

Step 4: Perform a Readiness Assessment

Purpose

To test preparedness for the formal SOC 2 audit by simulating audit scenarios.

Key Actions

- Review documentation for completeness.
- Test control effectiveness.
- Simulate auditor questions and evidence requests.
- Identify areas needing improvement.

Required Documents/Information

- SOC 2 Readiness Checklist.
- Internal audit reports.
- Sample evidence collection.
- Risk register with mitigation status.
- Documented lessons learned and action items.