



PROTOCOL REVIEW APPLICATION

To the Principal Investigator:

Please obtain a copy of this form, fill-out the requested information, and make your submission both in electronic version and hard copy.

APPLICATION INFORMATION (to be filled by Principal Investigator)		
Date of Application: (mm/dd/yyyy)	Protocol No.: (if applicable)	Version No. and effectivity date:
Title:		
Principal Investigator: (Last Name, First Name)	PI Address:	
Telephone No.:	Mobile No:	Email:
Sponsor / CRO:	Study Site:	Duration of the study: (in months)
Purpose of the study: <input type="checkbox"/> Academic requirement <input type="checkbox"/> Independent research work <input type="checkbox"/> Contract research <input type="checkbox"/> Collaboration / Joint venture <input type="checkbox"/> Others (indicate) _____		
Category of Principal Investigator: <input type="checkbox"/> DLSMHSI Fellow/ Resident <input type="checkbox"/> DLSMHSI Faculty <input type="checkbox"/> DLSMHSI Student <input type="checkbox"/> DLSMHSI Employee <input type="checkbox"/> NON-DLSMHSI: (please specify) _____		
Previous Approval from other Technical / Ethics Committees: <input type="checkbox"/> Non-Applicable <input type="checkbox"/> Applicable <i>(if applicable, complete details below)</i>		
Start and End Date:	Name of Technical Review Committee/ Ethics Review Committee:	
Funding Source: <input type="checkbox"/> Investigator <input type="checkbox"/> DLSMHSI <input type="checkbox"/> Others (indicate) _____		

CATEGORY OF PROTOCOL (check all that applies)



<input type="checkbox"/> Pre-clinical		
<input type="checkbox"/> Clinical		
<input type="checkbox"/> Observational	<input type="checkbox"/> Interventional (Clinical Trial phase)	
<input type="checkbox"/> Non-Clinical		
<input type="checkbox"/> Epidemiological	<input type="checkbox"/> Document-based	<input type="checkbox"/> Socio-behavioral
<input type="checkbox"/> Controlled laboratory studies	<input type="checkbox"/> Herbal/CAM Research	<input type="checkbox"/> Diagnostics
<input type="checkbox"/> Medical Device	<input type="checkbox"/> Genetic/genomic research	<input type="checkbox"/> Operations/Process Research
<input type="checkbox"/> Others (indicate)		
<input type="checkbox"/> Single-Center		
<input type="checkbox"/> Multi-Center (No. of Study Sites)		
<input type="checkbox"/> Screening	<input type="checkbox"/> Interim Analysis	<input type="checkbox"/> Randomized
<input type="checkbox"/> Stratified randomized	<input type="checkbox"/> Single-blind	<input type="checkbox"/> Double blind
<input type="checkbox"/> Open-labeled	<input type="checkbox"/> Parallel	<input type="checkbox"/> Cross-over
<input type="checkbox"/> Placebo-controlled	<input type="checkbox"/> Treatment-controlled	
<input type="checkbox"/> Use of:		
<input type="checkbox"/> Blood samples	<input type="checkbox"/> Tissue samples	<input type="checkbox"/> Genetic materials

PARTICIPANT INFORMATION (check all that applies)		
Total No. of Participants:	Gender:	
	<input type="checkbox"/> Male	<input type="checkbox"/> Female
Age Group:		
<input type="checkbox"/> <18 yrs	<input type="checkbox"/> Adults (18-65yrs)	<input type="checkbox"/> Elderly (>65yrs)
Groups of participants:		
<input type="checkbox"/> Healthy Volunteers	<input type="checkbox"/> Patients	<input type="checkbox"/> Women of child-bearing potential
<input type="checkbox"/> Others (indicate)		
<input type="checkbox"/> Vulnerable Participants:		
<input type="checkbox"/> In-utero	<input type="checkbox"/> Pre-term Newborn (up to ≤37weeks)	<input type="checkbox"/> Infant & Toddlers (28 days-23 mons)
<input type="checkbox"/> Newborns (0-27days)	<input type="checkbox"/> Children (2-11years)	<input type="checkbox"/> Adolescents (12-17years)



- | | | |
|--|--|--------------------------------------|
| <input type="checkbox"/> Elderly (>65years) | <input type="checkbox"/> Pregnant women | <input type="checkbox"/> Illiterate |
| <input type="checkbox"/> Seriously ill | <input type="checkbox"/> Terminally ill | <input type="checkbox"/> Handicapped |
| <input type="checkbox"/> Mentally challenged | <input type="checkbox"/> Others (indicate) _____ | |

DECLARATION

I declare that I have:

- NO Conflict of Interest in any form (personal, professional, financial, proprietary) with the sponsor, the study, or the site.
- NO personal / family interest in the study result
- NO proprietary interest in the study (patent, trademark, copyright, licensing etc.

I declare that the above study has not commenced or been completed

I declare that the information provided is true and correct to the best of my knowledge.

I understand that it will require 30-60 days for the IEC to review and grant approval.

Signature over printed name of Principal Investigator:

Date signed:

PROTOCOL VERIFICATION AND CLASSIFICATION (to be filled up by DLSMHSI-IEC)

Decision Point: (Accepted or Rejected)

☐ Accepted

☐ Rejected

If ACCEPTED assign IEC Tracking No.:

If REJECTED indicate reason for rejection:

Verified by: (printed name and signature of Staff)

Date verified: (mm/dd/yyyy)

Classification of Protocol:

☐ Exempted Review

☐ Expedited Review

☐ Full-board Review

Classified by:

Date classified: (mm/dd/yyyy)