



Reporting of Core Indicator Categories:

OUTPUTS

ALL OUTPUTS

INDICATOR CATEGORY

Initiative/Impact Platform/Project output:

- a. **KNOWLEDGE PRODUCT:** (defined by the [CGIAR Open and FAIR Data Assets Policy](#) using the term “data asset”).

Knowledge products are intellectual assets generated from research and development activities such as articles, briefs, reports, extension and training content, databases, software, and multimedia elements that contribute to behavioral changes in particular actors.

For reporting, users should only consider knowledge products that are integral to the Initiative/Project’s Theory of Change (ToC). Knowledge products within a ToC are meant for use by Initiative/Project actors (e.g., a policy brief produced as an Initiative’s output to support a policymaker’s action). Knowledge products in multiple languages should not be reported separately, unless necessary to evidence the ToC (for example if pathways are differentiated for actors, or geography/geographic scope, requiring the output in different languages).

To be eligible for reporting, a knowledge product should be a finalized product. Drafts (e.g., a draft brief) or preprints are not suitable. Other “data assets” (e.g., videos) as defined in the policy or any digital product (e.g., internal reports) illustrating an output or outcome should not be reported under this indicator and should instead be used as evidence for the relevant output or outcome.

If a knowledge product aligns with the above criteria and adheres to the policy, it should be stored in CGSpace, following a typology set by the CGSpace community, as outlined in the [CGCore](#) and international standards.

The CGIAR Knowledge Management (KM) Community of Practice (CoP) defines the quality of knowledge products, particularly for gray literature (e.g., reports), applied across all Centers.)

- Peer-reviewed journal article (optional tag for MELIA study)
- Other (choose from drop-down list of types, optional tag for MELIA study)

- b. **CAPACITY SHARING FOR DEVELOPMENT:** (number of people trained by CGIAR, with the aim of leading to changes in knowledge, attitude, skills and practice, i.e. behavior).
- c. **INNOVATION DEVELOPMENT:** (a new, improved, or adapted output or groups of outputs such as technologies, products and services, policies, and other organizational and institutional arrangements with high potential to contribute to positive impacts when used at scale).
- d. **OTHER:** For example, outputs that do not fit the other categories, but which are important for documenting progress in the theory of change or for use in future evaluations (for example, presentations made or webinars held, documentation of reflection meetings, development of tools and procedures for internal purposes). Intermediate or draft products should not be reported.

GENERAL INFORMATION

Title*

Max. 30 words.

Provide a clear, informative name of the output, for a non-specialist reader and without acronyms.

Description*

Max. 150 words.

Lead contact person

Impact Area scoring*

Gender equality score:

- 0 = Not targeted
- 1 = Significant
- 2 = Principal (provide evidence/explanation)

Climate change score:

- 0 = Not targeted
- 1 = Significant
- 2 = Principal (provide evidence/explanation)

Nutrition, health and food security score:

- 0 = Not targeted
- 1 = Significant
- 2 = Principal (provide evidence/explanation)

Environmental health and biodiversity score:

- 0 = Not targeted
- 1 = Significant
- 2 = Principal (provide evidence/explanation)

Poverty reduction, livelihoods and jobs score:

- 0 = Not targeted
- 1 = Significant
- 2 = Principal (provide evidence/explanation)

Key result story:*

Is this result featured in a key result story for the reporting year?

Yes

Add link to key result story

No

THEORY OF CHANGE

Contributors:*

Initiatives and non-pooled projects that you collaborated with to generate this result/contributed to this result.

Primary submitter*

Automatically generated.

Contributing Initiatives or Platforms:

Select from a dropdown list.

Contributing non-pooled projects:

Select from CLARISA list

Text box to enter grant title.

Text box to enter Center Grant ID.

Drop down to enter Lead/Contract Center (select from a dropdown list) and text boxes to enter grant details (title and ID).

Contributing Centers:*

Select from a dropdown list.

Theory of change:*

Does this result match a planned result in your theory of change?*

Yes (select from drop-down menu of planned results in your theory of change)

Output* (select from drop-down)

Indicator(s) of the output selected (automatically generated)

Target (automatically generated)

Does this result contribute to this indicator*

Yes

Text box to enter quantitative contribution.

Text box to enter short progress narrative.

No

Text box to enter progress narrative of the output.

No

Outcome level* (select from drop-down)

Outcome* (select from drop-down)

PARTNERS

Partners:*

Partner organizations you collaborated with or are currently collaborating with to generate this result.

Not applicable (check box)

Partners (select from drop-down)

Partner types (automatically generated)

Partner role*

Scaling

Demand

GEOGRAPHIC LOCATION

- **What is the main geographic focus of the output?*** (For knowledge products, use the geographic scope pulled from CGSpace – which refers to where the research was conducted or the subject of the paper; for capacity sharing for development results that are virtual, no selection is necessary. However, if preferred, a selection can be made based on the target location of the output, if applicable).

Global (option to also specify regions and countries)

Regional (select region(s) and option to also specify countries)

Country (select specific countries)

Sub-national (select subnational units)

This is yet to be determined

LINKS TO RESULTS

Did another indicator category contribute to this result? (search bar to look for results)

Button to link results.

Add results from previous Portfolio (text box to enter link)

EVIDENCE

- Submit a maximum of 6 pieces of evidence.
- List evidence from most to least important.
- Evidence **links** and **file uploads** are both possible in the PRMS.
- All links provided should be publicly accessible. All CGIAR publications should be shared using a CGSpace link.
- Links to SharePoint, One Drive, Google Drive, DropBox, and other file storage platforms are not allowed. If you do not have a CGSpace or other public link available, use the “Upload file” option to upload your evidence to the PRMS repository.
- For confidential evidence, select “Upload file” and then “No” to indicate that it should not be public.
- If you add an evidence link, or indicate that the file being uploaded to the PRMS repository is **public**:
 - You confirm that the file is publicly accessible.
 - You confirm that all intellectual property rights related to the file have been observed. This includes any rights relevant to the document owner’s Center affiliation and any specific rights tied to content within the document, such as images.
 - You agree to the file link being displayed on the CGIAR Results Dashboard.
- If you indicate that the file being uploaded to the PRMS repository is **NOT public**:
 - You confirm that the file should not be publicly accessible.
 - The file will not be accessible through the CGIAR Results Dashboard.
 - The file will be stored in the PRMS repository and will only be accessible by CGIAR staff (e.g. quality assurance assessors) with the repository link.
- Documents in the PRMS repository will be view-only and cannot be edited.
- Only one piece of evidence is required for each knowledge product result.
- No evidence is required for capacity sharing for development results.

A) KNOWLEDGE PRODUCTS

UNIT

Number of knowledge products, including peer-reviewed journal articles, published in the reporting year.

DISAGGREGATION (AUTOMATICALLY GENERATED FROM THE EVIDENCE LINKS PROVIDED)

Total no. of knowledge products

No. of peer-reviewed journal articles

No. of open access (OA) peer-reviewed journal articles

No. of Web of Science (WoS) Core Collection (former ISI) journal articles

No. of other knowledge products, by type

No. of open access (OA) other knowledge products

No. of knowledge products complying with FAIR principles and disaggregated for relevant criteria (Findable 3 over 3; Accessible 1 over 1; Interoperable 2 over 2; Reusable 1 over 1)

No. of knowledge products with an Altmetric Attention Score

MELIA

Is this knowledge product a MELIA product?*

Yes

Was it planned in your Initiative proposal?

Yes

Select MELIA from those included in OST Section 6.3.

No

No

COMMENTS

A knowledge product (KP) is defined by the [CGIAR Open and FAIR Data Assets Policy](#) using the term “data asset”. Knowledge products are intellectual assets generated from research and development activities such as articles, briefs, reports, extension and training content, databases, software, and multimedia elements that contribute to behavioral changes in particular actors.

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the [CGCore](#) and international standards. The CGIAR Knowledge Management (KM) Community of Practice (CoP) defines the quality of knowledge products, particularly for gray literature (e.g., reports), applied across all Centers.)

In addition:

The KPs eligible for reporting in the PRMS reporting tool are those that:

Have a valid handle from CGSpace.

Have received financial support, e.g., including staff time for writing or reviewing, open access fees, from the Initiative budget.

Have a 2024 date. For journal articles, the system will check the online publication date added in CGSpace (“Date Online”). If the online publication date is missing, the issued date (“Date Issued”) will be considered. This is to prevent double-counting publications over consecutive years. More details are provided in Annex 1.

Initiatives should preferably be acknowledged using the standard note provided by the Communications unit: “We would like to thank all funders who supported this research through their contributions to the CGIAR Trust Fund, and the [Initiative name]”.

The Quality Assurance (QA) process will exclusively consider journal articles and other knowledge products indicated as Monitoring, Evaluation, Learning and Impact Assessment (MELIA) studies, given resource constraints. This decision is based on an assessment of the added value of the investment needed to QA other knowledge product types.

The metadata quality of knowledge products will depend on curation performed at the Center level. Center knowledge managers are currently enhancing and harmonizing relevant rules and guidelines (on branding, acknowledgements etc.) to better identify what can be uploaded to CGSpace and to improve overall quality.

Special attention should be paid to potentially predatory journals or publishers. Please refer to the [‘Guidelines for dealing with predatory publishers/publishing: A working document’](#), which is meant to support CGIAR researchers, repository managers, librarians, and staff involved in the quality assurance of publications. Also see: [Beall’s List of Potential Predatory Journals and Publishers](#).

B) CAPACITY SHARING FOR DEVELOPMENT

UNIT

Number of people trained

DISAGGREGATION

Number of people trained:*

Female

Male

Non-binary

Unknown

Length of training:*

Short term (<3 months)

Long term (>3 months)

PhD

Masters

Delivery method:

Virtual/Online

In-person

Blended (in-person and virtual)

Were the trainees attending on behalf of an organization?*

Yes (Multiple selections from CLARISA partner list possible)

No

C) INNOVATION DEVELOPMENT

UNIT

Number of innovations profiled

Number of innovations updated (innovation investment is active/ inactive + reason)

SHORT TITLE

Short name that facilitates clear communication about the innovation for a non-specialist reader and without acronyms (max. 10 words).

DISAGGREGATION

What would be the best way to characterize this innovation?*

Innovation nature:

Incremental innovation

Radical innovation

Disruptive innovation

Other

Which of the below typologies best fits the nature of the innovation?*

Innovation type:

Technological innovation

If yes, are you profiling a new or improved variety or breed?

If yes, specify the please indicate the number of individual new or improved lines/ varieties.

Capacity development innovation

Policy/organizational/institutional innovation

Other

Demand of anticipated innovation user – Who would be the user(s) of this innovation and what demand or problem does it address?

Farmers/(agro)pastoralist/herders/fishers

Researchers

Extension agents

Policy actors

Other

For each user group, indicate if it is specifically targeting women or youth.

Organization: specify type of organization

Other (e.g. # of hectares/ projects/ etc.)

For anticipated use, explain what demand/problem the innovation is expected to address

To which of the below Megatrend(s) is this innovation expected to contribute?*

Demographic trends

Changing consumption patterns

Market concentration in the agri-food system

Climate change

Environmental degradation

Shifting global health challenges

Geopolitical instability

Growing inequalities

Frontier technology and innovation

Other

Responsible innovation (GESI)

Have concrete actions been taken to understand and improve Gender Equality and Social Inclusivity (GESI) in developing this innovation?

Yes, the following actions have been taken:

*Dedicated CGIAR GESI expert on innovation development team

*Dedicated partner(s) with GESI expertise in innovation development team

*Engaging diverse innovation use groups in innovation development

*Commissioned a GESI study, or context analysis

*Used GESI-tools (e.g. GenderUp,)

*Other

No actions taken yet

No Gender Equality and Social Inclusivity issues expected

It is too early to determine this

Responsible innovation (unintended consequences)

Have concrete actions been taken to understand and/or limit potential unintended negative consequences or impacts if the innovation is used at scale?

Yes, the following actions have been taken:

*Dedicated CGIAR expert on innovation development team

- *Dedicated partner(s) with expertise in innovation development team
- *Engaging diverse innovation use groups in innovation development
- *Commissioned impact studies, or context analysis
- *Used tools to anticipate unexpected negative impacts
- *Other

No actions taken yet

No negative consequences or impacts expected

It is too early to determine this

Intellectual Property Rights

Do you expect private sector engagement in innovation development and/or scaling?*

Yes

Not sure

No

If yes/not sure: Do you consider applying for formal Intellectual Property Rights?

Yes

Not sure

No

If yes/not sure: Would you like to receive support from a "Private Partnership for Impact" expert?

Yes

No

Innovation developer

Provide name, organization and contact details.

Innovation collaborators

Provide names, organizations and contact details.

Innovation team composition

Have concrete actions been taken to promote diversity in the composition of the CGIAR and partner innovation team?*

Yes, concrete actions have been taken to ensure (only upon selecting yes, offer below answer options from which multiple can be selected):

*Gender diversity

*Diversity in years of experience (e.g. early-career, mid-career, late-career)

*Diversity in expertise (e.g. science expertise, development expertise, expertise of innovation users/ clients, other)

*Disciplinary diversity (e.g. breeding, agronomy, economics, policy, sociology, anthropology, environmental sciences, other)

*Regional diversity (Southeast Asia and the Pacific, East and Southern Africa, Central and West Asia and North Africa, South Asia, West and Central Africa, Latin America and the Caribbean, United-States/Canada, Europe, Australia)

*Other

No concrete actions to diversify the innovation team composition have been taken

This does not apply to this innovation

How would you assess the current readiness of this innovation?*

Innovation Readiness level:

9 Proven Innovation

The innovation is validated for its ability to achieve a specific impact under uncontrolled conditions

8 Uncontrolled Testing

The innovation is being tested for its ability to achieve a specific impact under uncontrolled conditions

7 Prototype

The innovation is validated for its ability to achieve a specific impact under semi-controlled conditions

6 Semi-Controlled Testing

The innovation is being tested for its ability to achieve a specific impact under semi-controlled conditions

5 Model/Early Prototype

The innovation is validated for its ability to achieve a specific impact under fully-controlled conditions

4 Controlled Testing

The innovation is being tested for its ability to achieve a specific impact under fully-controlled conditions

3 Proof of Concept

The innovation's key concepts have been validated for their ability to achieve a specific impact

2 Formulation

The innovation's key concepts are being formulated or designed

1 Basic Research

The innovation's basic principles are being researched for their ability to achieve a specific impact

0 Idea

The innovation is at idea stage

Provide a brief explanation that explains how the provided evidence/documentation justifies the chosen innovation readiness level (max. 50 words).*

If the innovation readiness level has reduced compared to previous reports, then please explain why.

Estimated USD investment*

In-kind + in-cash during the reporting period by:

Lead Initiative

Contributing Initiative(s)

Non-pooled project(s)

Partner co-investment

REFERENCE MATERIALS

Provide at least one URL to reference material(s) that describes the innovation.