

Critical Control Audit Checklist

This audit is designed to help you perform a gap analysis of your Critical Controls against the Impress Solutions Industry Standard.

If you need help, contact us at admin@impresssolutions.com.au or 1300 024 482.

Criteria	Finding
Has the Critical Control been identified via a Risk Assessment for a Critical Risk (e.g. Bowtie Analysis, WRAC)?	☐ Yes ☐ No
Is the Critical Control an Act, Object or a System?	☐ Yes ☐ No
Is the Critical Control Specific, Measurable and Auditable? (Could you audit it?)	☐ Yes ☐ No
Is the Critical Control intended to either prevent or mitigate a Critical Risk?	☐ Yes ☐ No
Is the Critical Control documented within the Management System? (E.g. Safety Management System, Maintenance Management System)	☐ Yes ☐ No
Has the Critical Control been assessed to determine it's not either; 1) A Support Activity (An activity that maximises the health of the Control), or 2) A Verification Activity (An activity that ensures the control is in place)	☐ Yes ☐ No
Has an effectiveness Assessment been performed on the Critical Control? If yes, does the Critical Control meet the organisations minimum threshold for Effectiveness?	☐ Yes ☐ No
Is the Critical Control independent of the Controls on the same pathway (Bowtie Analysis specific question)	☐ Yes ☐ No
Have the Failure Modes (Erosion Factors) been identified? Have Failure Prevention Strategies for each failure mode have been identified.	☐ Yes ☐ No
Has a maximum of 5 Critical Controls per Critical Risk been identified?	☐ Yes ☐ No