

ESAC data/workflow task group work - Spring 2021

Context

Building on and out from the current [ESAC workflow recommendations](#), establishing community guidelines relative to other data and workflow-related aspects of transformative and open access agreements will facilitate publisher adoption, generate more efficiency in our own work, inform and empower the growing number of institutions who are just approaching this kind of agreement, and exercise timely and positive influence in the rapidly evolving scholarly communication landscape.

Task objectives

Create a visualization of the complete publishing pipeline/cycle through the lens of ESAC, articulating the various phases and checkpoints that are relevant from "from both data and workflow perspectives

Map the existing community guidelines (minimal requirements, resources, tools) and identify gaps, prioritizing and articulating these into specific tasks for follow-up work by ESAC working groups in the course of 2021.

Tasks might include refining and expanding current guidelines or creating new guidelines that would eventually be rolled out to the community and beyond.

Outputs would be shared with the ESAC Steering Committee and, subsequently, the ESAC community at large.

Participants

Dér, Ádám <der@mpdl.mpg.de>; Sikora, Adriana <sikora@mpdl.mpg.de>;
'jens.aasheim@unit.no'; 'Ellen.Finnie@ucop.edu'; 'matthew.willmott@ucop.edu';
'Camilla.Lindelow@kb.se'; 'najko.jahn@sub.uni-goettingen.de'; 'lisa.loven@kb.se';
'misv@kb.dk'; Pernille Hammelsø <PHA@kb.dk> 'Vilén, Timo J' <timo.vilen@helsinki.fi>;
'Tran.Ha@ucop.edu'; 'rita.pinhasi@univie.ac.at'; 'daniel.albertsson@slu.se';
'bernhard.schubert@univie.ac.at'

Process

Through an initial brainstorm, the group identified a framework for approaching the task, dividing the publishing cycle into three phases. Based on the expertise and preference of each participant, sub-groups were created, focusing on each phase, to map out the existing community guidelines (minimal requirements, resources, tools, etc.) relevant to their assigned phase. In the course of their work, efforts were made to review the phase from the perspective of multiple stakeholders, ie funder, author, publisher/third party service provider, library/consortium, etc. Once this work was complete, the sub-groups shared the results of their work with the broader group and participants were given time to contribute further to all of the outputs before considering them final.

Phase 1: Pre-negotiation and negotiation

Participants: Mat, Timo, Tran, Rita, Jens, Adam

Phase 2: Agreement implementation, author/article journey, library/article journey

Participants: Michael, Pernille, Adriana, Tran, Lisa, Bernhard

Phase 3: Assessment and monitoring

Participants: Najko, Tran, Jens, Camilla, Michael

Outputs

The outputs of the sub-groups, to some degree still a work in progress, are accessible in the links below.

Phase 1: Pre-negotiation and negotiation

<https://docs.google.com/document/d/1h4P5vILvSDQtHnXMjRF1IBXJ1Ss2Z7xnFNrlZuPDaTk/edit#>

Phase 2: Agreement implementation, author/article journey, library/article journey

<https://docs.google.com/document/d/10PtJH3yqnFr3hFckV6ddfm2wYnNua3QEpeYa36sTicA/edit?usp=sharing>

Phase 3: Assessment and monitoring (work in progress)

https://jamboard.google.com/d/1FzeHfFqa5BuPW0Ps_VaiZPaXgXMvOC3Ad8VdfXc6Rdc/edit?usp=sharing.

We welcome further comments from the ESAC Advisory Group on this work. In the meantime, the content produced by the sub-groups will feed into planned expansion of the ESAC website so as to deliver an improved orientation on transformative agreements.

Further work and next steps

Based on the mapping exercise, the group identified gaps and proposed further ESAC efforts to be carried forward to produce one or more of the community resources listed below. To this end, ESAC is launching area-specific working groups. A number of the task group participants have volunteered to either lead or participate in these working groups, and we welcome further suggestions of participants or WG leads. Once launched, the WG leads would coordinate activities and meetings and report on their progress to the ESAC Advisory Group regularly.

ESAC Community Resources (in different stages of development)

Glossary / sample definitions related to transformative agreements

Transformative and Open Access Model License (see Agreement terms in the ESAC Reference Guide to Transformative Agreements)

Workflow assessment checklist

Good practice for evaluating publisher portfolios

Good practice for enhancing and refining journal title lists

Good practice for comparing institutional and publisher publication data

Good practice for successful implementation, ie communications and engagement

ESAC Working Groups

metadata requirements, good practice and standards

workflow requirements, good practice and assessment

agreement characteristics, terms and language

communications and stakeholder engagement