

# REGISTRATION DISCLOSURE

## [ InvestAscent Wealth Advisors Pvt. Ltd. ]

### SECTION 1: ABOUT US

**Firm Name:** InvestAscent Wealth Advisors Pvt. Ltd.

**Phone:** +91 9901411744

**Email:** [operations@investascent.com](mailto:operations@investascent.com)

**Website:** <https://www.investascent.com>

**Weblink:** <https://bit.ly/4tD399P>

**PAN:** AACCI1240B

**GSTIN:** 29AACCI1240B1Z6

**Registered Office:** 1010, 4th Floor, 26th Main Rd, Above Union Bank of India, 4th T Block East, Jayanagar  
9th Block, Jayanagar, Bengaluru, Karnataka 560041

### SECTION 2: OUR REGISTRATIONS

- **SEBI Registration Details**

Entity Name: InvestAscent Wealth Advisors Pvt. Ltd.

SEBI Reg. No.: INA200015857

- **AMFI Registered Mutual Fund Distributor**

ARN: ARN-76019

EUIN: E-027602

Validity: 31/08/2023 to 30/08/2026

- **AMFI Registered SIF Distributor**

SIF Reg.: ARN-76019

Validity: 31/08/2026 to 30/08/2029

- **APMI Registered PMS Distributor**

APMI Reg.: APRN- 01582

Validity: 16/10/2025 to 15/10/2028

- **Authorised Person of Motilal Oswal Financial Services Limited**

AP Reg.: BSE AP-01044601104973 | NSE AP-029776423 | MCX/AP/164416

### SECTION 3: EMPANELLED AMCS (MUTUAL FUND)

#	Name	SEBI Reg. No.
1	Aditya Birla Sun Life Mutual Fund	MF/020/94/8
2	Axis Mutual Fund	MF/061/09/02
3	Bajaj Finserv Mutual Fund	MF/078/23/04
4	Bandhan Mutual Fund	MF/042/00/3
5	Baroda BNP Paribas Mutual Fund	MF/018/94/2
6	Canara Robeco Mutual Fund	MF/004/93/4
7	DSP Mutual Fund	MF/036/97/7
8	Edelweiss Mutual Fund	MF/057/08/02
9	Franklin Templeton Mutual Fund	MF/026/96/8
10	HDFC Mutual Fund	MF/044/00/6
11	Helios Mutual Fund	MF/079/23/05
12	HSBC Mutual Fund	MF/046/02/5
13	ICICI Prudential Mutual Fund	MF/003/93/6
14	Invesco Mutual Fund	MF/052/06/01
15	JM Mutual Fund	MF/015/94/8
16	Kotak Mutual Fund	MF/038/98/1
17	Mirae Mutual Fund	MF/055/07/03
18	Motilal Oswal Mutual Fund	MF/063/09/04
19	Nippon India Mutual Fund	MF/022/95/1
20	PGIM Mutual Fund	MF/065/10/02
21	PPFAS Mutual Fund	MF/069/12/01

22	Quant Mutual Fund	MF/028/96/4
23	Samco Mutual Fund	MF/077/21/03
24	SBI Mutual Fund	MF/009/93/3
25	Sundaram Mutual Fund	MF/034/97/2
26	Tata Mutual Fund	MF/023/95/9
27	UTI Mutual Fund	MF/048/03/01
28	WhiteOak Mutual Fund	MF/074/18/02

#### SECTION 4: EMPANELLED AMCS (SIF)

#	Name	SEBI Reg. No.
1	ICICI Prudential SIF	MF/003/93/6
2	SBI SIF	MF/009/93/3
3	Dyna SIF	

#### SECTION 5: EMPANELLED PORTFOLIO MANAGERS (PMS)

#	Name	SEBI Reg. No.
1	ASK Investment Managers Limited	INP000008066
2	ICICI Prudential Asset Management Co.	INP000000373
3	Motilal Oswal Asset Management Co.	INP000000670
4	White Oak Capital Management Consultants	INP000007766

#### SECTION 6: EMPANELLED GIFT CITY

#	Name	SEBI Reg. No.
1	Motilal Oswal	IN/AIF3/13-14/0044
2	Mirea Asset	IN/AIF2/18-19/0541
3	DSP	IN/AIF2/25-26/2063

## SECTION 7: STOCK BROKER

### **Motilal Oswal Financial Services Limited**

SEBI Reg. No.: INZ-000158836

AP Reg.: BSE AP-01044601104973 | NSE AP-029776423 | MCX/AP/164416

Member: BSE | NSE | MCX

## SECTION 8: GRIEVANCE CONTACT

### **GRIEVANCE REDRESSAL**

#### **Level 1 — Grievance Redressal Officer (GRO):**

Snehal B V

Email: [complaints@investascent.com](mailto:complaints@investascent.com)

Phone: +91 9448060065

Resolution Timeline: 7 working days

#### **Level 2 — Principal Entity:**

Contact the relevant AMC / PMS / AIF / Broker investor service desk.

#### **Level 3 — SEBI SCORES Portal:**

<https://scores.sebi.gov.in/>

#### **Level 4 — SMART ODR:**

<https://smartodr.in/>

## SECTION 9: DISCLAIMERS (ALL SEGMENTS)

### Mutual Fund Disclaimer

*Mutual fund investments are subject to market risks. Please read the Scheme Information Document (SID), Statement of Additional Information (SAI), and Key Information Memorandum (KIM) carefully before investing. Past performance is not indicative of future returns. NAVs may fluctuate. No guaranteed or assured returns. We deal in Regular Plans only.*

### SIF Disclaimer

*Investments in Specialized Investment Fund involve relatively higher risk including potential loss of capital, liquidity risk and market volatility. SIF strategies may use derivatives and leverage which can amplify both gains and losses. Please read all investment strategy related documents carefully before making the investment decision. SIF products are suitable only for eligible investors as per SEBI regulations.*

### Securities Market Disclaimer

*Investments in securities market are subject to market risks, read all the related documents carefully before investing. All stock broking, trading, settlement, and related activities are carried out by Motilal Oswal Financial Services Limited (SEBI Reg: INZ-000158836) and governed by the Stock Broker's policies and SEBI regulations. InvestAscent Wealth Advisors Pvt. Ltd. acts only as an Authorised Person (AP) of Motilal Oswal Financial Services Limited. AP Reg.: BSE AP-01044601104973 | NSE AP-029776423 | MCX/AP/164416.*

### PMS Disclaimer

*Investing in Portfolio Management Services (PMS) involves significant risk including potential loss of capital, concentration risk, and liquidity risk. Minimum investment: Rs. 50 lakh as per SEBI regulations. InvestAscent Wealth Advisors Pvt. Ltd. is an APMI Registered PMS Distributor (APRN-01582) — NOT the Portfolio Manager. Actual portfolio management lies with the SEBI-registered Portfolio Manager. Please read the PMS Disclosure Document carefully before investing.*

