical considerations regarding data privacy, consent, and potential biases in emotion recognition systems gained prominence. Researchers and policymakers started addressing these concerns to ensure responsible and fair use of affective computing technologies \cite{Stahl2021, Lagrandeur2015}.

Overall, the historical development of affective computing has seen significant progress, with a shift from early emotion recognition to more sophisticated affective AI systems. As AI continues to advance, affective computing plays a critical role in making technology more centric to human emotions.

2.2 Relevant Research Trends and AI Techniques in Emotion Modeling

In the early stages of emotion recognition research, traditional machine learning techniques like Support Vector Machines (SVM), k-Nearest Neighbors (k-NN), and decision trees were commonly used to classify emotions based on features extracted from facial expressions, speech, and physiological signals. The latest research in 2021 focused on relevant trends and AI techniques that introduced several models applied in emotion recognition and affective computing \cite{Doma2020, Kollias2021}.

*Table SEQ Table * ARABIC 1: Performance metrics for valence classification \cite{Doma2020}*

*Table SEQ Table * ARABIC 2: Performance metrics for arousal classification \cite{Doma2020}*

Tables 1 and 2 show the accuracy %, precision %, recall %, and F1-score % for different machine learning classifiers as a function of time for valence and arousal classification respectively. In \cite{Doma2020} 40x 60s videos were batched into 15s frames and tested for emotion extraction using different machine learning classifiers. Higher scores suggested a better model.

The introduction of deep learning revolutionized emotion recognition, achieving state-of-the-art performance. Convolutional Neural Networks (CNNs) have been successful in facial emotion recognition, while Recurrent Neural Networks (RNNs) and Long Short-Term Memory (LSTM) networks have been used in speech emotion recognition. In addition, researchers started integrating multiple modalities (e.g., facial expressions, speech, and body language) to improve emotion recognition accuracy. Fusion of features from different modalities using late fusion or early fusion techniques has shown promising results. Also, researchers focused on applying transfer learning techniques, such as pretraining models on large datasets and fine-tuning them on smaller emotion-specific datasets, have been employed to improve emotion recognition performance, especially when data is limited. Lately, deep reinforcement learning has been explored in emotion recognition tasks to allow agents to interact with the environment and learn how to recognize emotions from sequential data more effectively \cite{Alswaidan2020, Ahmed2023, Sewak2019}.

*Figure SEQ Figure * ARABIC 2: CNN and RNN used in Speech Recognition*

In figure 2, we explore the architecture of a CNN-LSTM network conceptual graph showing how the neural network operated to extract the arousal and valence attributes in this speech analysis example, leading to emotion extraction from the tape.

In addition to emotion recognition, researchers have focused on emotion generation, where AI systems generate emotionally expressive responses or behaviors to simulate more empathetic interactions \cite{Szelogowski2021}. To enhance the understanding of emotions in a real-world context, researchers have explored methods to incorporate temporal information and contextual cues into emotion recognition models. The development of publicly available emotion datasets, like AffectNet, IEMOCAP, and EmoReact, has facilitated benchmarking and comparison of different AI models for emotion recognition.

Moving on, researchers and psychologists have been focusing on modeling the human experience, that is human affect itself (short-term affect is emotions, mid-term affect is mood, and long-term affect is personality) and how it influences human behaviour. As a result there exists a variety of emotion models of two types: categorical and dimensional models. Dimensional models are of major interest, especially the PAD model, due to its ease to model mathematical equations opposed to a categorical model like Navarasa \cite{Bartneck2017, Perrotta2021, Ps2017}.

*Figure SEQ Figure * ARABIC 3: Models of Emotions \cite{Ps2017}*

Figure 3 shows the classification of emotional models \cite{Ps2017} into Categorical and Dimensional models, showcasing where a few example models sit, including Navarasa model, Plutchik model, and the PAD model.

“A New Layered Model of Affect” or ALMA is the most prominent model of affect in applications involving emotions computing, mapping personality model, mood, and emotions into the PAD space and thus modeling the dynamic interaction and

interplay between personality, mood, and emotions becomes possible through the mapping functions. In the original paper, the OCEAN personality model was used, the OCC model of emotions was used, and it was agreed upon that mood does not have a formal definition in psychology which could be modeled as the other two, thus directly defining mood in the PAD space ($M=[m_a, m_p, m_d]$, $-1 \leq m_a, m_p, m_d \leq 1$) \cite{Li2010}.

*Table SEQ Table * ARABIC 3: Mapping OCC model emotions into PAD space \cite{Li2010}*

Table 3 shows how the OCC model emotions is mapped into the PAD space \cite{Li2010}. Once those emotions are in the PAD space, we could make use of them by studying the interplay and dynamic interaction with the mood PAD attributes as well as personality.

*Figure SEQ Figure * ARABIC 4: Mapping OCC model emotions into PAD space*

Figure 4 shows a 3D diagram representation of the mapped OCC model emotions from table-3 in the PAD space.

3 Emotion Recognition Techniques

Emotion recognition involves analyzing various cues, such as facial expressions, speech patterns, and physiological signals, to infer and classify human emotions. Here are the main methods and approaches used in each of these modalities:

- Facial Expression Analysis:
 - Facial Landmark Detection: This method involves identifying facial landmarks or key points on the face, such as the corners of the mouth, eyes, and nose. The positions of these landmarks are then used as features to recognize facial expressions \cite{Ahmed2023, Chen2015}.
 - Action Unit Analysis: Action Units (AUs) are specific facial muscle movements associated with different emotions. Analyzing the

presence and intensity of these AUs helps in emotion classification \cite{Ahmed2023, Karbauskaite2020}.

- Deep Learning Approaches: Convolutional Neural Networks (CNNs) have been widely used to learn hierarchical representations from raw facial images, enabling accurate facial expression recognition \cite{Ahmed2023, Zhang2020}.
- Speech Analysis:
 - Acoustic Features: Traditional speech analysis methods extract acoustic features such as pitch, intensity, and duration to characterize emotions in speech \cite{Chen2015 }.
 - Mel-Frequency Cepstral Coefficients (MFCCs): MFCCs are commonly used in speech processing and have been effective in capturing emotional content from speech signals \cite{Ahmed2023}.
 - Prosody and Rhythm Analysis: Emotion-related information in speech can also be captured by analyzing prosodic features like pitch variations and rhythm patterns \cite{Shu2018}.
 - Deep Learning Approaches: Recurrent Neural Networks (RNNs), Long Short-Term Memory (LSTM) networks, and Convolutional Neural Networks (CNNs) have been employed for speech emotion recognition tasks \cite{Atila2021}.
- Physiological Signals Analysis \cite{Hasnul2021, VEMPATI2023, Ahmed2023, Chen2015, Shu2018}:
 - Electrodermal Activity (EDA): EDA measures changes in the skin's electrical conductance, reflecting emotional arousal levels.
 - Heart Rate (HR) and Heart Rate Variability (HRV): Changes in heart rate and its variability can be indicative of emotional states.
 - Electromyography (EMG): EMG measures muscle activity and can provide insights into facial expressions and emotional reactions.
 - Electroencephalography (EEG): EEG records brain activity and can help detect emotional states based on brainwave patterns.
 - Deep Learning Approaches: Deep neural networks have been applied to process physiological signals and recognize emotions.

*Figure SEQ Figure * ARABIC 5: PhysioNet Database Sample \cite{Clifford2017}*

Figure 5 shows a sample from a PhysioNet database, which is a collection of biomedical signals databases. This sample is a sample of ECG waveforms.

- Multi-modal Approaches:
 - Combining multiple modalities (e.g., facial expressions, speech, and physiological signals) can improve emotion recognition accuracy, as each modality captures different aspects of emotions.
 - Fusion techniques, such as late fusion (combining decisions from individual modalities) or early fusion (combining features from multiple modalities before classification), are commonly used in multi-modal emotion recognition \cite{Shu2018}.

It's important to note that emotion recognition is a challenging task due to the complexity and subjectivity of emotions. Researchers continue to explore new techniques and approaches, including advanced machine learning and deep

learning methods, to improve the accuracy and robustness of emotion recognition systems across these different modalities.

The table below exhibits the strengths and limitations of the different techniques used for emotion recognition:

Technique	Strengths	Limitations
Facial Expression Analysis:	a. Highly accessible: Facial expressions are observable in real-time and do not require specialized equipment for data collection. b. Universality: Some facial expressions are universally recognized across cultures, making it easier to build cross-cultural emotion recognition models. c. Non-intrusive: Facial expression analysis can be performed without physical contact with the individual.	a. Limited expressivity: Facial expressions might not fully capture the complexity of emotions, especially subtle or mixed emotions. b. Context dependency: Facial expressions can be influenced by the surrounding context, leading to potential misinterpretations of emotions.
Speech Analysis	a. Rich emotional cues: Speech carries a wide range of emotional information, including prosody, tone, and speech rate. b. Easy data collection: Speech samples can be recorded with minimal intrusion,	a. Subjective interpretation: Emotions expressed in speech can be context-dependent and subject to individual interpretation. b. • Noise and variability:

	making it a convenient modality for emotion recognition. b. • Suitable for remote applications: Speech analysis can be conducted remotely, enabling emotion recognition from audio recordings or phone calls.	Environmental noise, accents, and speech variations can introduce challenges in accurately recognizing emotions from speech.
Physiological Signals Analysis	a. Direct measurement of emotional arousal: Physiological signals provide objective indicators of emotional arousal levels. b. Real-time monitoring: Physiological signals can be continuously measured, allowing for real-time emotion recognition. b. • Less susceptible to social desirability bias: Physiological signals are less influenced by social and cultural norms compared to facial expressions or speech.	a. Invasive or intrusive: Some physiological signal measurement methods can be invasive or require physical sensors, making them less practical for certain applications. b. Interpretation challenges: Linking physiological responses to specific emotions can be complex and context-dependent. c. • No direct mapping to discrete emotions: Physiological signals might not directly correspond to distinct emotions, making classification more challenging.
Multi-modal Approaches	a. Enhanced accuracy:	a. Increased complexity:

	Combining multiple modalities can capture complementary information, improving emotion recognition performance. b. Robustness: Multi-modal approaches are more resilient to noise and variations inherent in single-modal data.	Integrating multiple modalities requires additional processing and feature fusion, increasing computational complexity. b. • Data synchronization: Properly aligning data from different modalities can be challenging, especially in real-world scenarios.
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Each technique has its unique strengths and limitations, and the choice of approach depends on the specific use case, available data, and the desired level of accuracy and real-world feasibility. Researchers often explore hybrid approaches and innovative fusion techniques to address the limitations and improve the overall performance of emotion recognition systems.

4 Emotion Generation Techniques

4.1 The two main categories of Emotional Models

Machine learning and deep learning models have been extensively used for affective emotion modeling, enabling systems to recognize and understand human emotions. Here are some of the commonly used models in each category:

1. Machine Learning Models for Affective Emotion Modeling \cite{Kollias2021}:
 - Support Vector Machines (SVM): SVM is a popular classification algorithm used in emotion recognition tasks, especially for facial expression analysis and speech emotion recognition. It works well with well-defined feature sets and can handle non-linear relationships.
 - k-Nearest Neighbors (k-NN): k-NN is a simple and intuitive algorithm used for emotion recognition, particularly in multi-modal settings. It

classifies emotions based on the majority vote of the k-nearest data points in the feature space.

- Decision Trees: Decision trees are used for feature selection and emotion classification. They create a hierarchical structure to map features to specific emotions.
- Random Forest: Random Forest is an ensemble learning method that combines multiple decision trees to improve accuracy and robustness in emotion recognition.

2. Deep Learning Models for Affective Emotion Modeling:

- Convolutional Neural Networks (CNNs): CNNs have been extensively used for facial expression analysis. They can automatically learn relevant features from raw facial images, making them highly effective in capturing intricate facial expressions \cite{Zhang2020}.
- Recurrent Neural Networks (RNNs) and Long Short-Term Memory (LSTM) networks: RNNs and LSTM networks are commonly used for sequence data, such as speech and physiological signals. They can model temporal dependencies and are well-suited for emotion recognition from sequential data \cite{Chen2015}.

*Figure SEQ Figure * ARABIC 6: (a) basic LSTM cell structure; (b) LSTM network architecture \cite{Guo2020}*

Figure 6 shows the basic structure of a LSTM cell with its mathematical equations and inputs and outputs, and the architecture of a LSTM network used for classification problems.

- **Transformer-based Models:** Transformer-based models, like the Transformer architecture used in natural language processing tasks, have also been applied to emotion recognition in textual data. These models can learn contextual representations and capture long-range dependencies in language, improving emotion understanding \cite{Kalyan2021}.

- Deep Reinforcement Learning: Deep reinforcement learning has been explored in affective emotion modeling to train agents to interact with environments and learn emotions from sequential data, such as dialogues and facial expressions \cite{Bartnek2017}.
- Autoencoders and Variational Autoencoders (VAEs): Autoencoders and VAEs have been used for emotion feature learning and generation tasks, enabling emotion synthesis and manipulation \cite{Dutta2021, Kingma2019}.
- Multi-modal Fusion Models: Deep learning techniques are extensively used in multi-modal emotion recognition, where features from different modalities, such as facial expressions, speech, and physiological signals, are fused to improve overall emotion recognition accuracy \cite{Ahmed2023}.

*Figure SEQ Figure * ARABIC 7: Architecture of Autoencoder network \cite{Dutta2021}*

Figure 7 shows the architecture of an Autoencoder, a neural network that learns to compress data (h_E) into a compact representation (z) and then reconstructs the original data from that representation (h_D) \cite{Dutta2021}.

It consists of an encoder and a decoder, and is trained to minimize the difference between the input and the reconstructed output.

It's important to note that the choice of model depends on the specific affective emotion modeling task, the available data, and the level of interpretability required. Deep learning models have shown remarkable success in learning complex patterns and feature representations, but they may require more data and computational resources compared to traditional machine learning models. Researchers continue to explore novel architectures and hybrid approaches to further advance the field of affective emotion modeling.

4.2 NLP the crown jewel of emotion understanding

Natural Language Processing (NLP) plays a crucial role in both sentiment analysis and emotion understanding, as it allows AI systems to interpret and process textual data to determine the sentiment or emotional content expressed in language. Here's how NLP is utilized in these two tasks \cite{Ishaq2020, Obiedat2021, Jagdale2019, Alamoudi2021, Poria2019}:

1. Sentiment Analysis: Sentiment analysis, also known as opinion mining, aims to determine the sentiment or attitude expressed in a piece of text, such as a review, tweet, or customer feedback. NLP techniques are employed to extract and analyze textual features to infer the sentiment:
 - Text Preprocessing: NLP is used for text preprocessing tasks like tokenization, lowercasing, and removing stopwords, punctuation, and special characters to prepare the text for analysis.
 - Feature Extraction: NLP techniques help extract relevant features from the text, such as bag-of-words, n-grams, and word embeddings (e.g., Word2Vec or GloVe). These features capture the context and semantic meaning of words, which is crucial for sentiment classification.
 - Machine Learning Models: After feature extraction, machine learning algorithms like Support Vector Machines (SVM), Naive Bayes, or deep learning models (e.g., LSTM, CNN, or Transformer-based models) are used to classify the sentiment as positive, negative, or neutral.

- Aspect-Based Sentiment Analysis: NLP enables finer-grained sentiment analysis by identifying sentiment towards specific aspects or entities mentioned in the text.

*Figure SEQ Figure * ARABIC 8: Sentiment Analysis Conceptual Figure*

Figure 8 shows a concept design of the NLP process of sentiment analysis. First, the text is tokenized, then sent to preprocessing, where removal of stop-words and stemming and lemmatization occurs, then it goes to feature extraction where the sentiments are finally extracted and are later evaluated.

2. Emotion Understanding: Emotion understanding involves identifying and categorizing the emotions expressed in textual data. NLP techniques help capture the nuances of emotions conveyed in language:
 - Emotion Lexicons: NLP researchers create emotion lexicons or dictionaries, mapping words to specific emotions. These lexicons help identify emotional terms and their intensities in text.
 - Sentiment-Emotion Distinction: NLP models are designed to differentiate between sentiment (e.g., positive/negative) and emotions (e.g., joy, sadness) expressed in text, as they have distinct characteristics.

- Emotion Classification: Emotion understanding involves building models that can classify text into different emotion categories, such as joy, sadness, anger, fear, etc.
- Contextual Understanding: Advanced NLP models, like contextual word embeddings (e.g., BERT, GPT), are utilized to capture the context and relationships between words, leading to more accurate emotion understanding.
- Emotion Generation: NLP models can be used for emotion generation tasks, generating emotionally expressive responses in chatbots or virtual assistants to create more empathetic interactions \cite{Sonlu2021}.

Both sentiment analysis and emotion understanding are essential in various applications, such as social media monitoring, market research, customer service, mental health support, and content analysis. NLP techniques continuously advance, allowing AI systems to better interpret human language and provide more contextually aware and emotionally intelligent responses.

5 Challenges and Ethical Considerations

5.1 Challenges in Modeling Emotions

Accurately modeling human emotions in AI systems presents several challenges due to the complexity and subjectivity of emotions. Additionally, there are potential biases that can arise in AI models used for emotion recognition and understanding. Here are some key challenges and potential biases \cite{Mark2020, Hagendorff2020, Stahl2021, Lagrandeur2015, Beil2019}:

a. Challenges in Accurately Modeling Human Emotions:

- Subjectivity and Context: Emotions are highly subjective and can be influenced by cultural, social, and personal factors. Capturing the nuances of emotions in different contexts can be challenging.
- Ambiguity and Mixed Emotions: Emotions are not always discrete and can be ambiguous or mixed. People may experience multiple emotions simultaneously, making it difficult for AI systems to accurately classify emotions.

- Lack of Universal Expression: Not all emotions are universally expressed in the same way across cultures. Some facial expressions and gestures can have different interpretations in different societies.
- Limited Data and Generalization: Building emotion recognition models requires vast and diverse datasets to ensure generalization across various populations, ages, and cultural backgrounds.
- Emotion Regulation: People may regulate or mask their emotions in certain situations, making it harder for AI systems to detect the true emotional state accurately.
- Privacy and Ethical Concerns: Emotion recognition technologies raise privacy concerns, as emotions can reveal sensitive information about individuals without their consent.

b. Potential Biases in AI Models:

- Data Bias: If emotion recognition models are trained on biased datasets, they may inherit and amplify biases present in the data, leading to inaccurate or unfair emotion predictions.
- Representation Bias: Biases may arise from the features and representations learned by AI models. If the data used to train the model disproportionately represents certain groups, it can lead to biased predictions.
- Cultural Bias: Emotion recognition models trained on data from one culture may not accurately generalize to other cultures, as cultural expressions of emotions can vary significantly.
- Gender Bias: AI models may exhibit gender bias in emotion recognition, as facial expressions and other cues can be differently perceived and interpreted based on gender stereotypes.
- Social Bias: Biases related to social and demographic factors may influence emotion recognition, impacting the accuracy of emotion predictions across different demographics.
- Feedback Loop Bias: If emotion recognition systems are used in applications like content recommendation or personalized advertising, biased predictions can lead to further reinforcement of existing biases in user interactions.

c. Addressing Challenges and Biases: Addressing these challenges and biases requires a multidisciplinary approach and careful consideration throughout

the development of emotion recognition AI systems. Some steps to mitigate these issues include:

- Diverse and representative datasets for training AI models.
- Regular evaluation of AI systems for potential biases and fairness.
- Transparent reporting of AI system limitations and potential biases.
- Ethical considerations in the use and deployment of emotion recognition technologies.
- Collaboration with psychologists, sociologists, and ethicists to understand the complexities of human emotions and their expression.

It's essential for AI researchers, developers, and policymakers to be vigilant in recognizing and addressing these challenges and biases to ensure that emotion modeling technologies are ethical, unbiased, and inclusive in their applications.

5.2 Ethical Considerations

Using affective AI systems raises various ethical implications that need careful consideration. Here are some of the key ethical concerns associated with the deployment of affective AI:

- **Privacy and Data Protection:** Affective AI systems often require sensitive data, such as facial expressions, speech patterns, or physiological signals, to infer emotions. Safeguarding this data and ensuring user consent is essential to protect individual privacy.
- **Informed Consent and Transparency:** Users must be adequately informed about the use of affective AI and its potential impact on their data and experiences. Transparency in how emotions are analyzed and used is crucial for establishing trust.
- **Bias and Fairness:** Affective AI models can inherit biases present in the training data, leading to inaccurate or biased predictions. Addressing and mitigating bias is crucial to avoid unfair or discriminatory outcomes.
- **Emotional Manipulation:** AI systems capable of understanding emotions can be used for emotional manipulation, influencing users' emotions for commercial or political gains. Safeguards are necessary to prevent malicious exploitation.
- **Psychological Well-being:** Emotionally intelligent AI could impact users' emotional well-being. It is crucial to ensure that affective AI systems

- promote positive emotions and support mental health rather than causing emotional distress.
- Human Dignity and Empathy: Affective AI systems might simulate empathy, but it is essential to recognize the fundamental difference between machine responses and genuine human empathy. Treating emotions as commodities could undermine human dignity.
 - User Autonomy and Control: Users should have control over how their emotional data is used and shared. Ensuring user autonomy allows individuals to make informed decisions about interacting with affective AI systems.
 - Unintended Consequences: Affective AI systems can have unintended consequences, such as reinforcing stereotypes, promoting emotional dependency, or affecting interpersonal relationships. Ethical considerations are necessary to minimize negative impacts.
 - Accountability and Responsibility: Designers and developers of affective AI systems must take responsibility for the technology's impact on users and society. Establishing clear accountability mechanisms is crucial in case of harmful outcomes.
 - Dual-Use Concerns: Affective AI could be used in both beneficial and harmful ways. Ethical considerations should include discussions on how to prevent its misuse in surveillance or manipulative practices.

Addressing the ethical implications of affective AI systems requires collaboration among AI researchers, policymakers, ethicists, and the broader society. Developing and adhering to ethical guidelines, incorporating diverse perspectives, and prioritizing human well-being are essential steps in ensuring the responsible and ethical use of affective AI technologies.

6 Applications of Affective AI

Affective AI has found numerous real-world applications across various domains. Here are some examples of how affective AI is used in virtual assistants, chatbots, healthcare, and education:

1. Virtual Assistants and Chatbots \cite{Sonlu2021, Liu-Thompkins2022}:
 - Emotional Response: Affective AI allows virtual assistants and chatbots to recognize and respond to users' emotional states. They can adjust

- their tone, language, and responses based on the detected emotions, creating more empathetic and personalized interactions.
- Customer Service: Affective AI is used in chatbots for customer service interactions. These chatbots can understand and address customers' emotions, improving customer satisfaction and loyalty.
 - Virtual Therapy: Emotion-aware chatbots are used in virtual therapy to provide emotional support and mental health assistance. They can detect emotional cues in text or voice and offer appropriate responses to users.

*Illustration SEQ Illustration * ARABIC 1: ALMA virtual bot \cite{Gebhard2005}*



This is an illustration showcasing the ALMA research paper's virtual chatbots.

2. Healthcare \cite{Hasnul2021, LEE2021, Yang2022}:

- Mental Health Monitoring: Affective AI is applied in mental health monitoring to detect early signs of distress or mental health issues. Analyzing emotional cues from speech, text, or facial expressions can

help identify emotional patterns that may indicate psychological well-being.

- Pain Management: In healthcare settings, affective AI systems can assess patients' pain levels through facial expressions and physiological signals, aiding in pain management and medication decisions.
- Robotic Companions: Emotionally intelligent robots can be used as companions for elderly individuals or patients with cognitive impairments, providing emotional support and reducing loneliness.

*Illustration SEQ Illustration * ARABIC 2: List of AI applications in Healthcare*
\cite{Yang2022}

This

concept map lists the applications of AI in healthcare sector, including Biomedical Research, Translational Research, Clinical Practice, and other Auxiliary fields.

3. Education \cite{Foutsitzi2019}:

- Adaptive Learning: Affective AI is integrated into educational platforms to enable adaptive learning experiences. By detecting students'

emotions during learning activities, the system can adjust the difficulty level or provide additional support based on individual needs.

- **Emotion-Aware Tutoring:** Emotion-aware tutoring systems use affective AI to understand students' emotional responses during the learning process. This enables more personalized and effective teaching strategies.
- **Student Engagement:** Affective AI can assess students' engagement and emotional involvement during lectures or online courses. The insights gained can help educators improve teaching methods and content delivery.

4. Entertainment and Gaming \cite{SETIONO2021, Robinson2020}:

- **Interactive Experiences:** In gaming and virtual reality, affective AI enhances user experiences by enabling characters and environments to respond emotionally to players' actions and choices.
- **Storytelling:** Affective AI is used in interactive storytelling, where the narrative adapts based on the player's emotions and decisions, creating more immersive and emotionally engaging experiences.

5. Human-Robot Interaction \cite{Toichoa2021}:

- **Emotionally Intelligent Robots:** Affective AI enables robots to recognize and respond to human emotions, improving human-robot interactions in various contexts, such as service robotics and caregiving.

These real-world applications of affective AI demonstrate the technology's potential to create more empathetic, responsive, and emotionally intelligent interactions in diverse settings, enriching user experiences and supporting various aspects of human life. However, careful consideration of ethical concerns and user consent is essential to ensure responsible and beneficial use of these affective AI applications.

7 Future Directions and Research

7.1 Areas of interest

Affective computing is a dynamic and evolving field with several promising research areas and potential advancements. Here are some key areas of interest:

- **Multimodal Fusion:** Improving multimodal fusion techniques to better integrate information from multiple modalities, such as facial expressions,

speech, and physiological signals, to achieve more accurate and robust emotion recognition \cite{Ahmed2023}.

- Explainable Affective AI: Developing models that can explain their emotion recognition decisions, making AI systems more transparent, interpretable, and accountable, particularly in sensitive domains like healthcare and law enforcement \cite{Yang2022}.

*Figure SEQ Figure * ARABIC 9: Ideal Model concerning Explainable AI \cite{Yang2022}*

Figure 9 plots the performance of famous AI models versus its explainability. The most ideal model is one that is highly explainable has the highest performance. However, our models range from highly performing and least explainable (DL) to highly explainable but least performant (basic ML models). Hence the quest to find an ideal explainable AI model.

- Contextual Understanding: Advancing NLP models to better understand emotions in context and conversation, considering the flow of dialogue and situational context for more accurate emotion understanding \cite{Ishaq2020}.
- Emotion Generation: Enhancing emotion generation models to create emotionally expressive responses in AI systems, fostering more empathetic and engaging interactions with users.
- Long-Term Emotion Modeling: Exploring methods to model emotions over extended periods to capture emotional states' dynamics and changes, leading to a deeper understanding of emotional trajectories \cite{Li2010,Thalmann2015}.
- Ethical Guidelines and Frameworks: Developing comprehensive ethical guidelines and frameworks for the responsible use of affective AI, addressing privacy, bias, fairness, consent, and the potential impact on mental health \cite{Hagendorff2020}.
- Personalization and Individual Differences: Customizing affective AI systems to adapt to individual users' emotional responses and preferences, providing more personalized and tailored emotional interactions \cite{Liu-Thompkins2022}.
- Emotionally Intelligent Robots: Advancing the capabilities of emotionally intelligent robots in various domains, such as healthcare, education, and human-robot interaction, to provide more empathetic and supportive interactions \cite{Chuah2021}.
- Generalizability and Cross-Cultural Understanding: Ensuring that emotion recognition models are more generalizable across diverse populations and cultures, considering cross-cultural variations in emotional expressions \cite{Stahl2021}.
- Emotion Detection in Real-World Settings: Extending emotion recognition to real-world settings with complex backgrounds and noisy environments, enabling emotional understanding in everyday situations.
- Human-Robot Emotional Bond: Investigating how affective AI can contribute to the development of emotional bonds between humans and robots, promoting social acceptance and cooperation with AI systems \cite{Chuah2021}.

- Emotion-Aware Systems for Special Needs: Designing emotion-aware systems for individuals with special needs, such as autism spectrum disorder or social anxiety, to provide tailored support and intervention \cite{LEE2021, devaram2020}.

These research areas have the potential to advance the field of affective computing and lead to more sophisticated and socially intelligent AI systems capable of understanding and responding to human emotions in a responsible and empathetic manner. As the field progresses, addressing ethical considerations and ensuring user privacy and well-being remain critical priorities for the adoption and deployment of affective AI technologies.

7.2 Emulating human empathy and engagement

Integrating affective models with other AI systems is a key step towards achieving more human-like interactions and making AI systems socially intelligent. By incorporating emotional understanding and responses, AI can emulate human empathy and engagement, resulting in more natural and emotionally resonant interactions. Here's how affective models can be integrated with other AI systems:

- Virtual Assistants and Chatbots:
 - a. Affective Understanding: By integrating emotion recognition capabilities, virtual assistants and chatbots can recognize user emotions in real-time through speech or text, adapting their responses accordingly.
 - b. Emotional Responses: Affective models can enable virtual assistants to respond empathetically, expressing appropriate emotions in their replies, leading to more engaging and human-like conversations.
 - c. Emotion-Driven Behavior: Virtual assistants can adapt their behavior based on users' emotional states. For instance, if a user is feeling stressed, the assistant can adjust its pace and tone to provide a more calming and supportive response.
- Human-Robot Interaction:
 - a. Emotional Responsiveness: Emotionally intelligent robots can recognize and respond to human emotions through facial expressions, speech, or physiological cues, leading to more emotionally engaging interactions.

- b. Empathetic Behavior: Robots equipped with affective models can exhibit empathetic behaviors, expressing concern or understanding when a user shares emotions or experiences.
 - c. Emotional Support: Affective models enable robots to provide emotional support to users, such as patients in hospitals or elderly individuals, by recognizing and responding to their emotional needs.
- Entertainment and Gaming:
 - a. Dynamic Character Interaction: In gaming and interactive experiences, affective models allow characters to dynamically respond to players' emotions, creating more immersive and emotionally engaging narratives.
 - b. Adaptive Storytelling: Games can adapt their storylines based on the player's emotional responses, leading to personalized and emotionally resonant gaming experiences.
- Personalized Services:
 - a. Emotional Profiling: Integrating affective models with personalized services allows systems to build emotional profiles of users, tailoring recommendations, and responses to their emotional preferences.
 - b. Emotion-Driven Recommendations: Affective AI can influence product or content recommendations, considering users' emotional responses to improve relevance and satisfaction.
- Healthcare and Mental Health Support:
 - a. Emotionally Intelligent Medical Systems: Affective models can help healthcare systems detect patients' emotional states, allowing for more empathetic care and support during medical interactions.
 - b. Virtual Therapists: In mental health support, affective models enable virtual therapists to recognize and respond appropriately to patients' emotional needs, providing emotional support and feedback.

By integrating affective models with other AI systems, we can create more emotionally aware and socially intelligent AI, leading to improved user experiences, enhanced communication, and the potential for more meaningful and empathetic interactions with technology. However, it is crucial to address ethical considerations, such as privacy and data usage, and ensure responsible and transparent implementation of these emotionally intelligent AI systems.

8 Conclusion

In conclusion, affective human emotion modeling is a transformative aspect of AI research, paving the way for emotionally intelligent AI systems that are more human-like, empathetic, and contextually aware. By prioritizing human emotions and experiences, affective AI holds immense potential to shape a future where machines coexist harmoniously with humans, enhancing their lives and fostering a more empathetic and inclusive AI landscape.

Understanding and modeling emotions are essential for AI systems to express empathy and compassion. The paper explores how affective AI can emulate empathetic responses in domains like virtual therapy, customer service, and mental health support, contributing to users' emotional well-being. Contextual understanding is a critical aspect of affective human emotion modeling. AI systems that can interpret emotions in context adapt their responses, ensuring relevance and appropriateness in various situations.

Additionally, the review paper highlights the ethical implications of affective AI, emphasizing the need for transparent and responsible use of emotion modeling technologies. Ensuring user privacy and avoiding emotional manipulation are paramount in developing trustworthy and ethical AI systems. The potential applications of affective human emotion modeling in AI are diverse, including healthcare, education, entertainment, and human-robot interaction. Emotionally intelligent robots can provide emotional support and companionship, making a significant impact in healthcare and elderly care.

The review paper explores the field of affective computing, which focuses on developing AI systems capable of recognizing, interpreting, and responding to human emotions. It discusses the significance of affective computing in AI research and its applications across various domains.

The paper highlights the importance of understanding and modeling human emotions for AI systems to enhance their human-like interactions and empathetic responses. It discusses how AI can analyze and recognize emotions through various modalities, such as facial expressions, speech, and physiological signals.

Lastly, the review paper explores the real-world applications of affective AI, such as virtual assistants, chatbots, healthcare, and education. It showcases how

integrating affective models with other AI systems can lead to more human-like interactions, emotionally engaging experiences, and personalized services.

Finally, the paper underscores the interdisciplinary nature of affective AI research, where collaboration between AI researchers and experts in social sciences fosters a deeper understanding of human emotions and behavior.

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[further reading.. could be used]

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