NWBO

Rights and patents. DCVax, ATL-DC and UCLA combination therapy

Excerpts from November 9th. Discussion on Twitter between Adam Feuerstein and ATLnsider

Adam Feuerstein suggests, that NWBO has no rights to UCLA combination therapy involving dendritic cell vaccine + anti-PD1 antibody. To back him up he attaches two emails he says are from UCLA, but with no indication of sender.

The mails indicated NWBO has NOT the licensing rights for the ATL-DC vaccine used with the checkpoint inhibitor, that the licensing rights was expired.



Michael Bigger @biggercapital · Nov 9, 2020

The tumor elimination slide is a massive all in directional commitment from Linda Liau. We know where her mind is at. Betting against that? Are you f....n kidding me. \$NWBO #foundational



Hi Adam,

Yes, you are correct on both fronts. The structure and manufacturing process for ATL-DC and "DC-VAX" are the same & Northwest Bio has the licensing rights to DC-VAX for the indication being pursued in the Phase III study but NOT for the ATL-DC vaccine in combination with a checkpoint inhibitor.

•••

Hi Adam,

We were able to confirm that UCLA's licensing agreement with NWBio has expired.





Trial record 8 of 10 for: DCVax

■ Previous Study | Return to List | Next Study ■

Autologous Dendritic Cells Pulsed With Tumor Lysate Antigen Vaccine and Nivolumab in Treating Patients With Recurrent Glioblastoma

The safety and scientific validity of this study is the responsibility of the A study sponsor and investigators. Listing a study does not mean it has been evaluated by the U.S. Federal Government. Read our disclaimer for details. ClinicalTrials.gov Identifier: NCT03014804

Recruitment Status 6: Withdrawn (Final contract negotiations) First Posted 1 : January 9, 2017

Last Update Posted 1 : July 24, 2020

Sponsor:

Jonsson Comprehensive Cancer Center

Collaborators:

Northwest Biotherapeutics

Bristol-Myers Squibb Brain Tumor Funders Collaborative

Information provided by (Responsible Party):

Jonsson Comprehensive Cancer Center

Previous Study | Peturi to List | INEXT Study

Pembrolizumab and a Vaccine (ATL-DC) for the Treatment of Surgically Accessible Recurrent Glioblastoma

The safety and scientific validity of this study is the responsibility of the study sponsor and investigators. Listing a study does not mean it has been A evaluated by the U.S. Federal Government. Know the risks and potential benefits of clinical studies and talk to your health care provider before participating. Read our disclaimer for details.

ClinicalTrials.gov Identifier: NCT04201873

Recruitment Status 6 : Recruiting First Posted 1: December 17, 2019 Last Update Posted 1 : July 23, 2020

See Contacts and Locations

Jonsson Comprehensive Cancer Center

Collaborators:

National Cancer Institute (NCI) Merck Sharp & Dohme Corp.

Phase One Foundation

Oncovir, Inc.

Information provided by (Responsible Party):

Jonsson Comprehensive Cancer Center

ATLnsider argues against and attaches documentation.



ATLnsider @ATLnsider · Nov 9, 2020

Replying to @adamfeuerstein and @biggercapital

(1) Sorry Adam, you are wrong again. \$NWBO has several patents filed around the world for the cancer treatment combining \$DCVax plus a PD-1 inhibitor. Here are just a couple, 1 in the US & 1 in the EU:

US PATENT & TRADEMARK OFFICE

PATENT APPLICATION FULL TEXT AND IMAGE DATABASE

(1 of 1)

United States Patent Application

Kind Code

A1
Bosch; Marnix Leo; et al.

July 23, 2015

COMBINATIONS OF CHECKPOINT INHIBITORS AND THERAPEUTICS TO TREAT CANCER

Abstract

A combination treatment regimen including one or more cycles and/or doses of a checkpoint inhibitor and a therapeutic, either sequentially, in either order, or substantially simultaneously, can be more effective in treating cancer in some subjects and/or can initiate, enable, increase, enhance or prolong the activity and/or number of immune cells, the efficacy of anti-tumor immune responses or a medically beneficial response by a tumor.

Inventors: Bosch; Marnix Leo; (Clyde Hill, WA); Ganjel; James Kelly; (Bethesda, MD); Powers; Linda F.; (Bethesda, MD); Liau; Linda M.; (Los Angeles, CA); Prins; Robert M.; (Pacific Palisades, CA)

Applicant: Name City State Country Type

 COGNATE BIOSERVICES, INC.
 Hanover MD
 US

 NORTHWEST BIOTHERAPEUTICS
 Bethesda MD
 US

 The Regents of the University of California Oakland Revinmune, Inc.
 Oakland Planover MD
 US

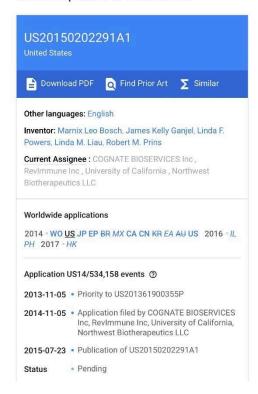
Family ID: 53042035 Appl. No.: 14/534158 Filed: November 5, 2014

Related U.S. Patent Documents

Application Number Filing Date

Patent Number

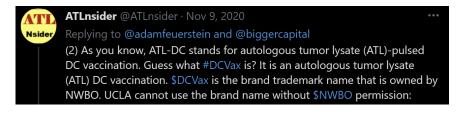
Combinations of checkpoint inhibitors and therapeutics to treat cancer



[00123] One example of a dendritic cell vaccine is DCVax. DCVax is a platform technology that uses activated dendritic cells (the master cells of the immune system), and is designed to reinvigorate and educate the immune system to attack cancers. DCVax uses many active agents to hit many targets on the cancer (Liau, LM et al. Journal of Neurosurgery 90: 1115-1124, 1999; Prins RM et al. J Immunother. 2013 Feb; 36(2): 152-7).

[00124] There are three key aspects of dendritic cell vaccines, including, DCVax, that make the vaccines effective in treating cancer: (1) dendritic cell vaccines are designed to mobilize the entire immune system, not just one among the many different categories of immune agents in that overall system. As described above, DCVax is comprised of activated, educated dendritic cells. and dendritic cells are the master cells of the immune system, that mobilize or help the entire immune system. Full immune system involves many types of antibodies, and also many other kinds of agents besides antibodies. Dendritic cells mobilize all of these different categories of agents. comprising the whole immune system "army," in combination with each other and in their natural relationships to each other. (2) dendritic cell vaccines are designed to target not just one but the full set of biomarkers on the subject's tumor, which may make it more difficult for tumors to mutate and metastasize. (3) dendritic cell vaccines are personalized, and targets the particular biomarkers

ATLnsider states that ATL-DC is the same as DCVax. It is the brand trademark owned by NWBO, which can not be used by UCLA.



UCLA Neurosurgery

A Phase III Clinical Trial Evaluating DCVax®-L, Autologous Dendritic CellsPulsed with TumorLysate

A Phase III Clinical Trial Evaluating DCVax®-L,

Autologous Dendritic CellsPulsed with Tumor Lysate Antigen

for the Treatment of Glioblastoma Multiforme

ATLnsider attaches documentation for the brand trademark being owned by NWBO. He referres to an article wherein this is stated and that he also spoke with UCLA about this.



DCVAX

Nord Mark DCVAX

Goods and IC 005. US 006 018 044 046 051 052. G & S: Immune cells, namely cells for me use; Biotechnology preparations for the prevention, management and treatment modulation of the immune system or particular elements of the immune system decreasing the extent, timing or duration of the immune response or changing the immune response; Medical preparations for the prevention, management and tre or for the modulation of the immune system or particular elements of the immune increasing or decreasing the extent, timing or duration of the immune response nature of the immune response; Pharmaceutical preparations and substances for adjuvants, immune system stimulators or suppressors, and immune system mod and modulators of immune cells, namely, vaccines, proteins, peptides, enzymes chemokines, antibodies, DNA, RNA, growth factors, cell lysate, extracellular mai media for medical or veterinary use; Vaccines. FIRST USE: 20020306. FIRST U COMMERCE: 20020306

(4) STANDARD CHARACTER MARK

Serial Number 86298423
Filing Date June 3, 2014 Current Basis 1A

Original TA Sublished for Dipposition August 29, 2017 Registration 5332321 Registration November 14, 2017

(REGISTRANT) Northwest Biotherapeutics, Inc. CORPORATION DELAWARE 4

Lane, Suite 800 Bethesda MARYLAND 20814

Attorney of Everett E. Fruehling rior legistrations 2636385 Type of Mark TRADEMARK Register PRINCIPAL LIVE

activated by the ATL vaccine. 110 However, patients treated with the ATL-pulsed DC vaccine had significantly better outcomes than those treated with the GAA peptide-pulsed DC vaccine (PFS = 18.1 vs. 9.6 months; OS = 34.4 vs.14.5 months). 110

Dozens of clinical trials investigating DC vaccines are ongoing (see Table 2), most of which are phase I or II trials investigating various DC loads.58 The most noteworthy study is the DCVax clinical trial (a DC vaccine project by Northwest Biotherapeutics), which began in 2006¹¹² and has entered a phase III clinical trial NCT00045968.58 In this trial, 348 patients with newly diagnosed GBM underwent surgical resection with concurrent radiotherapy and chemotherapy, and tumor lysate proteins were used to prepare DCVax(R)-L. The treatment cohort was vaccinated on days 0, 10, and 20 and on weeks 8, 16, 32, 48, 72, and 120, and PFS was measured as the primary outcome. Expanded access to DCVax is underway (NCT02146066), which will play a critical role in the application of the DC vaccine for the treatment of GBM.58

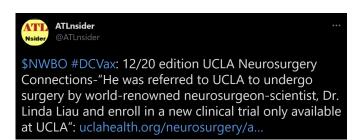
UCLA's ATL-DC vaccine IS NWBOs DCVax.

It's the generic form of NWBO's branded vaccine, let's walk through some other documentation:

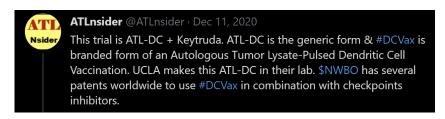


Here's more evidence UCLA is using #DCVax (ATL-DC) in the combination trial with Keytruda (Pembrolizumab). Most of us already know UCLA is using \$NWBO DCVax combined with Keytruda but some are saying they're not. You can hear this around 43:10 minute mark: vimeo.com/529196354

https://vimeo.com/529196354



https://www.uclahealth.org/neurosurgery/art-vision-steve-lyons





And the UCLA health website for brain tumor therapy mentions this

https://www.uclahealth.org/braintumor/biologics

Brain Tumor Immunotherapy at UCLA

The UCLA Brain Tumor program is home to one of the nation's foremost brain tumor immunotherapy researchers. Our efforts have led to novel treatments for complex tumors such as <u>gliomas</u>.

Immunotherapies harness the immune system to boost your body's natural ability to fight disease. These therapies work by binding to tumors at a molecular level, then destroying them.

Immunotherapies available at UCLA include:

- Vaccines: Vaccines are among the most promising immunotherapies. Researchers at UCLA developed the first personalized vaccine for brain tumors (dendritic cell-based vaccine, or DCVax®). DCVax® has extended survival of many of our_glioblastoma patients for more than a year, and some are thriving more than 10 years after their initial diagnosis.
- Immune checkpoint (PD-1) inhibitors: We are exploring ways to stimulate certain white blood cells (T-cells) so they become more effective in attacking and destroying cancer cells. This therapy helps patients who have not had success with other treatments or are at risk for building a treatment resistance.

NWBO

Rights and patents. DCVax, ATL-DC and UCLA combination therapy

The rights don't expire. The patent is assigned and that is that. There has been no reassignment back to UCLA. The definitive assignment is what is in the patent database maintained by the government.

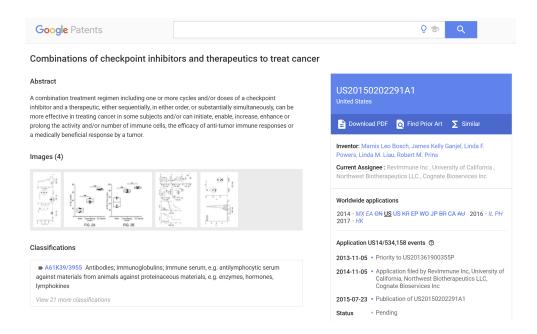
Comments regarding the images Adam Feuerstein provided as proof for UCLA correspondance:

The links to images that Adam provided are not legally cognizant documents related to who owns or will own what patent. The patent application is clear who will own the right, for instance, to the combination patent, not who the sponsor is to the trial.

And an image not identifying who wrote an email Adam claims is from "UCLA" hides who it is from and is flatly not factually correct. Who is the originator? Hiding a source making such a claim, is irresponsible of Adam. UCLA is a public institution, and that patent right that is assigned by the originating documentation creating the patent, both originates from public funding, and is not editable without a legal agreement or document signed by all the parties after the fact and then it has to be filed and recognized by the patent registrar and the info has to be revised in that record to inform anyone who might wish to license or contact the assigned owner of the patent. I believe, and could look it up, that the inventors are broadly listed and NIH also was part of that process.

Plus, the original inventors include key NWBO personnel. Adam would have to have much more than his tweets to even suggest there was an ambiguity here.

https://patents.google.com/patent/US20150202291A1/en



"Inventor: Marnix Leo BOSCH, James Kelly GANJEI, Linda F. POWERS, Linda M. Liau, Robert M. PRINS"

Invention means these parties all hold equal rights in the invention. We'd have knowledge if the application, which has not been granted yet, were to change the assignees, and there is likely a clear agreement between all the parties as to who has what exact rights from the INVENTORS:

"Current Assignee: RevImmune Inc University of California Northwest Biotherapeutics LLC Cognate Bioservices Inc"

Most likely, as I have said before, the University retains research rights, as does NWBO, and improvements likely accrue according to the way the original assignment agreement stipulates. None of the other parties has ever represented that it has the rights to market DCVax as a commercial product with the FDA or other regulators except NWBO. There is no indication that any of this has changed. Emails from unknown persons do not transfer rights on behalf of the INVENTORS or assignees. That has to be a signed agreement to which all of these interested parties have agreed, signed by authorized persons for the relevant rights holders.

The patent offices and their records are the definitive and key records, not the clinical trial database to which Adam linked.

https://www.law.cornell.edu/uscode/text/35/261

35 U.S. Code § 261 - Ownership; assignment

Subject to the provisions of this title, patents shall have the attributes of personal property. The Patent and Trademark Office shall maintain a register of interests in patents and applications for patents and shall record any document related thereto upon request, and may require a fee therefor.

Applications for patent, patents, or any interest therein, shall be assignable in law by an instrument in writing. The applicant, patentee, or his assigns or legal representatives may in like manner grant and convey an exclusive right under his application for patent, or patents, to the whole or any specified part of the United States.

A certificate of acknowledgment under the hand and official seal of a person authorized to administer oaths within the United States, or, in a foreign country, of a diplomatic or consular officer of the United States or an officer authorized to administer oaths whose authority is proved by a certificate of a diplomatic or consular officer of the United States, or apostille of an official designated by a foreign country which, by treaty or convention, accords like effect to apostilles of designated officials in the United States, shall be prima facie evidence of the execution of an assignment, grant or conveyance of a patent or application for patent.

An interest that constitutes an assignment, grant or conveyance shall be void as against any subsequent purchaser or mortgagee for a valuable consideration, without notice, unless it is recorded in the Patent and Trademark Office within three months from its date or prior to the date of such subsequent purchase or mortgage."

Here is a complete list of published patent applications associated with Northwest Biotherapeutics:

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US PATENT & TRADEMARK OFFICE PATENT APPLICATION FULL TEXT AND IMAGE DATABASE

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Searching AppFT Database...

Results of Search in AppFT Database for: AN/(northwest and biotherapeutics): 25 applications. Hits 1 through 25 out of 25

Jump To Refine Search AN/(northwest and biotherapeutics)

22 20050173315 Tangential flow filtration devices and methods for leukocyte enrichment

23 20040203143 Generation of dendritic cells from monocytic dendritic precursor cells with GM-CSF in the absence of additional cytokines
24 20040197903 Method for induction of proliferation of natural killer cells by dendritic cells cultured with GM-CSF and IL-15
25 20040024188 Monoclonal antibodies specific for the extracellular domain of prostate-specific membrane antigen

PUB. APP. NO. Title		
1 20210102169	GENERATION OF DENDRITIC CELLS FROM MONOCYTIC DENDRITIC PRECURSOR CELLS WITH GM-CSF IN THE ABSENCE OF ADDITIONAL CYTOKINES	
2 20190046568	METHODS RELATING TO ACTIVATED DENDRITIC CELL COMPOSITIONS AND IMMUNOTHERAPEUTIC TREATMENTS FOR SUBJECTS WITH ADVANCED CANCERS	
3 20180187145	OPTIMALLY ACTIVATED DENDRITIC CELLS THAT INDUCE AN IMPROVED OR INCREASED ANTI-TUMOR IMMUNE RESPONSE	
4 20170363625	QUALITY ASSAYS FOR ANTIGEN PRESENTING CELLS	
5 20140072564	THERAPEUTIC AND DIAGNOSTIC APPLICATIONS BASED ON THE ROLE OF THE CXCR-4 GENE IN TUMORIGENESIS	
6 20130273654	Generation of Dendritic Cells from Monocytic Dendritic Precursor Cells with GM-CSF in the Absence of Additional Cytokines	
7 20130017600	ISOLATION AND/OR PRESERVATION OF DENDRITIC CELLS FOR PROSTATE CANCER IMMUNOTHERAPY	
8 20120252034	QUALITY ASSAYS FOR ANTIGEN PRESENTING CELLS	
9 20120251561	ADMINISTRATION OF DENDRITIC CELLS PARTIALLY MATURED IN VITRO FOR THE TREATMENT OF TUMORS	
10 <u>20120244620</u>	COMPOSITIONS AND METHODS FOR INDUCING THE ACTIVATION OF IMMATURE MONOCYTIC DENDRITIC CELLS	
11 <u>20110189150</u>	TANGENTIAL FLOW FILTRATION DEVICES AND METHODS FOR LEUKOCYTE ENRICHMENT	
12 20100062003	THERAPEUTIC AND DIAGNOSTIC APPLICATIONS BASED ON THE ROLE OF THE CXCR-4 GENE IN TUMORIGENESIS	
13 <u>20100008892</u>	QUALITY ASSAYS FOR ANTIGEN PRESENTING CELLS	
14 20080254537	Compositions and Methods for Inducing the Activation of Immature Monocytic Dendritic Cells	
15 <u>20080254064</u>	COMPOSITIONS AND METHODS FOR PRIMING MONOCYTIC DENDRITIC CELLS AND T CELLS FOR TH-1 RESPONSE	
16 <u>20080171023</u>	METHOD TO INCREASE CLASS I PRESENTATION OF EXOGENOUS ANTIGENS BY HUMAN DENDRITIC CELLS	
17 <u>20060234309</u>	Quality assays for antigen presenting cells	
18 <u>20060234286</u>	HUMAN PARIS-1 ANTIGEN AND NUCLEIC ACIDS: DIAGNOSTIC AND THERAPEUTIC USES	
19 20060057120	Administration of dendritic cells partially matured in vitro for the treatment of tumors	
20 20050202019	Therapeutic and diagnostic applications based on the role of the CXCR-4 gene in tumorigenesis	
21 20050189297	Tangential flow filtration devices and methods for stem cell enrichment	

Of those patent applications, here are the ones that have issued into patents:

http://patft.uspto.gov/netacgi/nph-Parser?Sect1=PTO2&Sect2=HITOFF&u=%2Fnetahtml%2FPTO%2Fsearch-adv htm&r=0&p=1&f=S&l=50&Query=AN%2F%28northwest+and+biotherapeutics%29%0D%0A&d=PTXT

	USPTO PATENT FULL-TEXT AND IMAGE DATABASE	
	Home Quick Advanced Pat Num Help	
	Bottom View Cart	
Searching US Patent Collection		
Results of Search in US Patent Collection db for: AN/(northwest AND biotherapeutics): 14 patents. Hits 1 through 14 out of 14		
Jump To		
Refine Search AN/(northwest and biotherapeutics)		
PAT. NO.	Title	
1 10,731,130 ■ Generation of dendritic cells from monocytic dendritic prec	cursor cells with GM-CSF in the absence of additional cytokines	
2 9,566,294 Therapeutic and diagnostic applications based on the role of	f the CXCR-4 gene in tumorigenesis	
3 9,102,917 Generation of dendritic cells from monocytic dendritic prec	cursor cells with GM-CSF in the absence of additional cytokines	
4 8,518,636 Tangential flow filtration devices and methods for leukocyt	<u>e enrichment</u>	
5 8,409,566 Therapeutic and diagnostic applications based on the role of	f the CXCR-4 gene in tumorigenesis	
6 8,389,278 Generation of dendritic cells from monocytic dendritic prec	cursor cells with GM-CSF in the absence of additional cytokines	
7 7,790,039 Tangential flow filtration devices and methods for stem cell	<u>l enrichment</u>	
8 7,695,627 Tangential flow filtration devices and methods for leukocyt	<u>e enrichment</u>	
9 7,087,715 Human paris-1 antigen and nucleic acids: diagnostic and the	erapeutic uses	
10 6,936,448 Nucleic acids and proteins of a rat ganglioside GM1-specific .alpha.1-2fucosyltransferase and uses thereof		
11 6.863.887 Therapeutic and diagnostic applications based on the role of the CXCR-4 gene in tumorigenesis		
12 6,150,508 Monoclonal antibodies specific for the extracellular domain of prostate-specific membrane antigen		
3 5,990,294 Nucleotide and amino acid sequences of C4-2, a tumor suppressor gene, and methods of use thereof		
14 5,874,290 Nucleotide and amino acid sequences of a D2-2 gene associ		

Regarding how UCLA is using NWBO technology, it is possible that (1) they have a license from NWBO (if they're practicing a patented technology) or (2) the technology they're practicing hasn't been patented yet (e.g., patent pending).

Also, here is a good article explaining the concept of patent term extension. NWBO has a number of patents whose term are coming due soon. Conceivably, if these patents are related to the DCvax and NWBO finally receives approval from the FDA, the term of these patents may be extended by up to 5 years.

https://www.alacrita.com/whitepapers/pharmaceutical-patent-term-extension-an-overview

"The maximum term extension is five (5) years, provided that the extension does not result in a total remaining patent term of more than fourteen (14) years."

Assignments are made to the owners of the patents. So, the patents are assigned to NWBO by the inventors. NWBO is able to provide others with licenses to practice the patented technology, but these licenses are not usually public record like an assignment is.

Also, even if NWBO doesn't have the rights to the combination, whoever uses the combination still has to practice the NWBO-owned portion of that combination, which they cannot do without a license from NWBO.

Discussion regarding this

exwannabe:

The combo patent is still being denied by the USPTO as being obvious because all it really claims is using the combination, and others already have.

As far as patents on -L specifically, I do not think any are still valid. I have gone through their patent list and fail to see one. No long has every chirped in with an actual patent that is still in force.

You do know the -L tech is 20+ years old?

muee88:

Hey, Ex, do you know the concept of patent term extension?

exwannabe:

Yes.

The only big one would be Waxman Hatch, that is up to 6 years to account for clinical trial development delays. But for that to apply, they have to be approved before the patent term expires.

The other extensions because the PTO was slow to reply are typically minor.

Anything else?

Muee88:

No, those are called patent term adjustments when the PTO adjusts the term. And do you really believe it's going to be another 2 years before FDA opines? Give me a break.

Here's another more recent patent app dealing with DCvax. Eat your blessed heart out. Should be allowed next action.

http://appft.uspto.gov/netacgi/nph-Parser?Sect1=PTO1&Sect2=HITOFF&d=PG01&p=1&u=%2Fnetahtml%2FPTO5. %2Fsrchnum.html&r=1&f=G&l=50&s1=%2220180187145%22.PGNR.&OS=DN/20180187145&RS=DN/20180180185&RS=DN/20180187145&RS=DN/20180187145&RS=DN/20180187145&RS=DN/20180187145&RS=DN/2018018714

US PATENT & TRADEMARK OFFICE

PATENT APPLICATION FULL TEXT AND IMAGE DATABASE

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Images

(1 of 1)

United States Patent Application

Kind Code

Bosch; Marnix Leo

20180187145 A1

July 5, 2018

OPTIMALLY ACTIVATED DENDRITIC CELLS THAT INDUCE AN IMPROVED OR INCREASED ANTITUMOR IMMUNE RESPONSE

Abstract

The present disclosure provides populations of cells comprising partially mature and optimally activated dendritic cells that can be used for administration to individuals having a cancer and/or tumor. Partially matured dendritic cells, those contacted with a dendritic cell maturation agent for about 10 to about 19 hours, upon administration efficiently take up and process tumor antigens in the area of the tumor site, complete maturation, and can subsequently migrate to the lymph nodes of a treated individual. Once in a lymph node the now fully mature antigen presenting dendritic cells secrete the appropriate cytokines (e.g., TNF.alpha., IL-6, IL-8, and/or IL-12) and contact T cells inducing a substantial and optimal clinical and/or anti-tumor immune response.

Inventors: Bosch; Marnix Leo; (Clyde Hill, WA)

Applicant: Name City State Country Type

Northwest Biotherapeutics, Inc. Bethesda MD US

Assignee: Northwest Biotherapeutics, Inc.

Bethesda MD

Family ID: 57609106
Appl. No.: 15/740094
Filed: June 29, 2016
PCT Filed: June 29, 2016
PCT NO: PCT/US16/40134
371 Date: December 27, 2017

Likely there have been a series of agreements for initial research and funding and collaboration that spelled out who owned what for those rights. Agreed. It is generally not possible to undo those without a signed agreement, by all the parties, which would then need to be provided to the USPTO and other registrars, according to the statutory and legal guidelines, which I posted separately.

Agreed, though the application clearly lays out who the inventors are and likely falls under the original assignment related agreement. It is more of a bock to others who might seek to market a combination therapy than a right to, for instance, Keytruda. But it could be useful, assuming it is ultimately granted.

Usually with the biotechs I have generally seen, particularly those in cellular related technologies, the original assignment agreement allows the inventors to have certain rights to continue doing research, but the commercial rights are retained by the assignee along with any improvement rights.

https://www.uclahealth.org/personalized-vaccine-may-increase-longterm-survival-in-people-with-deadliest-for m-of-brain-cancer



News Releases

Personalized vaccine may increase long-term survival in people with deadliest form of brain cancer

UCLA-led study evaluates treatment using a person's own white blood cells 06/05/2018

An international study led by UCLA researchers has found that a personalized vaccine may help people with glioblastoma, the deadliest form of brain cancer, live longer. The vaccine, known as DCVax-L, uses a person's own white blood cells to help activate the immune system to fight cancer.

Nearly 30 percent of people in the ongoing trial have survived for at least three years after they enrolled in the study. Currently, the average life expectancy for people diagnosed with glioblastoma is 15 to 17 months, and less than 5 percent of people who receive standard treatment survive more than five years after they are diagnosed.

"The survival rate is quite remarkable compared to what would be expected for glioblastoma," said lead author Dr. Linda Liau, professor of neurosurgery at the <u>David Geffen School of Medicine at UCLA</u> and a member of the <u>UCLA Jonsson Comprehensive Cancer Center</u>. "The 20 to 30 percent of long-term survivors in immunotherapy clinical trials are the people in whom we think there may be a particularly strong immune response

Dr. Linda Liau of the UCLA Jonsson Comprehnensive Cancer Center and the David Geffen School of Medicine at UCLA

"The research was supported by Northwest Biotherapeutics, Inc., which manufactures DCVax-L, and by the National Cancer Institute through the UCLA brain cancer program, which was recently designated a Specialized Program of Research Excellence by the NCI."

So, it's likely that if NWBO was funding the research, there would have been an agreement that all products of that research would be assigned to NWBO. Again, this agreement is like not to be public record.