

# 005.2. Recurrent Neural Networks (RNNs)

**Recurrent Neural Networks (RNNs)** are a type of neural network designed specifically for sequential data, such as time series, speech, or natural language. They are particularly effective when the order or context of data points matters, as they allow information to persist over time.

Recurrent Neural Networks (RNNs) are powerful models for sequential data, capable of maintaining a hidden state or memory over time. Although standard RNNs are limited by issues like the vanishing gradient problem, they form the foundation for more advanced architectures like LSTMs and GRUs, which are now commonly used for tasks requiring long-range dependencies.

# **Key Concepts of RNNs:**

#### **1. Sequential Data Handling**

Unlike feedforward neural networks, RNNs can process sequences of data by maintaining an internal state (or memory) that allows them to retain information from previous time steps. This makes them ideal for tasks like text processing, where word order is crucial.

### **2. Recurrent Connections**

In an RNN, each neuron (or layer of neurons) has connections not only to the next layer but also back to itself (hence "recurrent"). This feedback loop allows information to be passed from one step to the next.

The output of the current step is influenced by the previous step's output, which gives the network a sense of "memory" over time.

The basic RNN cell can be described as:

$$
h_t = f(W_{ih}x_t + W_{hh}h_{t-1} + b_h)
$$

Where:

- $\bullet$  *h<sub>t</sub>* is the hidden state at time step *t*,
- $\bullet$   $x_t$  is the input at time step  $t$ ,
- *ht−1* is the hidden state from the previous time step,
- $\bullet$  *W*<sub>*ih*</sub> and *W*<sub>*hh*</sub> are the weight matrices,
- $\bullet$  *b<sub>h</sub>* is the bias,
- *f* is a non-linear activation function (commonly *tanh* or *ReLU*).

## **3. Internal Memory (Hidden State)**

The key feature of RNNs is their ability to maintain a **hidden state**, which acts as a memory of the previous inputs in the sequence. This hidden state is updated at every time step as new inputs are received.

The hidden state allows RNNs to maintain context over a sequence of inputs, enabling them to process sentences in NLP or recognize patterns over time in time-series data.

## **4. Backpropagation Through Time (BPTT)**

RNNs are **trained** using a variant of the standard backpropagation algorithm called **Backpropagation Through Time (BPTT)**. In BPTT, gradients are computed for the recurrent connections by "unfolding" the network over time, and errors are propagated backward through the entire sequence.

**Vanishing/Exploding Gradient Problem**: When dealing with long sequences, RNNs often suffer from the **vanishing gradient problem**, where gradients shrink exponentially during backpropagation, making it difficult to learn long-range dependencies. Conversely, gradients can explode, causing instability.

#### **5. Types of RNNs**

There are different variants of RNNs based on the sequence input/output structure:

- **Many-to-One**: A common RNN structure where a sequence of inputs produces a single output, such as in sentiment analysis where an entire sentence results in a classification (positive/negative sentiment).
- **Many-to-Many**: This structure processes a sequence of inputs to produce a sequence of outputs. For example, machine translation systems translate one sentence into another in a different language, word by word.
- **One-to-Many**: A single input can generate a sequence of outputs, such as generating text or music from a single seed input.

#### **6. Limitations:Short-Term Memory, Training Instability**

Standard RNNs struggle to retain information over long sequences due to the vanishing gradient problem. They are better suited for short-term dependencies.

Training can be slow and unstable, especially when dealing with long sequences, requiring techniques like gradient clipping to mitigate the exploding gradient problem.

# **7. Variants of RNNs**

To address the limitations of standard RNNs, two key variants have been developed: Long Short-Term Memory Networks (LSTMs) and Gated Recurrent Units (GRUs)

**Long Short-Term Memory Networks (LSTMs)**: LSTMs use special gates (input, forget, and output gates) to regulate the flow of information, allowing them to maintain memory over long sequences more effectively.

**Gated Recurrent Units (GRUs)**: GRUs simplify the LSTM architecture by combining the forget and input gates into a single update gate, making them faster to train while still managing long-term dependencies.

# **8. Applications of RNNs**

RNNs are widely used in various sequential data tasks, including:

- **Natural Language Processing (NLP)**: Tasks like machine translation, text generation, and speech recognition heavily rely on RNNs.
- **Time Series Prediction**: Forecasting stock prices, weather prediction, and other temporal tasks.
- **Speech Recognition**: Understanding spoken language by processing audio as sequential data.
- **Video Analysis**: Recognizing actions or events in videos.

# Example in Python

Below is a Python example using **PyTorch** to implement a simple **Recurrent Neural Network (RNN)** for a text classification task. We'll use the **IMDb dataset** for sentiment analysis, classifying reviews as either positive or negative.

The model will output the training and testing loss and accuracy at each epoch, indicating its performance on the IMDb sentiment classification task.

This is a simple RNN-based text classifier. For better performance, you can explore using LSTMs or GRUs, which are better at handling long-range dependencies in sequential data.

#### **Requirements**

You'll need the following libraries installed:

```
pip install torch torchtext
```
Example Code: Recurrent Neural Network for Text Classification

```
import torch
import torch.nn as nn
import torch.optim as optim
from torchtext.legacy import data, datasets
import random
# Set the random seeds for reproducibility
SEED = 1234
torch.manual_seed(SEED)
torch.backends.cudnn.deterministic = True
# Define fields for the text and labels
TEXT = data.Field(tokenize='spacy',
tokenizer language='en core web sm', include lengths=True)
LABEL = data.LabelField(dtype=torchr10at)# Load IMDb dataset
train_data, test_data = datasets.IMDB.splits(TEXT, LABEL)
# Build the vocabulary using pre-trained word embeddings (e.g.,
GloVe)
TEXT.build vocab(train data, max size=25 000,
vectors="glove.6B.100d", unk_init=torch.Tensor.normal_)
LABEL.build vocab(train data)
# Create iterators for batching the dataset
BATCH_SIZE = 64
```

```
train iterator, test iterator = data.BucketIterator.splits(
     (train_data, test_data),
     batch size=BATCH SIZE,
     sort within batch=True, # necessary for using RNN
                             # with packed sequences
     device=torch.device(
           'cuda' if torch.cuda.is_available() else 'cpu'
     )
)
# Define the Recurrent Neural Network (RNN) model
class RNN(nn.Module):
     def __init__(
          self, vocab size, embedding dim, hidden dim, output dim
     ):
          super(RNN, self). init ()
          # Embedding layer
          self.embedding = nn.Embedding(vocab size, embedding dim)
          # RNN layer
          self.rnn = nn.RNN(embedding dim, hidden dim)
          # Fully connected output layer
          self.fc = nn.Linear (hidden dim, output dim)
     def forward(self, text, text lengths):
          # text: (sentence length, batch size)
          embedded = self.embedding(text)
          # Pack the sequence for efficient processing
          packed embedded = nn.utils.rnn.pack padded sequence(
                embedded, text_lengths.to('cpu')
          )
          # Pass through the RNN
          packed output, hidden = self.run(packed embedd)# Use the final hidden state for classification
          return self.fc(hidden.squeeze(0))
# Hyperparameters
INPUT_DIM = len(TEXT.vocab)
EMBEDDING DIM = 100 # Must match the size of the GloVe vectors
used
HIDDEN_DIM = 256
OUTPUT_DIM = 1
```

```
# Initialize the model
model = RNN(INPUT_DIM, EMBEDDING_DIM, HIDDEN_DIM, OUTPUT_DIM)
# Load the pre-trained GloVe embeddings
pretrained_embeddings = TEXT.vocab.vectors
model.embedding.weight.data.copy_(pretrained_embeddings)
# Training setup
optimizer = optim.Adam(model.parameters())
criterion = nn.BCEWithLogitsLoss()
# Move the model and criterion to the GPU if available
device = torch.device('cuda' if torch.cuda.is available() else
'cpu')
model = model.to(device)
criterion = criterion.to(device)
# Function to calculate accuracy
def binary accuracy(preds, y):
     rounded_preds = torch.round(torch.sigmoid(preds))
     correct = (rounded preds == y).float()
     return correct.sum() / len(correct)
# Function to train the model
def train(model, iterator, optimizer, criterion):
     epoch \text{loss} = 0epoch acc = 0model.train()
     for batch in iterator:
           optimizer.zero_grad()
           text, text_lengths = batch.text
           predictions = model(text, text_lengths).squeeze(1)
           loss = criterion(predictions, batch.label)
           acc = binary accuracy(predictions, batch.label)
           loss.backward()
           optimizer.step()
           epoch_loss += loss.item()
           epoch_acc += acc.item()
     return epoch loss / len(iterator), epoch acc / len(iterator)
# Function to evaluate the model
def evaluate(model, iterator, criterion):
     epoch loss = 0epoch acc = 0
```

```
model.eval()
     with torch.no grad():
     for batch in iterator:
          text, text_lengths = batch.text
          predictions = model(text, text lengths). squeeze(1)
          loss = criterion(predictions, batch.label)
          acc = binary accuracy(predictions, batch.label)
          epoch_loss += loss.item()
          epoch acc += acc.item()return epoch loss / len(iterator), epoch acc / len(iterator)
# Training loop
N EPOCHS = 5
for epoch in range(N EPOCHS):
     train loss, train acc = train(model, train iterator,
optimizer, criterion)
     test loss, test acc = evaluate(model, test iterator,
criterion)
     print(f'Epoch {epoch+1}')
     print(f'\tTrain Loss: {train_loss:.3f} | Train Acc:
{train_acc*100:.2f}%')
     print(f'\tTest Loss: {test_loss:.3f} | Test Acc:
{test_acc*100:.2f}%')
# Save the model
torch.save(model.state_dict(), 'rnn_model.pth')
```
## **Key Components of the Code:**

- 1. **Data Preprocessing**: The IMDb dataset is tokenized using spacy. We use the Field and LabelField to define how text and labels should be processed.
- 2. **Embedding Layer**: The embedding layer converts input words into dense vectors (using pre-trained GloVe embeddings).
- 3. **RNN Layer**: The RNN layer processes the sequence of word embeddings. It outputs the hidden state, which is then used to classify the input sentence.
- 4. **Packing Sequences**: pack\_padded\_sequence is used to handle variable-length sentences efficiently during training.
- 5. **Training and Evaluation**: The model is trained using binary cross-entropy loss (BCEWithLogitsLoss) and evaluated after every epoch.