



**RC124270**

**FIS SECURITIES LIMITED**  
**(Member of the Nigerian Exchange Group)**  
**INDIVIDUAL ACCOUNT OPENING FORM (NASD)**

2, Macarthy Street, Onikan, Lagos. (info@fissecuritiesltd.com, [fissecuritiesltd@gmail.com](mailto:fissecuritiesltd@gmail.com)) 09053518412, 08034031400

**I/We request FIS Securities Limited to open a Stock broking account on my/our behalf**

Surname: ..... First Name: .....

Other Name: .....

Home ..... Address:  
.....  
.....

Office/Postal ..... Address:  
.....Citizenship:  
.....

State of Origin: ..... Residence L.G.A: ..... Occupation:  
.....

Sex ..... Marital Status ..... Acct Type: Individual ( ) Joint ( )  
Minor ( )

E-mail Address: ..... Alt. Email Addr:  
.....

Tel. Numbers: .....

Date of Birth: dd /mm /yyyy

Next of Kin: ..... Relationship:  
.....

Address: (Next of Kin) ..... Tel. Number:  
.....

Mother's Maiden Name: ..... Address: .....

Bank Name: ..... Acct No: ..... Sort Code: .....  
TIN .....

Date Bank Acct Opened: ...../...../..... Bank Verification No  
(BVN).....

NIN..... Existing CHN (if any)  
.....

Initial Deposit ₦

Amount ..... in ..... words:  
.....  
.....

#### DECLARATON:

I/we wish to open an investment account and confirm that the above information is true. I/We agree to be bound by the terms and conditions governing the operation of the account.

Name: ..... Signature: .....  
..... Date .....

#### For Official Use Only

Verified by Officer ..... Approved By:  
.....

#### Best Execution Policy

The concept of best execution is the act of obtaining a combination of price and commission (if any) in a transaction that is most favorable to the client under prevailing market conditions.

When executing trades on behalf of clients, the traders have a duty to select brokers, dealers, or banks that will enable the firm to obtain best execution for our clients and to comply with any applicable legal requirements. Consequently, the trader must, when executing trades, exercise due care, skill, judgement and consideration for execution criteria (as detailed below) when handling client order.

#### Data Privacy Policy

We at FIS Securities Limited take the privacy and security of our clients' data very seriously and have a Data Privacy Policy which we follow strictly to ensure that your data is secured. Kindly obtain a copy of the policy from the Operations Unit or visit our website: [www.fissecurities.com](http://www.fissecurities.com) for more detailed information about the policy on how we treat your data.